

# Aspects of the Gribov-Zwanziger framework

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QCD-TNT, Trento, 2009 Sept 10

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# Overview

- 1 Gribov-Zwanziger
- 2 Fate of the BRST
- 3 Kugo-Ojima
- 4 GZKO
- 5 Kugo-Ojima as a boundary condition
- 6 Exploring the GZ quantum dynamics
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# Overview

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# Gribov-Zwanziger

## Choosing a gauge

- Classical  $SU(N)$  Yang-Mills action has an “enormous” invariance

$$S_{YM} = \frac{1}{4} \int d^4x F_{\mu\nu}^a F_{\mu\nu}^a$$

w.r.t.

$$A_\mu^a \rightarrow A_\mu^a + D_\mu^{ab} \omega^b$$

- To quantize, one must choose a particular (unique) representant  $A_\mu^a$  by fixing the gauge
- Popular choice:  $\partial_\mu A_\mu^a = 0$ .

# Gribov-Zwanziger

## Choosing a gauge

- After some nice machinery, one ends up with the Faddeev-Popov gauge fixed action

$$S_{YM+FP} = \frac{1}{4} \int d^4x F_{\mu\nu}^a F_{\mu\nu}^a + \int d^4x (b^a \partial_\mu A_\mu^a + \bar{c}^a \partial_\mu D_\mu^{ab} c^b)$$

- Gauge symmetry is lost, but it is “replaced” by the (rather important) nilpotent BRST invariance

$$sA_\mu^a = -(D_\mu c)^a, \quad sc^a = \frac{1}{2} g f^{abc} c^b c^c, \quad s\bar{c}^a = b^a, \quad sb^a = 0, \quad s^2 = 0$$

# Gribov-Zwanziger

## Landau gauge and copies: the (partial) problem

- Take  $\partial A = 0$  and  $A' = A + D\omega$  an infinitesimally equivalent gauge field  
Is it possible to have

$$\partial A' = 0 \text{ or } \partial D\omega = 0? \quad (*)$$

- To have (infinitesimally related) gauge copies, we need zero modes of the Faddeev-Popov operator

$$M^{ab} = -\partial_\mu D_\mu^{ab} = -\partial_\mu (\partial_\mu \delta^{ab} + g f^{acb} A_\mu^c)$$

- Result Gribov: there are such (normalizable) zero modes!**
- Problem for the Faddeev-Popov quantization** ( $\det M = 0$ , sign switching)

# Gribov-Zwanziger

## Landau gauge and copies: the (partial) solution

- **Only integrate** in  $A$ -space over **Gribov region**  $\Omega$

$$\Omega \equiv \{A_\mu^a, \partial_\mu A_\mu^a = 0, M^{ab} > 0\}.$$

- No more gauge copies of the type (\*).
- Sounds simple in theory, but how to do in practice?

# Gribov-Zwanziger

## The Gribov restriction: semiclassical level

- Partition function

$$Z = \int [D\Phi] e^{-S_{YM+FP}} \rightarrow Z = \int [D\Phi] \theta(M) e^{-S_{YM+FP}}$$

- how to characterize  $\theta(M)$ ? We look at its inverse, written as

$$M^{-1} = (-\partial D)^{-1} = k^2(1 - \sigma(k^2, A))$$

- Gribov no pole condition:  $\sigma(0, A) < 1$

- Partition function

$$Z = \int [D\Phi] e^{-S_{YM+FP}} \rightarrow Z = \int [D\Phi] \theta(1 - \sigma(0, A)) e^{-S_{YM+FP}}$$

# Gribov-Zwanziger

## The Gribov restriction: semiclassical level

- Semiclassical analysis in thermodynamic limit:  $\theta \rightarrow \delta$
- Partition function (at the quadratic level)

$$Z = \int [D\Phi] \delta(1 - \sigma(0, A)) e^{-S_{YM+FP}} \rightarrow \int [D\Phi] e^{-S_{YM+FP} + \gamma^4 \int d^4x A \frac{1}{\partial^2} A}$$

- $\gamma =$  **mass parameter**, fixed by gap equation,

$$\frac{3}{4} g^2 N \int \frac{d^4q}{(2\pi)^4} \frac{1}{q^4 + \gamma^4} = 1 \quad (\diamond)$$

- **New partition function** (or action)  $\rightarrow$  **perfect tool to study theory with**

# Gribov-Zwanziger

## The Gribov restriction: propagators

- Gluon

$$D(q^2) = \frac{q^2}{q^4 + \gamma^4} \quad \boxed{D(0) = 0}$$

- Ghost

$$G(q^2) = \frac{1}{q^2} \frac{1}{1 - \sigma(q^2)} \quad \boxed{q^2 G(q^2) \rightarrow \infty \text{ if } q^2 \rightarrow 0}$$

since  $\sigma(0) = 1$  due to gap equation ( $\diamond$ )  $\Rightarrow$  **IR ghost enhancement**.

- No surprise since the **gap equation** exactly **corresponds** to the **no-pole condition**

# Gribov-Zwanziger

## Gribov → Zwanziger: formal version

- Restricts the integration to the Gribov region to all orders (work of Zwanziger)
- Gribov region has several nice properties
- Implements the no-pole condition to all orders
- The Gribov-Zwanziger action is given by

$$S_h = S_{YM} + S_{gf} + \gamma^4 \int d^4x h(x)$$

with the horizon function

$$h(x) = g^2 f^{abc} A_\mu^b (\mathcal{M}^{-1})^{ad} f^{dec} A_\mu^e$$

- horizon condition (= gap equation)

$$\langle h(x) \rangle = d(N^2 - 1)$$

# Gribov-Zwanziger

## Gribov→Zwanziger: formal version

- Is this a mathematically 100% rigorous derivation?
- As so many things in quantum field theory, **NO**
- But best thing on the analytical market concerning Gribov copies!

# Gribov-Zwanziger

## Gribov → Zwanziger: useful version

- We replace the action with a local (equivalent) action

$$S_{GZ} = S_{YM+GF} + S_h$$

with

$$S_h = \int d^4x \left( \bar{\varphi}_\mu^{ac} \partial_\nu \left( \partial_\nu \varphi_\mu^{ac} + g f^{abm} A_\nu^b \varphi_\mu^{mc} \right) - \bar{\omega}_\mu^{ac} \partial_\nu \left( \partial_\nu \omega_\mu^{ac} + g f^{abm} A_\nu^b \omega_\mu^{mc} \right) - g \left( \partial_\nu \bar{\omega}_\mu^{ac} \right) f^{abm} \left( D_\nu c \right)^b \varphi_\mu^{mc} - \gamma^2 g \left( f^{abc} A_\mu^a \varphi_\mu^{bc} + f^{abc} A_\mu^a \bar{\varphi}_\mu^{bc} + \frac{4}{g} \left( N^2 - 1 \right) \gamma^2 \right) \right)$$

- horizon condition (= gap equation)

$$\frac{\partial \Gamma}{\partial \gamma^2} = 0 \Leftrightarrow \underbrace{\langle g f^{abc} A_\mu^a (\varphi + \bar{\varphi})_\mu^{bc} \rangle}_{d=2 \text{ condensate!!}} = 2d(N^2 - 1)\gamma^2$$

# Gribov-Zwanziger

## Gribov $\rightarrow$ Zwanziger: useful version

- At lowest order, everything reduces to Gribov
- At  $\gamma = 0$ , everything reduces to Faddeev-Popov

# Gribov-Zwanziger

## Local GZ action

- Locality allows for the usual tools to study a quantum field theory
- E.g.: GZ action is **renormalizable** to all orders (Zwanziger, others)
- Local Ward identities
- We can also study/use the symmetries of the GZ theory

From now on, we shall only use the local formulation!

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## BRST

## Back to the Gribov (quadratic) approximation

- Modified partition function
- Act with (free) BRST symmetry,  $sA_\mu^a = -\partial_\mu c^a$

$$s(\text{action}) = s \left( \gamma^4 \int d^4x A_\mu^a \frac{1}{\partial^2} A_\mu^a \right) \propto \gamma^4 \int d^4x A_\mu \frac{1}{\partial^2} \partial_\mu c^a \neq 0$$

- BRST is broken!?

## BRST

## (soft) BRST breaking in the GZ theory

- Consider the GZ action  $S_{GZ}$
- naturally extended BRST transformation

$$sA_\mu^a = -(D_\mu c)^a, \quad sc^a = \frac{1}{2}gf^{abc}c^b c^c, \quad s\bar{c}^a = b^a, \quad sb^a = 0, \\ s\varphi_\mu^{ab} = \omega_\mu^{ab}, \quad s\omega_\mu^{ab} = 0, \quad s\bar{\varphi}_\mu^{ab} = \bar{\varphi}_\mu^{ab}, \quad s\bar{\varphi}_\mu^{ab} = 0,$$

- Then

$$sS_{GZ} = g\gamma^2 \int d^4x f^{abc} (A_\mu^a \omega_\mu^{bc} - (D_\mu^{am} c^m) (\bar{\varphi}_\mu^{bc} + \varphi_\mu^{bc})) = \Delta_\gamma$$

- BRST symmetry is softly broken
- softly, because breaking  $\propto \text{mass}^2$ .

# BRST

## (soft) BRST breaking in the GZ theory

- the breaking is soft  $\Rightarrow$  under control at quantum level
- $\Rightarrow$  softly broken Slavnov-Taylor identity

$$\int d^4x \left( \frac{\delta\Gamma}{\delta K^a_\mu} \frac{\delta\Gamma}{\delta A^a_\mu} + \frac{\delta\Gamma}{\delta L^a} \frac{\delta\Gamma}{\delta c^a} + b^a \frac{\delta\Gamma}{\delta \bar{c}^a} + \bar{\varphi}_i^a \frac{\delta\Gamma}{\delta \bar{\omega}_i^a} + \omega_i^a \frac{\delta\Gamma}{\delta \varphi_i^a} \right) = - [\Delta_\gamma \cdot \Gamma]$$

whereby  $[\Delta_\gamma \cdot \Gamma]$  corresponds to insertion of the breaking operator  $\Delta_\gamma$ .

- Nice application: **gluon self energy is not transverse**  
(explicitly checked by Gracey)

# BRST

## (soft) BRST breaking in the GZ theory: a problem?

- **Potential problem 1: renormalizability**

this is no problem! softly broken BRST is sufficient to ensure this!!

- **Potential problem 2: physical states/unitarity/positiveness**

not so easy to answer. Soft BRST breaking can play a role in definition of (would-be) physical states in the GZ formalism, see talk of Vandersickel  
→ this topic is under investigation.

# BRST

## Talking of physical states...

how does it work in usual (perturbative) gauge theories?

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# Kugo-Ojima in a nutshell

## Starting points

- 4D Faddeev-Popov action in a covariant gauge,

$$S_{YM+FP} = \frac{1}{4} \int d^4x F_{\mu\nu}^a F_{\mu\nu}^a + \int d^4x (b^a \partial_\mu A_\mu^a + \bar{c}^a \partial_\mu D_\mu^{ab} c^b + \alpha b^a b^a)$$

- $S$  enjoys nilpotent BRST symmetry  $s$

$$sA_\mu^a = -(D_\mu c)^a, \quad sc^a = \frac{1}{2} g f^{abc} c^b c^c, \quad s\bar{c}^a = b^a, \quad sb^a = 0, \quad s^2 = 0$$

- Based on operator formalism.

# Kugo-Ojima in a nutshell

## Result 1

- Define  $|\psi_p\rangle$  **physical** state  $\Leftrightarrow |\psi_p\rangle = \underbrace{|\dots\rangle}_{Q_{BRST}|\dots\rangle=0} + Q_{BRST}|\dots\rangle$
- $|\psi_p\rangle \in \text{cohom}(Q_{BRST}) = \frac{\text{Ker}Q_{BRST}}{\text{Im}Q_{BRST}}$
- **unphysical states**  $|\psi_u\rangle$  always come in **quartets**, and decouple from the physical spectrum.
- Example: longitudinal and temporal gauge polarization, ghost, antighost.
- 2 transverse gluons remain, with positive norm.
- KO analysis also guarantees **unitarity** ( $Q_{BRST}$  commutes with  $H$  as symmetry)

# Kugo-Ojima in a nutshell

## KO analysis

very clear what it means at perturbative level. Quartet mechanism quite general (basic properties of symmetry algebra).

# Kugo-Ojima in a nutshell

## Result 2

- global color charge reads

$$Q^a = \int d^3x \partial^i F_{i0}^a + \int d^3x \{Q_{BRST}, D_0 \bar{c}^a\}$$

- assume that gluons are “massive” ( $\rightarrow \int d^3x \partial^i F_{i0}^a = 0$ ) and that  $\int d^3x \{Q_{BRST}, D_0 \bar{c}^a\}$  is well defined
- $\Rightarrow$  !!confinement!! (no colored physical objects)
- Physical meaning of such confinement is rather unclear.

# Kugo-Ojima in a nutshell

## Result 2

- KO showed  $\int d^3x \{Q_{BRST}, D_0 \bar{c}^a\}$  well defined  $\Leftrightarrow u(0) = -1$  with

$$\int d^4x e^{iqx} \langle D_\mu c^a(x) D_\nu \bar{c}^b(0) \rangle = \delta^{ab} \left( g_{\mu\nu} - \frac{q_\nu q_\mu}{q^2} \right) u(q^2) - \frac{q_\mu q_\nu}{q^2}$$

**KO confinement criterion**  $u(0) = -1$

# Kugo-Ojima in a nutshell

## Result 2

- Interesting relation (in Landau gauge  $\alpha = 0$ ) (Kugo, Zwanziger, Kondo, Binosi et al)

$$G^{ab}(q) = \langle c^a \bar{c}^b \rangle_q = \frac{\delta^{ab}}{q^2} \frac{1}{1 + u(q^2) + q^2 v(q^2)}$$

- $u(0) = -1 \Leftrightarrow$  **ghost propagator  $G(q)$  infrared enhanced!**

# Kugo-Ojima in a nutshell

## a few questions

- KO are silent about renormalization?
- $u = -1$  certainly **not realized in perturbation theory**  
→ need for nonperturbative calculational scheme
- **trivial that BRST extends all the way down to  $p^2 = 0$ , a rather nontrivial region?**
- KO is based on Faddeev-Popov quantization  $\Rightarrow$  what about the **gauge (Gribov) copy problem?**

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## Gribov-Zwanziger = Kugo-Ojima?

- Gluon vanishes at zero momentum.
- Ghost is IR enhanced (proven, checked by Zwanziger, Gracey)
- **Equivalence KO criterion and no pole condition**

$$\sigma(0) = -u(0) (= 1)$$

⇒ Everything for the KO confinement scenario seems to be there!

## Gribov-Zwanziger = Kugo-Ojima?

- Can be formalized (using Ward identities)

$$\begin{aligned} \langle gf^{abc} A_\mu^a (\varphi + \bar{\varphi})_\mu^{bc} \rangle &= 2\gamma^2 \int d^4x \langle D_\mu c^a(x) D_\mu \bar{c}^a(0) \rangle \\ &= -2\gamma^2 (N^2 - 1) ((d - 1)u(0) - 1) \end{aligned}$$

- → thus comes from (renormalizable) connection with correlator

$$\langle D_\mu c^a(x) D_\mu \bar{c}^a(y) \rangle$$

- but there is **no renormalizable** connection with

$$\langle (gf^{abc} A_\mu^b c^c(x)) (gf^{apq} A_\mu^p \bar{c}^q(y)) \rangle$$

(cfr Kondo results)

## Gribov-Zwanziger = Kugo-Ojima?

- Equivalence horizon condition  $\leftrightarrow$  KO criterion

$$\begin{aligned} \langle gf^{abc} A_{\mu}^a (\varphi + \bar{\varphi})_{\mu}^{bc} \rangle &= 2\gamma^2 d(N^2 - 1) \\ &\Downarrow \\ u(0) &= -1 \end{aligned}$$

## Gribov-Zwanziger = Kugo-Ojima?

- $GZ + KO = GZKO$  framework
- enhanced ghost, vanishing gluon in infrared
- confinement

## Gribov-Zwanziger $\neq$ Kugo-Ojima

- **GZ**  $\leftrightarrow$  **BRST breaking**

vs

**KO**  $\leftrightarrow$  **BRST indispensable**

- GZ action  $\neq$  FP action  
→ also different version of global color charge, ...
- **Impossible** to just copy & paste KO into GZ

## Gribov-Zwanziger $\neq$ Kugo-Ojima

Rather unclear what KO criterion means in GZ formalism, in absence of BRST, a keystone of the whole KO construction.

Certainly no “proof” of confinement, nonperturbative unitarity, or whatsoever.

## Reconciling GZ with KO?

- find other GZ action, consistent with usual BRST  $\rightarrow$  highly doubtful.
- find another BRST (cfr Kondo, Sorella)  $\rightarrow$  BRST is necessarily nonlocal, and since it differs from original BRST, KO needs to be reworked anyhow (if even possible???)

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# KO as boundary

## Imposing $u(0) = -1$

- Some Schwinger-Dyson approaches impose KO as boundary condition to solve equations
- Question: **does imposing  $u(0) = -1$  harms symmetries of the starting FP action (or not)?**

# KO as boundary

## Imposing $u(0) = -1$

- We start from the FP action, written in the following form

$$S'_{YM+FP} = S_{YM+FP} + \int d^4x \left( \overline{\varphi}_\mu^{ac} \partial_\nu \left( \partial_\nu \varphi_\mu^{ac} + g f^{abm} A_\nu^b \varphi_\mu^{mc} \right) - \overline{\omega}_\mu^{ac} \partial_\nu \left( \partial_\nu \omega_\mu^{ac} + g f^{abm} A_\nu^b \omega_\mu^{mc} \right) \right)$$

- We recall that

$$u(0) = -1 \Leftrightarrow \langle g f^{abc} A_\mu^a (\varphi + \overline{\varphi}_\mu^{bc}) \rangle = 2d(N^2 - 1)\gamma^2$$

- We borrow something from statistical mechanics: we **enforce the constraint with a multiplier**. More precisely

$$S'_{YM+FP} \rightarrow S_{KO} \equiv S'_{YM+FP} - \int d^4x \left( \gamma^2 g \left( f^{abc} A_\mu^a (\varphi_\mu^{bc} + \overline{\varphi}_\mu^{bc}) + \frac{4}{g} (N^2 - 1) \gamma^2 \right) \right)$$

with self-consistency condition  $\partial\Gamma/\partial\gamma^2 = 0$ .

# KO as boundary

## Do boundary conditions come as a free lunch?

- Clearly,

$$S_{KO} \equiv S_{GZ}$$

$\Rightarrow S_{KO}$  shares all the properties of the GZ action (renormalizability!, ...).

- We now have an action at our disposal that automatically implements KO boundary**, in way stable under radiative corrections!

## KO boundary condition does not come as a free lunch

- Imposing (in a at quantum level consistent way) KO breaks usual BRST
- In fact, the eventual action is equivalent to the GZ action (although rather different starting philosophy).
- KO boundary  $\overset{\text{mystery}}{\leftrightarrow}$  KO confinement (criterion)

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# GZ dynamics

## Comparison GZ with lattice Landau gauge

	GZ	Lattice
$D(0)$	0	$\rightarrow c_1 > 0$
$G(p^2)$	enhanced	$\rightarrow \frac{c_2}{p^2}$
Pos.violation	yes	yes

To be complete:

- $D(0) = 0$  is not settled according to e.g. Oliveira/Silva (see talk of Oliveira)
- There are other “views” on lattice data on the physics market (see e.g. Maas, Von Smekal)

# Some plots for the ghost propagator

**Ghost dressing function**

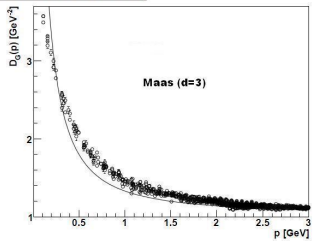


FIG. 16: The lattice results in absolute Landau gauge from a  $64^4$  lattice at  $\beta = 4.24$  compared to results from DSE calculations [20].

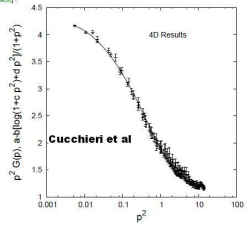


FIG. 2: The ghost dressing function  $p^2 G(p^2)$  as a function of  $p^2$  (in GeV) for the 2d case (top, at  $\beta = 10$  with volume  $320^2$ ), 3d case (center, at  $\beta = 3$ , with volume  $240^3$ ) and the 4d

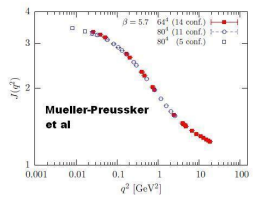


Figure 4: Bare ghost dressing function  $J(q^2)$  versus  $q^2$  for  $L = 64, 80$  at  $\beta = 5.70$ . Errors are not shown at the two lowest  $q^2$  (squares).

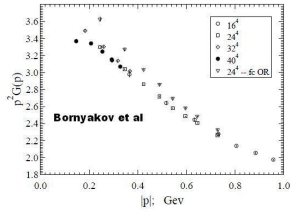


FIG. 5: The momentum dependence of the ghost dressing function  $p^2 \cdot G(p)$  on the various lattices. For comparison results obtained with OR algorithm on  $24^2$  lattices are also shown.

# Some plots for the gluon propagator

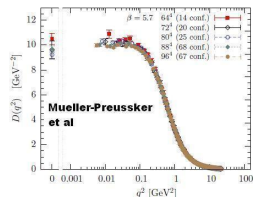
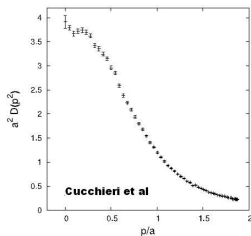
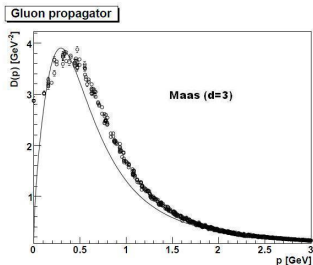


Figure 2: The bare lattice gluon propagator  $D(q^2)$  versus  $q^2$  for  $\beta = 5.70$  and various lattice sizes. We also show data on  $D(0)$  (left).

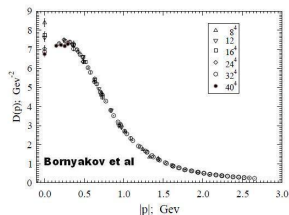


FIG. 2: The momentum dependence of the gluon propagator  $D(p)$  on various lattice size. *bc* results are shown throughout.

# GZ dynamics

## Comparison GZ with lattice Landau gauge

- Many Schwinger-Dyson solutions are in agreement with such lattice data, see e.g. talks/work by Binosi, Papavassiliou, Natale, Aguilar, Pene, Maas, Fischer, Pawłowski, . . .
- There seem to be a **discrepancy between GZ and lattice** data
- Working hypothesis: GZ can explain this data. Question is how?

# GZ dynamics

## A few observations

- Horizon condition is equivalent with a  $d = 2$  condensate,

$$\langle gf^{abc} A_\mu^a (\varphi + \bar{\varphi}_\mu)^{bc} \rangle = 2\gamma^2 d(N^2 - 1) \neq 0$$

- There is a mass scale  $\gamma^2$  present in GZ action and there is a  $(A, \varphi)$  coupling

$$S_h = \int d^4x \left( \bar{\varphi}_\mu^{ac} \partial_\nu (\partial_\nu \varphi_\mu^{ac} + gf^{abm} A_\nu^b \varphi_\mu^{mc}) - \bar{\omega}_\mu^{ac} \partial_\nu (\partial_\nu \omega_\mu^{ac} + gf^{abm} A_\nu^b \omega_\mu^{mc}) - g (\partial_\nu \bar{\omega}_\mu^{ac}) f^{abm} (D_\nu c)^b \varphi_\mu^{mc} - \gamma^2 g \left( f^{abc} A_\mu^a \varphi_\mu^{bc} + f^{abc} A_\mu^a \bar{\varphi}_\mu^{bc} + \frac{4}{g} (N^2 - 1) \gamma^2 \right) \right)$$

- **Might there be other ( $d = 2$ ) condensates?**

A few candidates:  $\langle A^2 \rangle$ ,  $\langle \varphi^2 \rangle$ ,  $\langle \bar{\varphi}^2 \rangle$ ,  $\langle \bar{\varphi} \varphi \rangle$ ,  $\langle \bar{\omega} \omega \rangle$

A nonvanishing VEV could be fueled by  $\gamma^2 \neq 0$ ?

# GZ dynamics

## A study proposal

- Start from the GZ action
- Quantum dynamics of the GZ theory can give further nontrivial effects (e.g. nontrivial  $d = 2$  condensates)
- Such condensates can further affect the propagator behaviour.

## A study proposal: important statement

We do **not** alter the GZ action ad hoc. On the contrary, we wish to take into account what the GZ theory is telling us about its nontrivial quantum dynamics/vacuum structure.

# GZ dynamics

## A study proposal: a few requirements

- Renormalizability: the LCO's (=Local Composite Operator) should be at least renormalizable
- We need a tool to study the effective action  $\Gamma$  of LCO's

## A study proposal: a few solutions

- Renormalizability of LCO's: this can be studied within the algebraic renormalization formalism
- Construction of  $\Gamma(\text{LCO})$ : LCO formalism of Verschelde et al based on renormalization group (invariance)

# GZ dynamics

## Relevance of $d = 2$ operator(s) to define Refined GZ framework = RGZ

- RGZ results can give nice qualitative agreement with (most) lattice results:
  - $D(0) > 0$   
In fact, at tree level

$$D(p^2) = \frac{p^2 + M^2}{p^4 + M^2 p^2 + \lambda^4} = \frac{1}{p^2 + m^2(p^2)},$$

it looks like an effective “gluon mass”  $m^2(p^2) = \frac{\lambda^4}{p^2 + M^2}$

- ghost  $\sim \frac{1}{q^2}$  at low  $q^2$
- positivity violation

# GZ dynamics

## VEV of LCO in QFT

- Start from action  $S(\phi)$
- We are e.g. interested in LCO  $O = \phi^2$ .
- Standard analysis
  1. introduce a source  $J$  to define  $O$ :

$$S(\phi) \rightarrow S(\phi) + JO$$

2. introduce the functional  $W(J)$

$$e^{-W(J)} = \int [D\phi] e^{-(S(\phi)+JO)}$$

# GZ dynamics

## VEV of LCO in QFT

### 3. $\langle O \rangle$ ?

$$\left. \frac{\delta W}{\delta J} \right|_{J=0} = \langle O \rangle$$

(this will be the perturbative value of  $\langle O \rangle$ )

### 4. More general: Legendre transform

$$\Gamma(\sigma) = W(J) - JO, \quad \sigma = \frac{\delta W}{\delta J}$$

with

$$\left. \frac{\delta \Gamma}{\delta \sigma} \right|_{\sigma=\sigma_*} = 0 (= J), \quad \sigma_* = \langle O \rangle.$$

(other solutions can emerge, with lower vacuum energy  $\Gamma(\sigma_*)$ .)

- Of course,  $\langle O \rangle$  is **not** a **free** parameter, but **dynamically fixed** using the original action! It's inherently part of the theory, not something you "add" by hand.

# GZ dynamics

## Application to GZ: dynamical derivation of RGZ

- We are currently investigating the following  $d = 2$  operators within the GZ formalism

$$O_1 \equiv A_\mu^a A_\mu, \quad O_2 \equiv \bar{\varphi}_\mu^{ab} \varphi_\mu^{ab}, \quad O_3 \equiv \varphi_\mu^{ab} \varphi_\mu^{ab}, \quad O_4 \equiv \bar{\varphi}_\mu^{ab} \bar{\varphi}_\mu^{ab},$$

each with associated field  $\sigma_i$  such that

$$\langle \sigma_i \rangle \propto O_i$$

- The  $O_{2,3,4}$  are important for the RGZ formalism

# GZ dynamics

## Perturbative VEV's of $d = 2$ LCO's in GZ

- We for example find at 1-loop

$$\langle \bar{\varphi} \varphi \rangle = \left. \frac{\delta W}{\delta Q} \right|_{\text{sources}=0} = \frac{3(N^2 - 1)}{64\pi} \lambda^2 \neq 0 \quad (\lambda^4 = 2g^2 N \gamma^4)$$

- Analogously, one finds  $\langle A^2 \rangle \propto \lambda^2$ ,  $\langle \varphi^2 \rangle \propto \lambda^2$ ,  $\langle \bar{\varphi}^2 \rangle \propto \lambda^2$ .  
( $\langle \bar{\omega} \omega \rangle = 0$ )
- Serves as extra motivation to investigate the  $d = 2$  LCO's!

# GZ dynamics

## Application to GZ: dynamical derivation of RGZ

- there is the dynamical emergence of RGZ masses, if e.g.  $\langle \sigma_2 \rangle \neq 0$ .
- Notice that due to  $\gamma \neq 0$ , it's even **not so easy to obtain**

$$\left. \frac{\partial \Gamma}{\partial \sigma_i} \right|_{\sigma_k=0} = 0$$

or, it's **not so easy to find**  $\langle \sigma_i \rangle = 0$  in GZ!

- $\Rightarrow$  Hard to escape RGZ
- Job to be done: explicit computations to confirm this and get estimates
- At the end, effective Lagrangian including the effects of other condensates
- (probably some a priori simplifications will be needed, as quite (too) complicated to do)

# GZ dynamics

## Crucial message

- **Every parameter in GZ is eventually fixed in terms of  $\Lambda_{QCD}$ .**
- No “choices” to be made. If you believe in GZ formalism as good starting point, it is telling you what to do. Eventually also if the RGZ dynamics is favoured (or not).
- If you believe GZ is wrong/incomplete, you can of course start playing around and looking for something else!
  - a “correct” implementation of the Faddeev-Popov trick incorporating signs etc, to average over all copies?
  - saying that the Gribov problem is irrelevant?
  - finding other implementations of the restriction?
  - you name it?

# Overview

- 1 Gribov-Zwanziger
- 2 Fate of the BRST
- 3 Kugo-Ojima
- 4 GZKO
- 5 Kugo-Ojima as a boundary condition
- 6 Exploring the GZ quantum dynamics
- 7 Summary**

## Summary

- We discussed differences between GZ and KO.
- (soft) BRST breaking in GZ spoils KO analysis.
- Imposing KO as boundary condition gives GZ partition function.
- GZ has a rich dynamical structure.
- Extra quantum effects can shift GZ predictions for propagators:
  - IR enhanced ghost  $\rightarrow 1/p^2$  behaviour
  - IR vanishing gluon  $\rightarrow$  IR finite gluon  $\neq 0$
- Possibility to study analytically.