

# Effective Action for Low-Energy Quantum Field Fluctuations

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QCD Green's functions, confinement, and phenomenology  
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$$Z_{YM} = \int \mathcal{D}A_\mu \exp \left\{ -\frac{1}{4} G_{\mu\nu}^a G_{\mu\nu}^a \right\}$$

### Ab initio framework: Lattice Qcd

Powerful tool for obtaining quantitative information on Non-Perturbative QCD: hadronic spectrum, matrix elements, etc..

**Q.:** Are there field configurations (instantons, monopoles, centre-vortices, etc..) which dominate a given matrix element?

We present a method for building an "Effective Theory" for a chosen set of vacuum field configurations  $\tilde{A}_\mu[\{\gamma_i\}]$ , starting from configurations obtained via Lattice simulation

$$Z_{YM} = \int d\gamma_1 \dots \int d\gamma_k \exp \left\{ -F(\gamma_1, \dots, \gamma_k) \right\}.$$

Ex: Instanton-Antinstanton interaction, etc..

Suppose we want to evaluate the expectation value of  $\mathcal{O}[\Psi(x)]$

$$\langle \mathcal{O}[A_\mu] \rangle = Z^{-1} \int \mathcal{D}A_\mu(x) \mathcal{O}[A_\mu(x)] e^{-S_{YM}(x)}$$

Consider a family of configurations  $\tilde{A}_\mu(x, \{\gamma_i\})$  s.t.

$$\langle \mathcal{O}[A_\mu] \rangle \simeq \langle \mathcal{O}[\tilde{A}_\mu(\{\gamma_i\}) + \mathbf{B}_\mu] \rangle$$

$$A_\mu(x) = \tilde{A}_\mu(x, \{\gamma_i\}) + B_\mu(x); \quad (B \cdot g_\gamma^i(\{\tilde{\gamma}_j\})) = 0$$

$$\langle \mathcal{O}[A_\mu(x)] \rangle \simeq Z^{-1} \int \prod_i d\gamma_i \mathcal{O}[\tilde{A}_\mu(\gamma)] \int \mathcal{D}B \left( \prod_i \delta(B \cdot g_\gamma^i(\{\tilde{\gamma}_j\})) \right) \times$$

Jacobian, Gauge Fixing,...

$$\times \det \left[ D_\mu(\tilde{A}) D_\mu(\tilde{A} + B) \right] \times \dots \times e^{-S[\tilde{A}+B] + \frac{1}{\alpha^2} \int d^4x (D_\mu(\tilde{A}) B_\mu)^2}$$

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By evaluating  $F(\gamma_1, \dots, \gamma_k)$  for different  $\tilde{A}_\mu(\{\gamma\})$  we obtain important information on Non-Perturbative dynamics.

# From Lattice Simulation to "Effective Theory"

- 1 Identify a set of vacuum configurations  $\tilde{A}_\mu(\{\gamma_i\})$  i.e. a sub-manifold  $M_{\tilde{\Psi}}$  of the full functional space.
- 2 Decompose the partition function,

$$Z_{YM} = \int \mathcal{D}A_\mu e^{-S[A_\mu = \tilde{A}_\mu(\{\gamma_i\}) + B_\mu]} = \int d\gamma_1 \dots d\gamma_m e^{-F(\{\gamma_j\})}$$

$$A_\mu(x) = \tilde{A}_\mu(x, \{\gamma_i\}) + B_\mu(x); \quad (B \cdot g_\gamma^i(\{\tilde{\gamma}_j\})) = 0$$

$$Z_{YM} = \int \left( \prod_{l=1}^m d\gamma_l \right) \int \mathcal{D}B \left( \prod_i \delta(B \cdot g_\gamma^i(\{\tilde{\gamma}_j\})) \right) \times$$

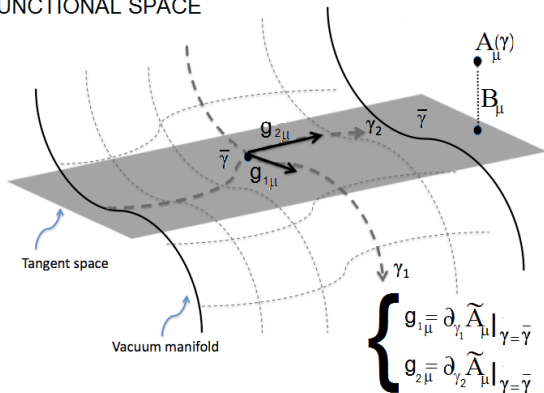
Jacobian, Gauge Fixing,...

$$\times \det \left[ D_\mu(\tilde{A}) D_\mu(\tilde{A} + B) \right] \times \dots \times e^{-S[\tilde{A} + B] + \frac{1}{\alpha^2} \int d^4x (D_\mu(\tilde{A}) B_\mu)^2}$$

# From Lattice Simulation to "Effective Theory"

## Step 1 and 2

FUNCTIONAL SPACE



# From Lattice Simulation to "Effective Theory"

- 3) Generate a statistically representative set of independent configurations with Lattice simulations

$$\{A_{\mu,1}(x), A_{\mu,2}(x), A_{\mu,3}(x), \dots, A_{\mu,N}(x)\}$$

- 4) For each configuration  $A_{\mu}(x)$ , project onto the tangent space of  $M_{\tilde{A}_{\mu}}$  generated by the  $m$  "vectors"

$$g_{\gamma,\mu}^i(x, \{\bar{\gamma}_j\}); \text{ s.t. } (B(x) \cdot g_{\gamma}^i(\{\bar{\gamma}_j\})) = 0$$

$$\begin{aligned} \Gamma_1[A_{sim}] &= (A_{sim} \cdot g_{\gamma}^1) = (\tilde{A}(\gamma_1, \gamma_2, \dots, \gamma_m) \cdot g_{\gamma}^1) = \Theta_1[\gamma_1, \gamma_2, \dots, \gamma_m] \\ &\vdots \\ \Gamma_m[A_{sim}] &= (A_{sim} \cdot g_{\gamma}^m) = (\tilde{A}(\gamma_1, \gamma_2, \dots, \gamma_m) \cdot g_{\gamma}^m) = \Theta_m[\gamma_1, \gamma_2, \dots, \gamma_m] \end{aligned}$$

$$(\Theta_1[A_1], \Theta_2[A_1], \dots); (\Theta_1[A_2], \Theta_2[A_2], \dots); \dots (\Theta_1[A_N], \Theta_2[A_N], \dots)$$



$$(\gamma_1[A_1], \gamma_2[A_1], \dots); (\gamma_1[A_1], \gamma_2[A_2], \dots); \dots (\gamma_1[A_N], \gamma_2[A_N], \dots)$$

# TEST: Double Well

This is the simplest system with non-trivial vacuum structure

$$V(x[t]) = m\alpha (x^2[t] - \beta^2)^2,$$

There are 4 solutions to the (Im. time) Equation of Motion

$$\frac{d^2}{dt^2}x[t] - 4\alpha x[t] (x[t]^2 - \beta^2) = 0$$

- 2 trivial vacua:  $x_0 = \pm\beta$
- 2 Instanton-like solutions:  $x_{I,\bar{I}} = \pm \tanh[\sqrt{2\alpha\beta}(t - \bar{t})]$  (1)

Instantons are good Degrees of Freedom, but in our method **is not necessary** for the family of configurations  $\tilde{\Psi}[\gamma]$  to be solutions of EoM.

## TEST: Double Well

We rewrite  $Z$  in terms of a sum of  $N$  instantons and  $N$  antiinstantons

$$Z[T; -\beta, -\beta] = \int \left( \prod_{i=1}^N dt_i d\bar{t}_i \right) e^{-\frac{1}{\hbar} F_N(t_1, \bar{t}_1, \dots, t_N, \bar{t}_N)}$$

In the limit of very high barrier  $\tanh[\sqrt{(2\alpha)\beta t}] \rightarrow (1 - 2\theta[t])$

$$Z[T; -\beta, -\beta] \simeq \left( \frac{2\langle \mathcal{N} \rangle}{T} \right)^{2N} \int \left( \prod_{i=1}^N \int dt_i d\bar{t}_i \right) \theta(\bar{t}_i - t_i) \theta(t_{i+1} - \bar{t}_i)$$

If the barrier is lowered we can consider only a single couple

$$Z[T; -\beta, -\beta] \simeq \int dt_1 d\bar{t}_1 \left( g_{2,IA}(\bar{t}_1 - t_1) + g_{2,AI}(t_1 - \bar{t}_1) \right)$$

We will calculate  $g_{2,IA}(\bar{t}_1 - t_1)$ .

## Double Well: from Lattice simulation to $F_2$

We choose a set of configuration given by a superposition of Instantons:

$$x(t) = \tilde{x}^{IA}(t - \chi; \xi) + y(t); \quad (y \cdot g_{\chi/\xi}) = 0$$

with

$$\tilde{x} = -\beta \left\{ 1 - \tanh \left[ \sqrt{2\alpha} \beta \left( t - \chi + \frac{\xi}{2} \right) \right] + \tanh \left[ \sqrt{2\alpha} \beta \left( t - \chi - \frac{\xi}{2} \right) \right] \right\}$$

The manifold  $M_{\tilde{x}}$  is identified by the "Instanton coordinates"

$\{\chi = \frac{1}{2}(t_1 + t_2), \xi = t_2 - t_1\}$  and its tangent space, whose vectors are

$$g_{\chi}(t; \bar{\chi}, \bar{\xi}) = \left. \partial_{\chi} \tilde{x} \left( t; \chi - \frac{1}{2}\xi, \chi + \frac{1}{2}\xi \right) \right|_{\chi=\bar{\chi}, \xi=\bar{\xi}}$$

$$g_{\xi}(t; \bar{\chi}, \bar{\xi}) = \left. \partial_{\xi} \tilde{x} \left( t; \chi - \frac{1}{2}\xi, \chi + \frac{1}{2}\xi \right) \right|_{\chi=\bar{\chi}, \xi=\bar{\xi}}$$

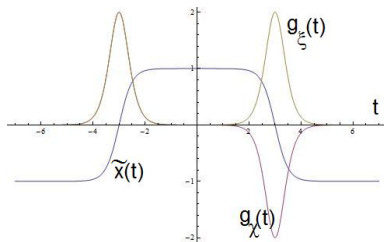
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$$\Gamma_{\chi}[x_{sim}] = (x_{sim} \cdot g_{\chi}) = (\tilde{x}(t - \chi, \xi) \cdot g_{\chi}) = \Theta_{\chi}[\chi, \xi]$$

$$\Gamma_{\xi}[x_{sim}] = (x_{sim} \cdot g_{\xi}) = (\tilde{x}(t - \chi, \xi) \cdot g_{\xi}) = \Theta_{\xi}[\chi, \xi]$$

$$(\Theta_{\chi}[x_1], \Theta_{\xi}[x_1]); (\Theta_{\chi}[x_2], \Theta_{\xi}[x_2]); \dots (\Theta_{\chi}[x_N], \Theta_{\xi}[x_N])$$

$$\downarrow$$

$$(\chi_1, \xi_1);$$

$$\downarrow$$

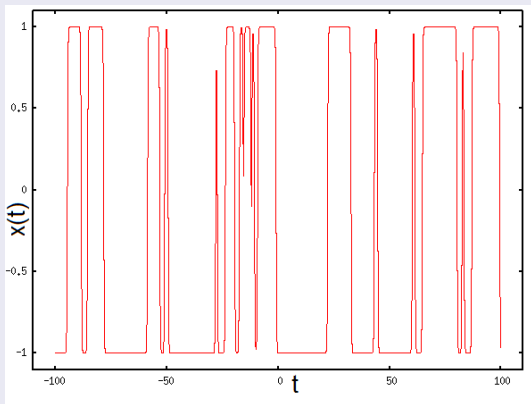
$$(\chi_2, \xi_2); \dots$$

$$\downarrow$$

$$(\chi_N, \xi_N)$$

# TEST: Dilute Instanton Gas Approximation

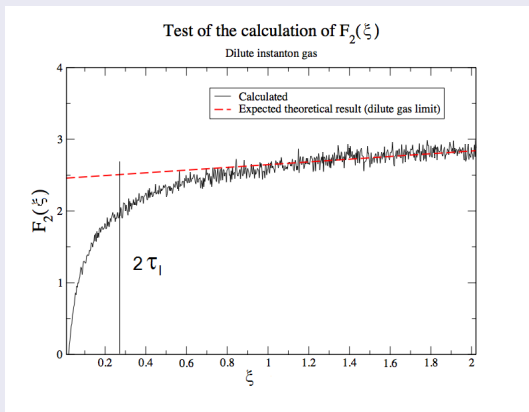
$$T = 200; m = 1, \beta = 1, \alpha = 7, \langle \mathcal{N} \rangle \simeq 31, \tau_I = 0.13$$



Example of configuration generated with DIGA distribution.

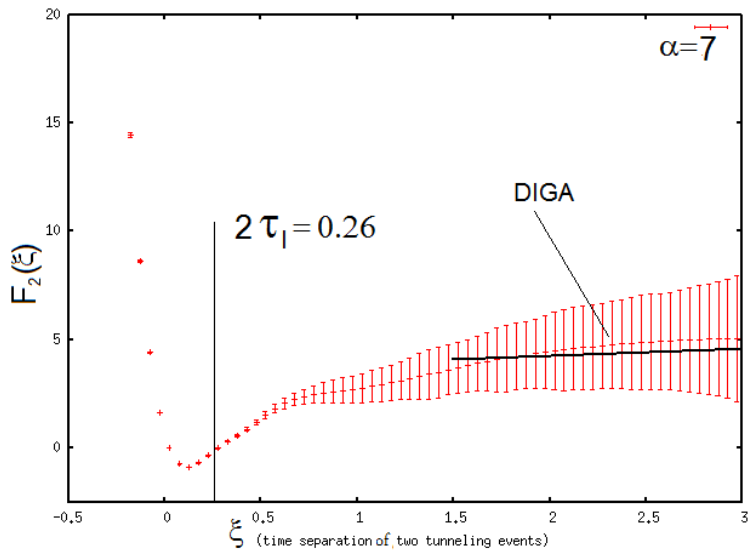
# TEST: Dilute Instanton Gas Approximation

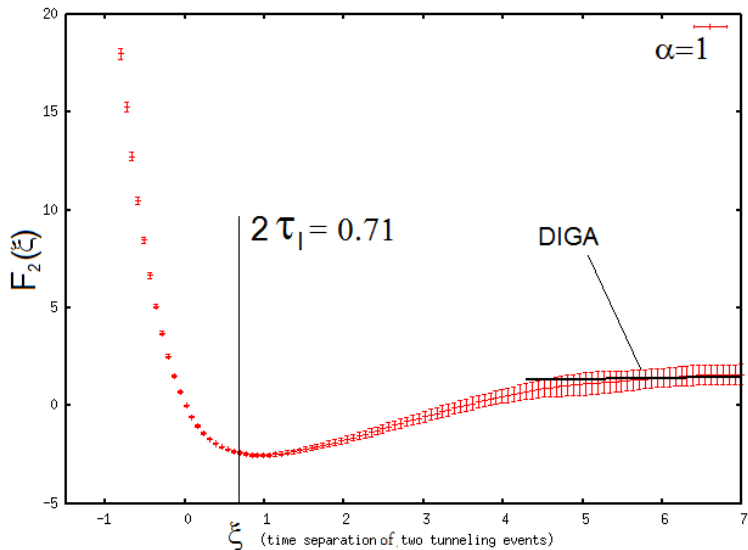
$$T = 200; m = 1, \beta = 1, \alpha = 7, \langle \mathcal{N} \rangle \simeq 31, \tau_I = 0.13$$



$$F_{2,DIGA} = -(2\langle \mathcal{N} \rangle - 1)\text{Log}[T - \xi]$$

# "Effective Potential" for $\alpha = 7$ : high well.



"Effective Potential" for  $\alpha = 1$ : low well.

# Stochastic Quantization: Perturbative Analysis

Introduce a new coordinate: stochastic time.

$$\frac{d}{d\tau} x(t, \tau) = -k \frac{\delta S[x]}{\delta x(t, \tau)} + \sqrt{\hbar} \eta(t, \tau); \quad P[\eta] \propto \exp \left\{ -\frac{1}{4k} (\eta \cdot \eta) \right\}$$

Parisi and Wu (1981) have shown that

$$\mathcal{P}[x] \xrightarrow{\tau \rightarrow \infty} \exp \left\{ -S[x(t)] \right\}$$

In the double well case we have

$$x(t) \rightarrow x[t, \tau] = \tilde{x}[t - \chi(\tau), \xi(\tau)] + y(t, \tau)$$

We expand the fields in  $\varepsilon = \sqrt{\hbar}$

$$x(t, \tau) = \sum_{i=0}^{\infty} \varepsilon^i x_i(t, \tau)$$

$$\xi(\tau) = \sum_{i=0}^{\infty} \varepsilon^i \xi_i(\tau); \quad \chi(\tau) = \sum_{i=0}^{\infty} \varepsilon^i \chi_i(\tau); \quad y(t, \tau) = \sum_{i=1}^{\infty} \varepsilon^i y_i(t, \tau)$$

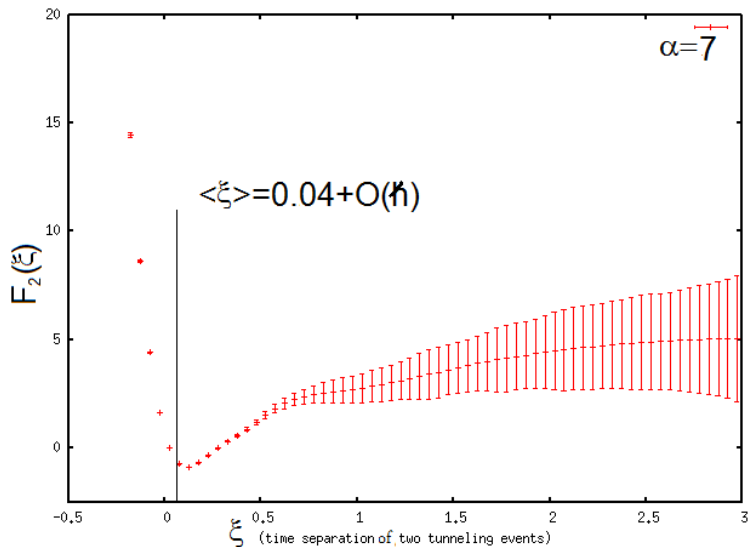
We can evaluate the mean distance up to  $\hbar$ .

$$\langle \chi \rangle = 0 + \mathcal{O}(\hbar); \text{ Trivial...}$$

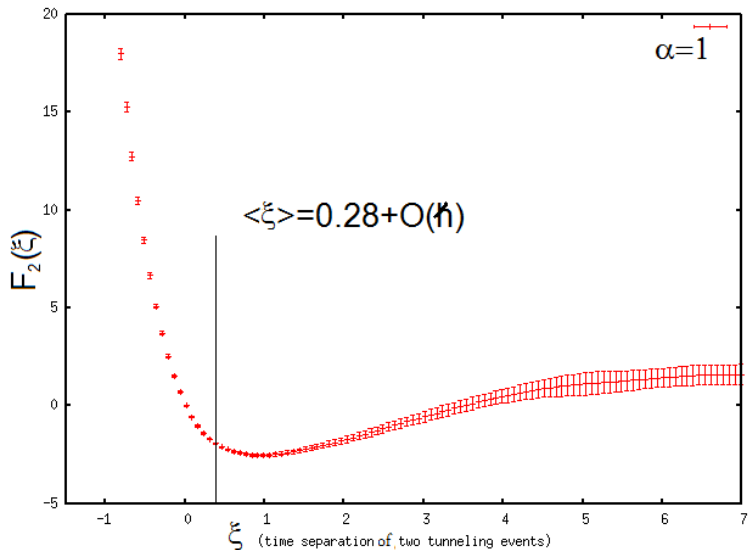
$$\langle \xi \rangle = \frac{9}{32} \frac{\hbar}{m\alpha\beta^4} + \mathcal{O}(\hbar) > 0!$$

$$\langle \xi^2 \rangle = \frac{9}{32} \frac{\hbar}{m\alpha\beta^4} \left( \frac{\pi^2 - 9}{3\sqrt{2\alpha\beta}} \right) + \mathcal{O}(\hbar)$$

# "Effective Potential" for $\alpha = 7$ : high well.



# "Effective Potential" for $\alpha = 1$ : low well.



# QCD: Instantons.

We now briefly present the status of the work.

WORK IN PROGRESS

$$A_\mu^a = \bar{A}_\mu^a(\gamma) + B_\mu^a; \quad (g_\gamma^i \cdot B) = 0$$

$$Z_{YM} = \prod_i \int d\gamma_i \int \mathcal{D}B \left( \prod_i \delta(B \cdot g_\gamma^i(\{\bar{\gamma}_j\})) \right) \left| \det_{ij} [f_{ij}(g_\gamma, \bar{A}, B)] \right| \times \\ \times \det [D_\mu(\bar{A})D_\mu(\bar{A} + B)] e^{-S[\bar{A}+B] + \frac{1}{\alpha^2} \int d^4x (D_\mu(\bar{A})B_\mu)^2}$$

- Instanton size distribution;
- Instanton-AntiInstanton interaction.

# Single Instanton

## Instanton in Singular Gauge

$$\bar{A}_{I,\mu}^a(x_\mu; x_{0,\nu}, \Delta^a, \rho) = 2\bar{\eta}_{\mu\nu}^b T^{ab}[\Delta] \frac{\rho^2(x-x_0)_\nu}{(x-x_0)^2 [(x-x_0)^2 - \rho^2]}$$

## Vectors of tangent space, associated to the manifold $M_{\rho,\Delta,x_0}$ .

$$\zeta_{I,\mu}^{\rho,a} = \left. \frac{\partial}{\partial \rho} \bar{A}_{I,\mu}^a \right|_{(\rho=\bar{\rho}, \Delta=\bar{\Delta}, x_0=\bar{x}_0)} \longrightarrow (\bar{A}_I \cdot \zeta^\rho) = \Theta_\rho[\rho, \Delta, x_0]$$

$$\zeta_{I,\mu}^{\Delta,a,c} = \left. \frac{\partial}{\partial \Delta^c} \bar{A}_{I,\mu}^a \right|_{(\rho=\bar{\rho}, \Delta=\bar{\Delta}, x_0=\bar{x}_0)} \longrightarrow (\bar{A}_I \cdot \zeta^{\Delta,c}) = \Theta_\Delta^c[\rho, \Delta, x_0]$$

$$\zeta_{I,\mu,\nu}^{x_0,a} = \left. \frac{\partial}{\partial x_{0,\nu}} \bar{A}_{I,\mu}^a \right|_{(\rho=\bar{\rho}, \Delta=\bar{\Delta}, x_0=\bar{x}_0)} \longrightarrow (\bar{A}_I \cdot \zeta_\nu^{x_0}) = \Theta_{\nu,x_0}[\rho, \Delta, x_0]$$

## CONCLUSIONS

- We described a method which enables to to obtain an "Effective Theory" for a given family of vacuum configurations, using Lattice simulations;
- the method has been tested with the Double Well "toy model": the simplest system with a non trivial vacuum structure;
- we presented the current status of the work to implement the method for QCD: single instanton and double instanton.