

# Chiral symmetry breaking and the Banks–Casher relation on the lattice

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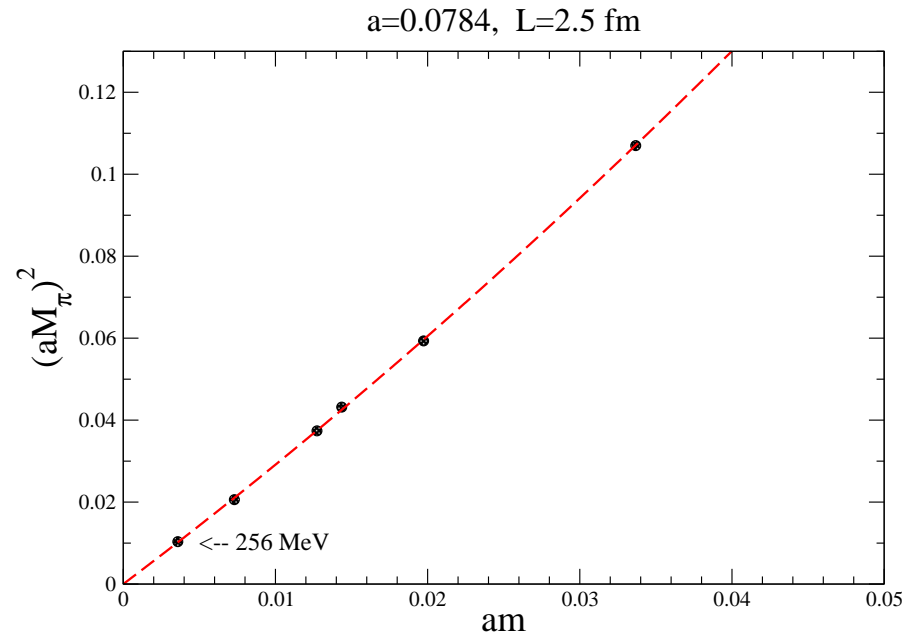


Based on: L. G. and M. Lüscher JHEP 0903 (2009) 013 [[arXiv:0812.3638](https://arxiv.org/abs/0812.3638)]

QCD Green's Functions, Confinement and Phenomenology - September 8th 2009

## Outline

- Banks-Casher relation
- Renormalization of the spectral density
- Spectral density in ChPT
- Spectral projectors and extraction of  $\Sigma$
- Exploratory numerical study
- Conclusions and Outlook



$$(aM_{\pi})^2 = 2.80(3) \times am + 11.3(12) \times (am)^2 \quad \chi^2/\text{dof} = 0.9$$

- **A striking linearity observed:** at the smallest masses non-linear correction is 1 - 3%. SSB as expected. Result confirmed by other collaborations
- **It is time for a precise and quantitative study of QCD in the chiral regime**
- **Consequences of the SSB can be tested from first principles. The GMOR relation is maybe the simplest to start with**

- The spectral density of the Dirac operator (two degenerate flavours of mass  $m$ ) is

$$\rho(\lambda, m) \equiv \frac{1}{V} \sum_k \langle \delta(\lambda - \lambda_k) \rangle$$

where  $\langle \dots \rangle$  indicates the path-integral average, and for each gauge configuration

$$D\psi_k = i\bar{\lambda}_k \psi_k, \quad \bar{\lambda}_k = \lambda_k + \mathcal{O}(a^2)$$

- $\rho(\lambda, m)$  has a well defined infinite volume limit,  $\chi$ -sym. implies  $\rho(\lambda, m) = \rho(-\lambda, m)$
- The chiral condensate is given by

$$\Sigma(m_v, m) \equiv -\langle \bar{\psi}\psi \rangle = \int_{-\infty}^{\infty} d\lambda \frac{\rho(\lambda, m)}{i\lambda + m_v}, \quad \frac{\Sigma(0, 0)}{\pi} = \lim_{\lambda \rightarrow 0} \lim_{m \rightarrow 0} \lim_{V \rightarrow \infty} \rho(\lambda, m)$$

- A condensate is generated if eigenvalues condensate near zero with spacing  $\propto 1/V$

- The resolvent

$$R(z, m) = \int_0^\infty d\lambda \frac{\rho(\lambda, m)}{(\lambda^2 + m_v^2)^2 (z - \lambda^2 - m_v^2)}$$

is an analytic function of  $z$  with a cut on the real axis for  $z \geq m_v^2$

- Spectral density proportional to the discontinuity across the cut, uniquely determined from  $R(z)$

- For  $|z| < m_v^2$  one can expand in power series

$$R(z, m) = \sum_{k=0}^{\infty} m_k z^k, \quad m_k = - \int_0^\infty d\lambda \frac{\rho(\lambda, m)}{(\lambda^2 + m_v^2)^{k+3}}$$

- It is enough to study the renormalization and continuum limit of the moments  $m_k$

- The moments  $m_k$  can be written as

$$m_k = a^{4n-4} \sum_{x_1, \dots, x_{n-1}} \langle P_{12}(x_1) P_{23}(x_2) \dots P_{n1}(0) \rangle, \quad n = 2k+6, \quad P_{ij} = \bar{\psi}_i \gamma_5 \psi_j$$

if a sufficient number of valence quarks are added to the theory

- An overall factor  $(1/Z_m)^n$  renormalizes the correlation function when the pseudoscalar densities are inserted at physical distance
- At short distances ( $x_1 \rightarrow x_2$ ) the flavour structure implies

$$P_{12}(x_1) P_{23}(x_2) \sim C(x_1 - x_2) S_{13}(x_1)$$

where by power counting  $C(x)$  diverges like  $|x|^{-3}$

- This singularity is integrable. Analogous argument for all other short-distance singularities. No extra contact terms are needed to renormalize  $m_k$

- Once the the gauge coupling and the mass are renormalized, the resolvent

$$\hat{R}(z, m) = Z_m^{-6} R\left(\frac{z}{Z_m^2}, \frac{m}{Z_m}\right)$$

is ultraviolet finite. In particular no extra UV power divergences need to be subtracted

- The renormalized density reads

$$\hat{\rho}(\lambda, m) = Z_m^{-1} \rho\left(\frac{\lambda}{Z_m}, \frac{m}{Z_m}\right)$$

- For Wilson fermions a gap for the operator  $D_{m_v}$  not guaranteed, a more involved derivation needed [LG, Lüscher 08]

- Standard chiral effective theory supplemented with a valence quark

$$SU(2)_L \otimes SU(2)_R \rightarrow SU(2)_V \quad \Longrightarrow \quad SU(3|1)_L \otimes SU(3|1)_R \rightarrow SU(3|1)_V$$

- The unitary fields are given by

$$U = \exp \left\{ \frac{2i}{F} \Phi \right\}, \quad \Phi = \sum_a \phi^a T^a$$

and the leading order Lagrangian is  $[M = \text{diag}(m, m, m_v, m_v)]$

$$\mathcal{L}^{(2)} = \frac{F^2}{4} \left\{ \text{Str} \left[ \partial_\mu U^\dagger \partial_\mu U \right] - \frac{2\Sigma}{F^2} \text{Str} \left[ M U^\dagger + M^\dagger U \right] \right\}$$

- At the NLO the relevant term is given by

$$\mathcal{L}^{(4)} = -\frac{4\Sigma^2}{F^4} L_6 \text{Str} \left[ U^\dagger M + M^\dagger U \right] \text{Str} \left[ U^\dagger M + M^\dagger U \right] + \dots$$

- If the condensate is analytically continued in the valence quark mass

$$\Sigma(z, m) \equiv -\langle \bar{\psi}\psi \rangle = \int_{-\infty}^{\infty} d\lambda \frac{\rho(\lambda, m)}{i\lambda + z}$$

then the spectral density can be computed as

$$2\pi\rho(\lambda, m) = \lim_{\epsilon \rightarrow 0} \left[ \Sigma(i\lambda + \epsilon, m) + \Sigma(-i\lambda + \epsilon, m) \right]$$

- In the infinite volume limit an at the NLO in ChPT

$$\begin{aligned} \rho^{\text{nlo}}(\lambda, m) &= \frac{\Sigma}{\pi} \left\{ 1 + \frac{m\Sigma}{(4\pi)^2 F^4} \left[ (3\bar{l}_6 - 1) + 2\frac{\lambda}{m} \arctan\left(\frac{\lambda}{m}\right) - \pi\frac{|\lambda|}{m} \right. \right. \\ &\quad \left. \left. - 2 \ln\left(\frac{\Sigma\sqrt{\lambda^2+m^2}}{F^2\mu^2}\right) - \ln\left(\frac{2\Sigma|\lambda|}{F^2\mu^2}\right) \right] \right\} \end{aligned}$$

where  $\bar{l}_6 = (1024\pi^2)\hat{L}_6/3 + \dots$

## Extracting $\Sigma$ from the spectral density

- The dynamical properties of the theory are encoded in  $\rho(\lambda, m)$
- The power divergences in the chiral condensate

$$\Sigma(z, m) \equiv \int_{-\infty}^{\infty} d\lambda \frac{\rho(\lambda, m)}{i\lambda + z}$$

do not originate from the spectral density itself but from the particular integral taken

- Note that if  $\rho(\lambda, m)$  is extracted from

$$2\pi\rho(\lambda, m) = \lim_{\epsilon \rightarrow 0} \left[ \Sigma(i\lambda + \epsilon, m) + \Sigma(-i\lambda + \epsilon, m) \right]$$

the divergences cancel out on the r.h.s.

- By choosing a different probe function, it is possible to extract  $\Sigma$  from integrals of the spectral density which are not plagued by power divergences [L.G., S. Necco 07]

- The average number of eigenstates of  $D$  with  $|\lambda| < \Lambda$

$$\nu(\Lambda, m) = V \int_{-\Lambda}^{\Lambda} d\lambda \rho(\lambda, m)$$

is maybe the simplest integral to consider

- In the infinite volume limit it scales with  $V$  if SSB is at work
- The continuum limit can be taken for any value of  $\Lambda$  and  $m$ , i.e.

$$\nu_{\text{R}}(M_{\text{R}}, m_{\text{R}}) = \nu(M, m)$$

even without chiral symmetry implemented

- $O(a)$  improvement automatic

- In the infinite volume limit

$$\nu^{\text{nlo}}(\Lambda, m) = \frac{2\Lambda\Sigma V}{\pi} \left\{ 1 + \frac{\Sigma m}{(4\pi)^2 F^4} \left[ 3\bar{l}_6 - 3 \ln \left( \frac{\Sigma\Lambda}{F^2\mu^2} \right) - \ln(2) - \frac{\pi m}{2\Lambda} + O\left(\frac{m^2}{\Lambda^2}\right) \right] \right\}$$

is clearly sensitive to the infinite volume chiral condensate

- NLO corrections to leading behaviour (**vanishing for  $m \rightarrow 0$** ) expected rather small for  $\Lambda = 50\text{--}100$  MeV and  $m \leq 20$  MeV [ $\overline{\text{MS}}$  @ 2 GeV]. **No chiral logs  $\propto \ln(m)$**
- Finite volume effects: a fraction of a percent at NLO ChPT in the p-regime
- When  $\Lambda\Sigma V$  is not very large, threshold effects can be sizeable. They can be quantified in ChPT

- The quantity  $\nu(\Lambda, m)$  can be computed as

$$\nu(\Lambda, m) = \langle \mathcal{O} \rangle, \quad \mathcal{O}[U] = \text{Tr} P_M$$

$$P_M = \theta(M^2 - D_m^\dagger D_m), \quad M^2 = \Lambda^2 + m^2$$

- To avoid the (unnecessary) computation of  $O(V)$  eigenvalues

$$\nu(\Lambda, m) = \langle \hat{\mathcal{O}} \rangle, \quad \hat{\mathcal{O}}[U, \eta] = (\eta, P_M \eta)$$

where  $\eta$  are Gaussian random sources

- The cost scales with  $V$  rather than  $V^2$ , and the variance scales like  $V^{-1/2}$ .  
Infinite volume and continuum limit extrapolation feasible

Several European groups coordinate their efforts to generate configuration ensembles with  $N_f = 2$  dynamical quarks degenerate in mass

Gluon action: Wilson

Quark action:  $O(a)$ -improved Wilson

Algorithm : DD-HMC

**Groups:**

Berlin (U. Wolff)

CERN (L. G., M. Lüscher)

DESY-Zeuthen (R. Sommer)

Madrid (C. Pena)

Mainz (H. Wittig)

Rome (R. Petronzio)

Valencia (P. Hernández)

List of runs used in this work:

$\beta$	$V$	$a$ [fm]	$L$ [fm]	Masses
5.348	$24^3$	0.08	1.9	6
5.364	$32^3$	0.08	2.5	6

**Physics:**

Spontaneous symmetry breaking

Fundamental parameters

Light-light mesons

Heavy-light mesons

Baryons

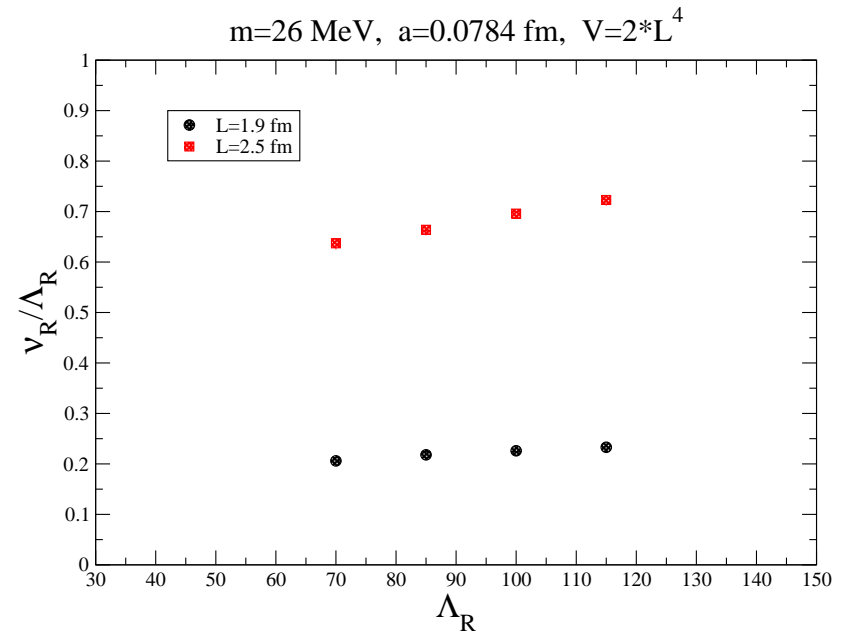
Weak matrix elements

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## Numerical computation: parameters and scaling with the volume

### Lattice details:

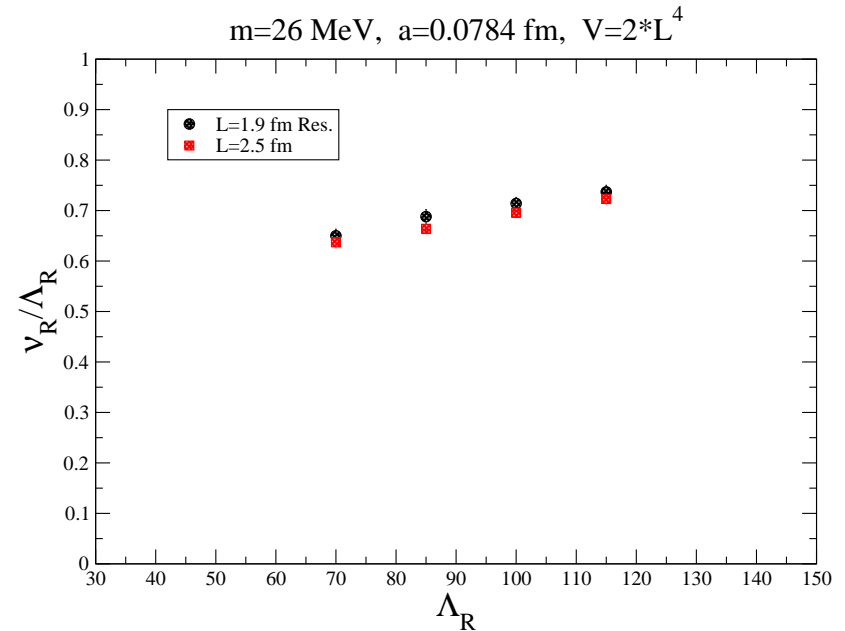
- \* Action:  $O(a)$ -improved Wilson
- \*  $a = 0.0784$  fm
- \*  $V = 2L \times L^3$ ,  $L = 1.9, 2.5$  fm
- \*  $m_R^{\overline{\text{MS}}}(2 \text{ GeV}) = 12.8, 26.5, 45.8$  MeV
- \*  $\Lambda_R = 70, 85, 100, 115$  MeV



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- Scaling is  $\propto V$  as expected in presence of SSB.  
Sub-leading effects smaller than statistical errors of  $\sim 1.5\%$
- NLO ChPT predicts deviations to be

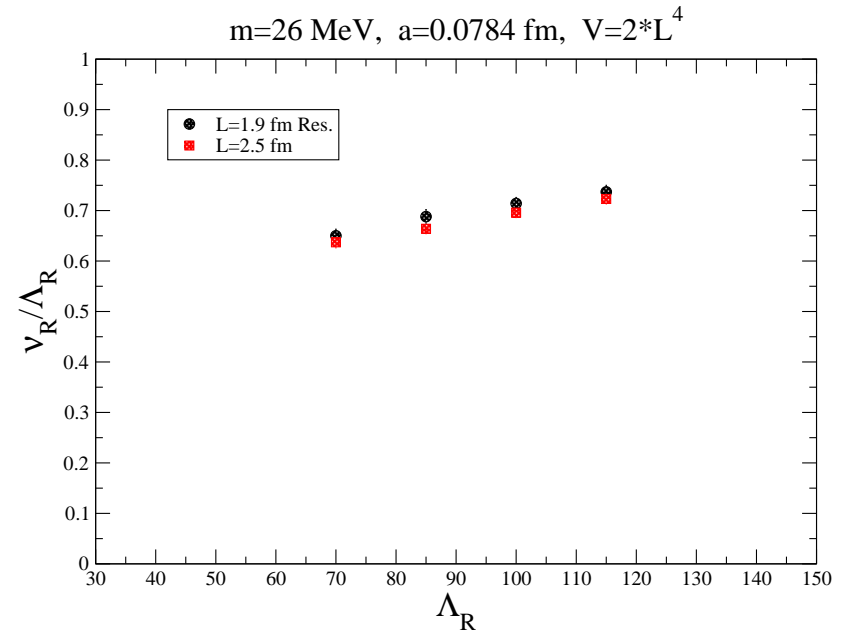
$$1 - \frac{\nu_R^V}{\nu_R^\infty} \propto e^{-M_\Lambda L/2}, \quad M_\Lambda^2 = \Lambda \frac{M_\pi^2}{m}$$

a fraction of a percent for our parameter choice

## Numerical computation: parameters and scaling with the volume

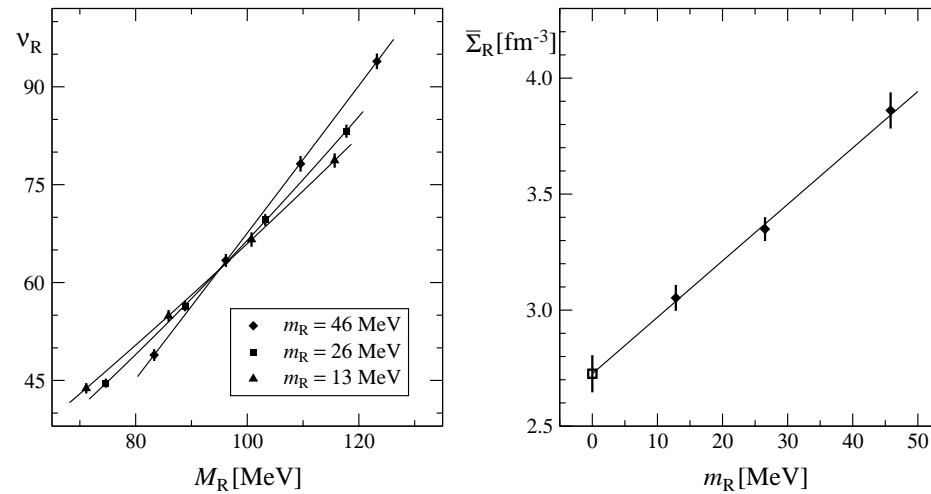
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- Scaling is  $\propto V$  as expected in presence of SSB.
- Eigenvalues condensate near zero with spacing  $\propto 1/V$  even without chiral symmetry!
- One may speculate that SSB is an effect of the condensation

## Numerical computation: quark mass dependence



- An effective condensate can be defined as

$$\bar{\Sigma}_R = \frac{\pi}{2V} \sqrt{1 - \left(\frac{m_R}{M_R}\right)^2} \frac{\partial}{\partial M_R} \nu_R(M_R, m_R)$$

with the prefactor chosen so that  $\bar{\Sigma}_R$  coincides with  $\Sigma$  to LO

- Extrapolation to the chiral limit mild. **At NLO no chiral logs  $\propto \ln(m)$**

## Numerical computation: summary of the results for $L = 2.5$ fm and $M_R = 95$ MeV

- The simulation results for  $\bar{\Sigma}_R$  at  $M_R = 95$  MeV are

$m_R$ [MeV]	$\bar{\Sigma}_R^{1/3}$ [MeV]
12.8(2)(3)	286(2)(4)
26.5(2)(6)	295(2)(4)
45.8(3)(11)	310(2)(4)

and a linear extrapolation to the chiral limit yields the estimate

$$\Sigma^{1/3} = 276(3)(4)(5)\text{MeV}$$

- To be compared, for instance, with the value extracted from the GMOR by the ETMC

$$\Sigma_{\text{eff}}^{1/3} = 267(2)(10) \quad [\text{C. Urbach @ Lattice 2008 for the ETM Coll.}]$$

or from fixed topology simulations by JLQCD

$$\Sigma_{\text{eff}}^{1/3} = 243(4)(0) \text{ MeV} \quad [\text{Fukaya et al. 09}]$$

## Conclusions and outlook

- Simulations with dynamical fermions are feasible in interesting ranges of parameters

$$a = 0.04 - 0.08 \text{ fm} , \quad M_\pi = 200 - 500 \text{ MeV}$$

thanks mainly to a breakthrough in algorithms and also to the Moore's law. **The chiral regime of QCD is accesible to simulations**

- Spectral density of the Dirac operator renormalizable, i.e. universal continuum limit
- Spectral projectors open a new perspective to study the chiral regime of QCD:
  - \* Chiral condensate without power divergences
  - \* Topological susceptibility without power divergences
  - \* Ward Identities . . .
- The moderate computational cost allows for the infinite volume and continuum limits
- More simulations (running) needed to estimate the systematics on  $\Sigma$  with confidence

## A huge effort (and progress) in the community to simulate light dynamical quarks

Groups	$N_f$	Action	$a$ [fm]	$L$ [fm]	$LM_\pi$	$M_\pi$ [MeV]
CERN-TOV (CLS)	2	$O(a)$ -imp. Wilson	$\geq 0.04$	$\leq 2.5$	$\geq 3.2$	$\geq 260$
ETM	2	Twist-mass	$\geq 0.07$	$\leq 2.8$	$\geq 3.7$	$\geq 270$
JLQCD	2	Neuberger	0.12	1.9	$\geq 2.7$	$\geq 280$
QCDSF+UKQCD	2	$O(a)$ -imp. Wilson	$\geq 0.07$	$\leq 2.5$	$\geq 4.2$	$\geq 340$
BMW	2+1	Stout-link Wilson	$\geq 0.07$	$\leq 4.0$	$\geq 4.0$	$\geq 200$
PACS-CS	2+1	$O(a)$ -imp. Wilson	0.09	2.9	$\geq 2.3$	$\geq 160$
RBC/UKQCD	2+1	Domain Walls	0.11	2.7	$\geq 4.5$	$\geq 330$

- Simulations with light dynamical quarks are clearly feasible
- Large amount of theoretical and numerical work also with rooted staggered fermions. See reviews by Creutz, Kronfeld and Golterman

## Breakthrough in algorithms:

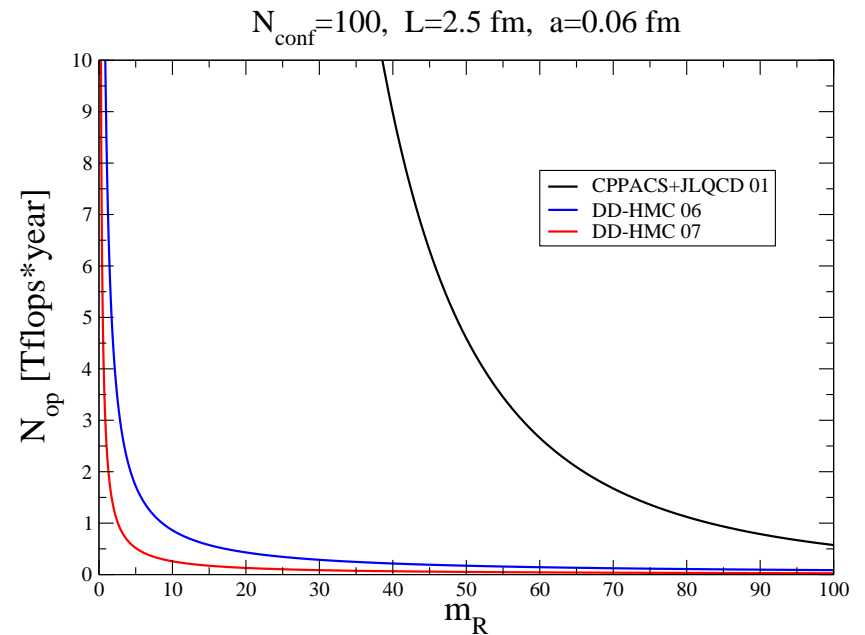
- \* Multiple step size integration  
[Sexton, Weingarten 92]
- \* Frequency splitting of the fermion force in molecular dynamics  
[Hasenbusch 01; Lüscher 03-04; Urbach et al. 06]
- \* Deflation of low fermion modes  
[Lüscher 07]

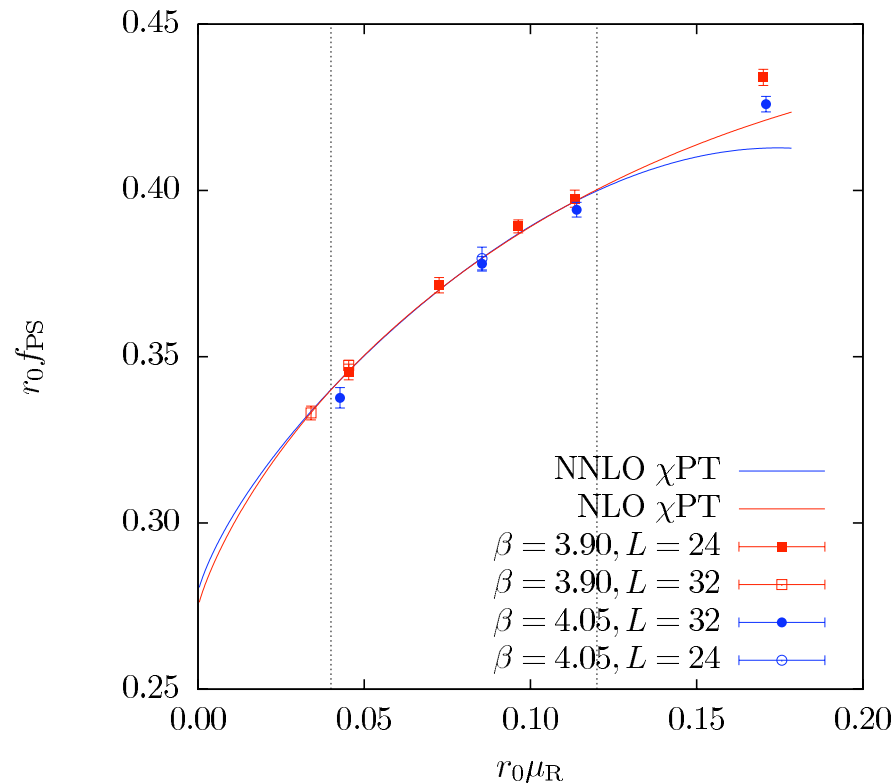
- A very crude cost formula (still large uncertainties) for the DD-HMC algorithm ( $N_f = 2$ )  
[Lüscher 03-07; Del Debbio et al. 06-07]

$$N_{\text{op}} \sim k \left( \frac{\#\text{confs}}{100} \right) \left( \frac{20 \text{ MeV}}{m_R} \right) \left( \frac{L}{3 \text{ fm}} \right)^5 \left( \frac{0.1 \text{ fm}}{a} \right)^6 \text{ Tflops} \times \text{ year}$$

with  $k = 0.010\text{--}0.015$ . Similar formulas apply to the other recent algorithms

- Simulations feasible in the interesting range of parameters with computers of sustained power of 5–10 Tflops. **Collaborations tend to be of tens of peoples**





- constant continuum extrapolation

- red:  $\beta = 3.90$

- blue:  $\beta = 4.05$

overall  $\chi^2$ :

- NLO fit:  $\chi^2/\text{dof} = 21/19$

- NNLO fit:  $\chi^2/\text{dof} = 19/19$

- NNLO, extended fit-range  $\chi^2/\text{dof} = 50/23$

- The lightest pion is 265 MeV. Similar results by the other collaborations

- For an updated discussion on  $F_K/F_\pi$ : L. Lellouch plenary talk @ Lattice 2008