

Spin Effects in Hard Exclusive Electroproduction of Mesons

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Trento, July 2009

Outline:

- Introduction
- Handbag factorization for meson electroproduction
- The failure of the leading-twist approach
- Vector mesons (E , A_{UT} , J)
- Pions (pion pole, \tilde{H} , \tilde{E} , twist-3, results)
- Summary

Spin is an inessential complication
of
particle physics

Spin effects are often
the death
of a model

Target asymmetries

observable	dominant interf. term	$\gamma^* p \rightarrow MB$ amplitudes	low t' behavior
$A_{UT}^{\sin(\phi - \phi_s)}$	LL	$\text{Im} [\mathcal{M}_{0-,0+}^* \mathcal{M}_{0+,0+}]$	$\propto \sqrt{-t'}$
$A_{UT}^{\sin(\phi_s)}$	LT	$\text{Im} [\mathcal{M}_{0-,++}^* \mathcal{M}_{0+,0+}]$	const.
$A_{UT}^{\sin(2\phi - \phi_s)}$	LT	$\text{Im} [\mathcal{M}_{0-, -+}^* \mathcal{M}_{0+,0+}]$	$\propto t'$
$A_{UT}^{\sin(\phi + \phi_s)}$	TT	$\text{Im} [\mathcal{M}_{0-,++}^* \mathcal{M}_{0+,++}]$	$\propto \sqrt{-t'}$
$A_{UT}^{\sin(2\phi + \phi_s)}$	TT	$\propto \sin \theta_\gamma$	$\propto t'$
$A_{UT}^{\sin(3\phi - \phi_s)}$	TT	$\text{Im} [\mathcal{M}_{0-, -+}^* \mathcal{M}_{0+, -+}]$	$\propto (-t')^{(3/2)}$
$A_{UL}^{\sin(\phi)}$	LT	$\text{Im} [\mathcal{M}_{0-,++}^* \mathcal{M}_{0-,0+}]$	$\propto \sqrt{-t'}$

Holds for vector and pseudoscalar mesons. Detailed info. on amplitudes

π^+ : all measured; ρ^0, ϕ : only $\sin(\phi - \phi_s)$ asymmetry; for ω all

ϕ azimuthal angle between lepton and hadron plane; ϕ_s orientation of target spin vector; θ_γ rotation from direction of incoming lepton to virtual photon one

Electroproduction of mesons

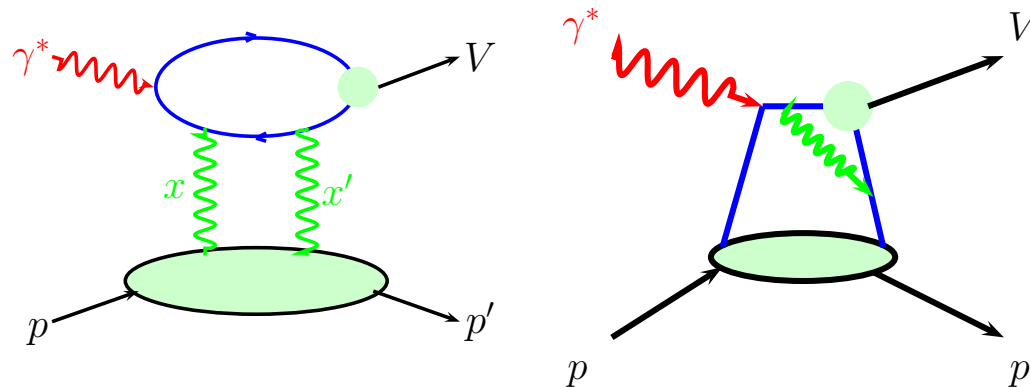
rigorous proof of collinear factorization for $Q^2 \rightarrow \infty$

(Radyushkin (96); Collins et al (97))

hard subprocesses

$\gamma^* g \rightarrow V g$, $\gamma^* q \rightarrow V, Pq$

and GPDs and meson w.f.
(encode the soft physics)



dominant transition $\gamma_L^* \rightarrow V_L, P$

other transitions power suppressed

As compared to DVCS:

disadvantage: two soft functions

advantages: diff. mesons ($\rho^0, \phi, \omega, \rho^+, K^{*0}, J/\Psi$) allow for flavor separation

wealth of good data for Q^2 up to $\simeq 100 \text{ GeV}^2$ and W up to $\simeq 200 \text{ GeV}$

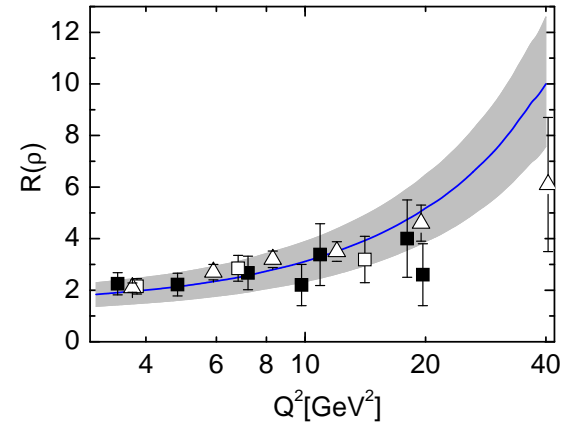
Transverse photon polarization matters

vector-meson electroproduction

$$R = \sigma_L / \sigma_T \quad (\text{HERA } W \simeq 80 \text{ GeV})$$

$\gamma_T^* \rightarrow V_T$ transitions substantial

power corr. and/or higher twist needed



various moments of π^+ cross section

measured with trans. pol. target

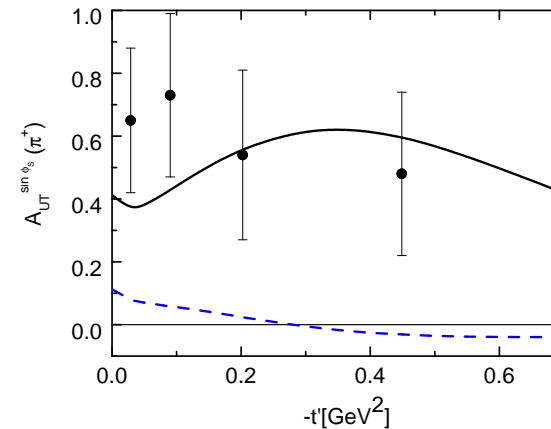
$\sin \phi_s$ moment very large

does not seem to vanish for $t' \rightarrow 0$

$$A_{UT}^{\sin \phi_s} \propto \text{Im} M_{0+,0+}^* M_{0-,++}$$

requires n-f. ampl. $\mathcal{M}_{0-,++}$

fed by hel.-flip GPDs in handbag appr.



HERMES prel.

$$Q^2 \simeq 2.5 \text{ GeV}^2, W = 3.99 \text{ GeV}$$

Corrections to the l.-t. amplitudes?

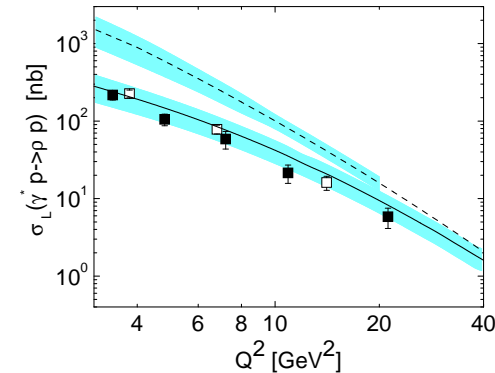
vector-meson electroproduction:

predictions for σ_L exceed data by a large factor

(HERA $W = 75\text{GeV}$ ρ)

power corr. and/or higher orders of pQCD?

(Diehl-Kugler 07, Ivanov 07)



π^+ electroproduction:

contribution from pion exchange

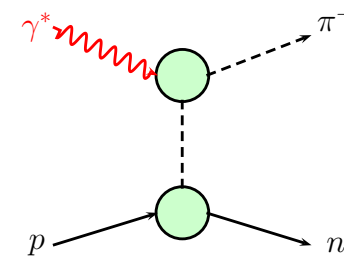
requires π elm form factor

(it is measured there)

lead. twist only about a third of exp. value

fails with cross section by order of magnitude

additional contributions required



new data on $F_{\pi\gamma}$ may change our understanding of pion DA

The $\gamma^* p \rightarrow VB$ amplitudes

consider large Q^2 , W and small t ;

kinematics fixes skewness: $\xi \simeq \frac{x_{Bj}}{2-x_{Bj}} [1 + m_V^2/Q^2] \simeq x_{Bj}/2 + \text{m.m.c.}$

$$\mathcal{M}_{\mu+, \mu+}(V) = \frac{e_0}{2} \left\{ \sum_a e_a \mathcal{C}_V^{aa} \langle H_{\text{eff}}^g \rangle_{V\mu} + \sum_{ab} \mathcal{C}_V^{ab} \langle H_{\text{eff}}^{ab} \rangle_{V\mu} \right\},$$

$$\mathcal{M}_{\mu-, \mu+}(V) = -\frac{e_0}{2} \frac{\sqrt{-t}}{M+m} \left\{ \sum_a e_a \mathcal{C}_V^{aa} \langle E^g \rangle_{V\mu} + \sum_{ab} \mathcal{C}_V^{ab} \langle E^{ab} \rangle_{V\mu} \right\},$$

\mathcal{C}_V^{ab} flavor factors, $M(m)$ mass of $B(p)$, $H_{\text{eff}} = H - \xi^2/(1 - \xi^2)E$

contributions from \tilde{H} to T-T amplitude neglected

electroproduction with unpolarized protons at small ξ :

E not much larger than H (see below) $\implies H_{\text{eff}} \rightarrow H$ for small ξ

$|M_{\mu'-, \mu+}|^2 \propto t/m^2$ **neglected** \implies **probes H** (exception ρ^+)

trans. polarized target: probes $Im[\langle E \rangle^* \langle H \rangle]$ interference

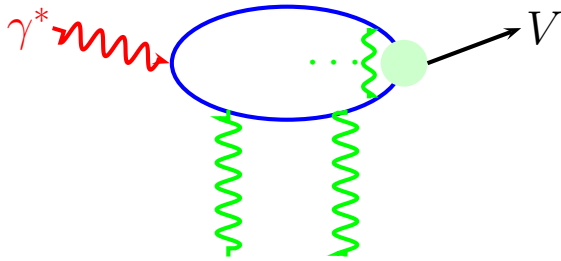
polarized beam and target: probes $Re[\langle H \rangle^* \langle \tilde{H} \rangle]$ interference

Subprocess amplitudes

$F = H, E$ λ parton helicities

$$\langle F \rangle_{V\mu}^{ab(g)} = \sum_{\lambda} \int d\bar{x} \mathcal{H}_{\mu\lambda, \mu\lambda}^{Vab(g)}(\bar{x}, \xi, Q^2, t=0) F^{ab(g)}(\bar{x}, \xi, t)$$

$$F^{aa} = F^a, \quad F^{ab} = F^a - F^b \quad (a \neq b) \text{ (with flavor symmetry)}$$



$$\mathcal{H}_{\mu\lambda, \mu\lambda}^{Vab} = \int d\tau d^2b \hat{\Psi}_{V\mu}(\tau, -\vec{b}) \exp[-S(\tau, \vec{b}, Q^2)] \times \hat{\mathcal{F}}_{\mu\lambda, \mu\lambda}^{ab}(\bar{x}, \xi, \tau, Q^2, \vec{b})$$

LO pQCD

+ quark trans. mom.

+ Sudakov supp.

\Rightarrow lead. twist for $Q^2 \rightarrow \infty$

in collinear appr:

$$\text{TT} : \int_0^1 d\tau \frac{\Phi_V(\tau)}{\tau} \frac{1}{(1-\tau)t + \tau Q^2}$$

Sudakov factor (Sterman et al)

$$S \propto \ln \frac{\ln(\tau Q / \sqrt{2} \Lambda_{\text{QCD}})}{-\ln(b \Lambda_{\text{QCD}})} + \text{NLL}$$

$\hat{\mathcal{F}}$ FT of hard scattering kernel

e.g. FT of $\propto e_a / [k_{\perp}^2 + \tau(\bar{x} + \xi)Q^2 / (2\xi)]$

regularizes also TT amplitude

IR singular for large Q^2

regular for large $-t$ (wide-angles)

The first moments at $t = 0$

for orientation

(assuming that the GPDs have no nodes and similar t dependence)

	H	E	\tilde{H}	\tilde{E}
u_v	2	$\kappa_u = 1.67$	0.93	?
d_v	1	$\kappa_d = -2.03$	-0.34	?

$$\rho^0 \sim e_u F^u - e_d F^d$$

$$\rho^+ \sim F^u - F^d$$

Numerical results

Goloskokov-K. 06, 07, 08

GPDs constructed from CTEQ6 PDFs through the double distribution ansatz

Gaussian wave fcts for the mesons $\Psi_{Vj}(\tau, \mathbf{k}_\perp) \propto \exp[-a_{Vj}^2 \mathbf{k}_\perp^2 / (\tau \bar{\tau})]$

L and T different, free parameters - $a_{L,T}^V$ (transverse size $\langle k_\perp^2 \rangle^{1/2} \propto 1/a_{L,T}^V$)

meson wf. provides effects of order $\langle k_\perp^2 \rangle / Q^2$ separation of both
GPDs mainly influence the $\xi(x_{Bj})$ dependence effects possible

fit to all data from HERMES, COMPASS, E665, H1, ZEUS

cover large range of kinematics $Q^2 \simeq 3 - 100 \text{ GeV}^2$ $W \simeq 5 - 180 \text{ GeV}$

What do we know about E_ν ?

analysis of Pauli FF for proton and neutron at $\xi = 0$ Diehl et al (04):

$$F_2^{p(n)} = \int_0^1 dx \left[e_{u(d)} E_\nu^u(x, \xi = 0, t) + e_{d(u)} E_\nu^d(x, \xi = 0, t) \right]$$

ansatz for small $-t$: $E_\nu^a = e_\nu^a(x) \exp \left\{ t(\alpha' \ln(1/x) + B_a) \right\}$

forward limit: $e_\nu^a = N_a x^{-\alpha_\nu(0)} (1-x)^{\beta_\nu^a}$ (analogously to PDFs)

N_a fixed from $\kappa_a = \int_0^1 dx E_\nu^a(x, \xi = 0, t = 0)$

fitting FF data provides: $\beta_\nu^u = 4, \beta_\nu^d = 5.6$

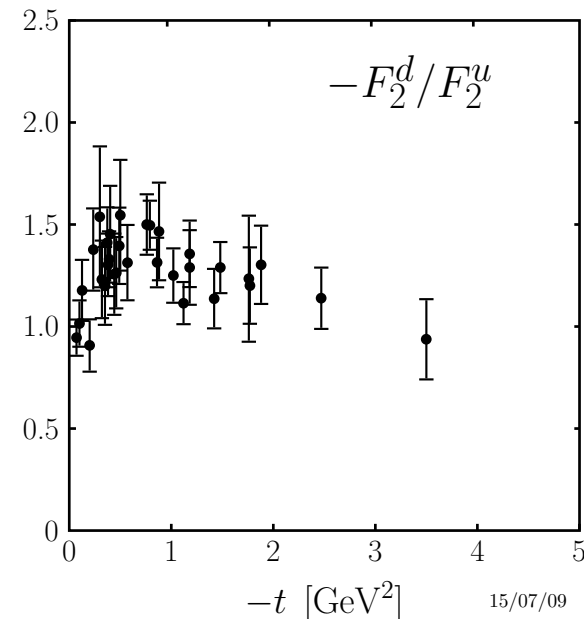
(other powers not excluded in 04 analysis)

new JLab data on $G_{E,M}^n$

up to 3.5(5.0) GeV^2 favor $\beta_\nu^u < \beta_\nu^d$

Input to double distribution model

(E_ν^a is d.d. model at $\xi = 0$)



E for gluons and sea quarks

sum rule (Ji's s.r. and momentum s.r. of DIS) at $t = \xi = 0$

$$\int_0^1 dx x e_g(x) = e_{20}^g = - \sum e_{20}^{a_v} - 2 \sum e_{20}^{\bar{a}}$$

valence term very small, in particular if $\beta_v^u \leq \beta_v^d$

\Rightarrow gluon and sea quark moments cancel each other almost completely

positivity bound forbids large sea \Rightarrow gluon small too

parameterization (flavor symm. sea for E assumed)

$$e^i = N_i x^{-\alpha_g(0)} (1-x)^{\beta^i}$$

input to double distribution model for E

Solutions for E and Ji's sum rule

$$\langle J^a \rangle = \frac{1}{2} [q_{20}^a + e_{20}^a] \quad \langle J^g \rangle = \frac{1}{2} [g_{20} + e_{20}^g]$$

($\xi = 0$) $\langle J \rangle$ means average value of three component of J

var.	β_{val}^u	β_{val}^d	β^g	β^s	N_g	N_s	J^u	J^d	J^s	J^g
1	4	5.6	-	-	0.000	0.000	0.250	0.020	0.015	0.214
2	4	5.6	6	7	-0.873	0.155	0.276	0.046	0.041	0.132
3	4	5.6	6	7	0.776	-0.155	0.225	-0.005	-0.011	0.286
4	10	5	7	-	0.523	0.000	0.209	0.013	0.015	0.257

J^i quoted at scale 4 GeV^2 (spread indicates uncertainties of present knowledge)

$\sum J^i = 1/2$, the spin of the proton

characteristic, stable pattern: for all variants J^u and J^g are large, others small

The first moments at $t = 0$

for orientation

(assuming that the GPDs have no nodes and similar t dependence)

	H	E	\tilde{H}	\tilde{E}
u_v	2	$\kappa_u = 1.67$	0.93	?
d_v	1	$\kappa_d = -2.03$	-0.34	?

L and S

$$\langle J^{u_v} \rangle = 0.211(17) \quad \langle J^{d_v} \rangle = 0.000(19) \quad \text{at scale } 4 \text{ GeV}^2$$

Lattice ([Hägler et al \(07\)](#)): $\langle J^u \rangle = 0.214(27)$, $\langle J^d \rangle = -0.001(27)$
at $m_\pi(\text{phys})$ sea quark contributions seem to be small

orbital angular momenta: subtract contribution from spin

$$\langle L^i \rangle := \langle J^i \rangle - \Delta q^i$$

valence quarks (for variants 1, 2, 3): $\langle L^{u_v} \rangle \simeq -0.241$, $\langle L^{d_v} \rangle \simeq 0.155$,

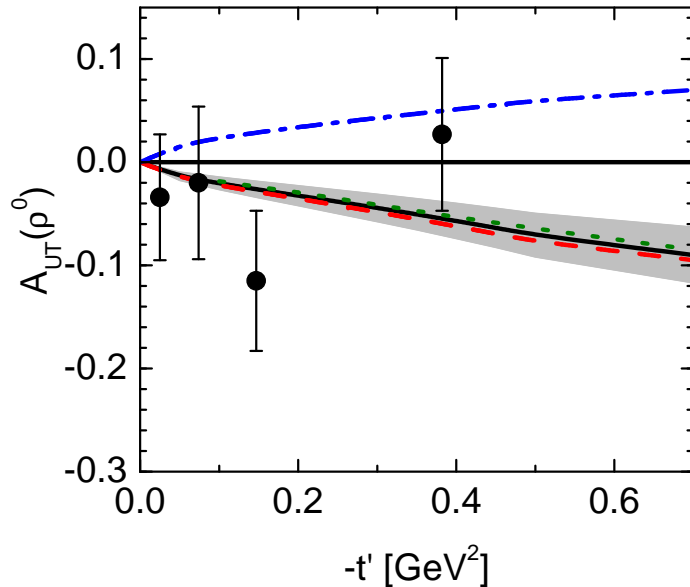
Δg very small: $\langle L^g \rangle \simeq \langle J^g \rangle$

(note: for gluons no gauge inv. separation into L and S)

$\langle L^g \rangle$ defined as $\langle J^g \rangle - \int dx \Delta g(x)$ [Ji \(96\)](#), [Burkardt-BC \(08\)](#))

Results for $A_{UT}(V)$

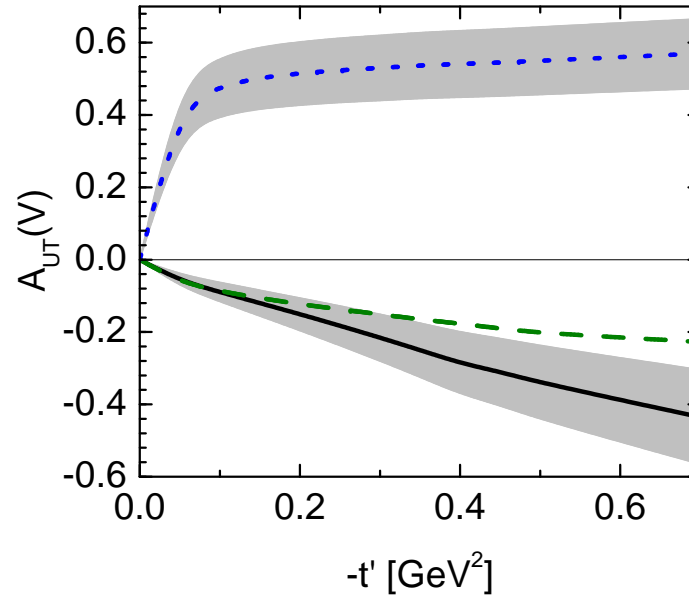
Goloskokov-K (08)



$W = 5 \text{ GeV}$ $Q^2 = 3 \text{ GeV}^2$

variant 1, 2, 3, 4

preliminary data: HERMES (07)



variant 1 for ω , ρ^+ , K^{*0}

t dependence controlled by trivial factor $\sqrt{-t'}$

except for ρ^+ : since $H(E)_v^u - H(E)_v^d$

E non-negligible in cross section, contribution from helicity flip ampl. $\propto t'$

more data on ρ^0, ω, ϕ from HERMES and COMPASS will come

Exclusive electroproduction of pions

as for vector-mesons with replacement $H \Rightarrow \tilde{H}$ and $E \Rightarrow \tilde{E}$

for π^+ production: only $\tilde{H}_v^u - \tilde{H}_v^d$ and $\tilde{E}_v^u - \tilde{E}_v^d$ contribute
and pion pole

Mankiewicz et al (98), Vanderhaeghen et al (99), Belitsky-Mueller (01),...

leading-twist calculation with double distr. model for \tilde{H} input Δq and

$$\tilde{E}_v^u = -\tilde{E}_v^d = \frac{1}{\sqrt{2}} \Theta(|\bar{x}| \leq \xi) \frac{\Phi_\pi(\tau)}{\xi} \frac{m g_{\pi NN} f_\pi}{m_\pi^2 - t} F_{\pi N}(t)$$

provides l.t. result for pion FF

(about 1/3 of exp. value measured in same reaction CLAS (06))

fails with cross section by order of magnitude

full pion FF needed, see Goloskokov-K(09), Bechler-Mueller (09)

The pion pole contribution

pion exchange (small $-t$, large Q^2)

$$\rho_\pi = \sqrt{2}g_{\pi NN}F_\pi(Q^2)F_{\pi NN}(t')$$

$$F_\pi = [1 + Q^2/(0.50\text{GeV}^2)]^{-1}$$

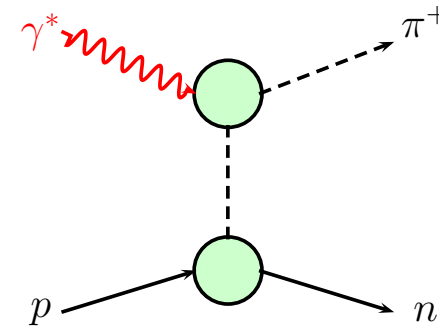
$$F_{\pi NN} = (\Lambda_N^2 - m_\pi^2)/(\Lambda_N^2 - t')$$

$$\mathcal{M}_{0+,0+}^{\text{pole}} = -e_0 \frac{2m\xi Q}{\sqrt{1-\xi^2}} \frac{\rho_\pi}{t - m_\pi^2},$$

$$\mathcal{M}_{0-,0+}^{\text{pole}} = +e_0 Q \sqrt{-t'} \frac{\rho_\pi}{t - m_\pi^2},$$

$$\mathcal{M}_{0+,\pm\pm}^{\text{pole}} = \pm 2\sqrt{2}e_0\xi m \sqrt{-t'} \frac{\rho_\pi}{t - m_\pi^2},$$

$$\mathcal{M}_{0-,\pm\pm}^{\text{pole}} = \pm \sqrt{2}e_0 t' \sqrt{1-\xi^2} \frac{\rho_\pi}{t - m_\pi^2}.$$



ampls. for transv. pol. photons disappear for forward scattering
cross sections like $\gamma p \rightarrow \pi^+ n$ or $p\bar{p} \rightarrow n\bar{n}$, should show forward dip
but exhibit pronounced spike experimentally (width $\mathcal{O}(m_\pi^2)$)

ways out: conspirator (Phillips(67)), poor man's absorption model (Williams(70)),
Regge cuts (Rhanama-Storrow(82)), nucleon exchange (gauge invariance)
modifies non-flip amplitude

$$\mathcal{M}_{0-,++}^{\text{pole}} \implies \sqrt{2}e_0(t_0 - m_\pi^2) \sqrt{\frac{1+\xi}{1-\xi}} \frac{\rho_\pi}{t - m_\pi^2} \quad \text{too small}$$

Can $\mathcal{M}_{0-,++}$ be fed by ordinary GPDs?

lead. twist pion wave fct. $\propto q' \cdot \gamma\gamma_5$

perhaps including \mathbf{k}_\perp

$$\mathcal{M}_{0-,++} \neq 0$$

$$\begin{array}{l} \text{but } p_+ q_+ \rightarrow n_- q_+ \quad \propto \sqrt{-t'} \\ \mathcal{H}_{0\pm,+\pm} \quad \propto \sqrt{-t'} \quad \implies \mathcal{M}_{0-,++} \propto t' \end{array}$$

dynamics different from handbag appr. with helicity non-flip GPDs required

A twist-3 contribution

helicity-flip GPDs $H_T, E_T, \tilde{H}_T, \tilde{E}_T$ Hoodbhoy-Ji (98), Diehl (01)

$$\mathcal{M}_{0-, \mu+}^{\text{twist-3}} = e_0 \sqrt{1 - \xi^2} \int_{-1}^1 d\bar{x} \left\{ \mathcal{H}_{0-, \mu+} \left[H_T^{(3)} - \frac{\xi}{1 - \xi^2} (\xi E_T^{(3)} - \tilde{E}_T^{(3)}) \right] \right\} + \mathcal{O}\left(\frac{t'}{m^2}\right)$$

lead. twist $q' \cdot \gamma \gamma_5 \implies \mathcal{H}_{0-, ++} = 0$

twist-3 (3-part. contr. neglected: $\tau \Phi_P = \Phi_\sigma / N_c - \tau \Phi'_\sigma / (2N_c)$)

solution: $\Phi_P = 1, \Phi_\sigma = \Phi_{AS}$

$$\sim \mu_\pi \gamma_5 \left[\Phi_P - i \sigma_{\mu\nu} (\dots \Phi'_\sigma + \dots \Phi_\sigma \partial / \partial \mathbf{k}_{\perp\nu}) \right] \quad \text{Beneke-Feldmann (01)}$$

$\mathcal{H}_{0-, ++} \neq 0$, Φ_P dominant, Φ_σ contr. $\propto t' / Q^2$

$$\mu_\pi = m_\pi^2 / (m_u + m_d) \simeq 2 \text{ GeV at scale } 2 \text{ GeV}$$

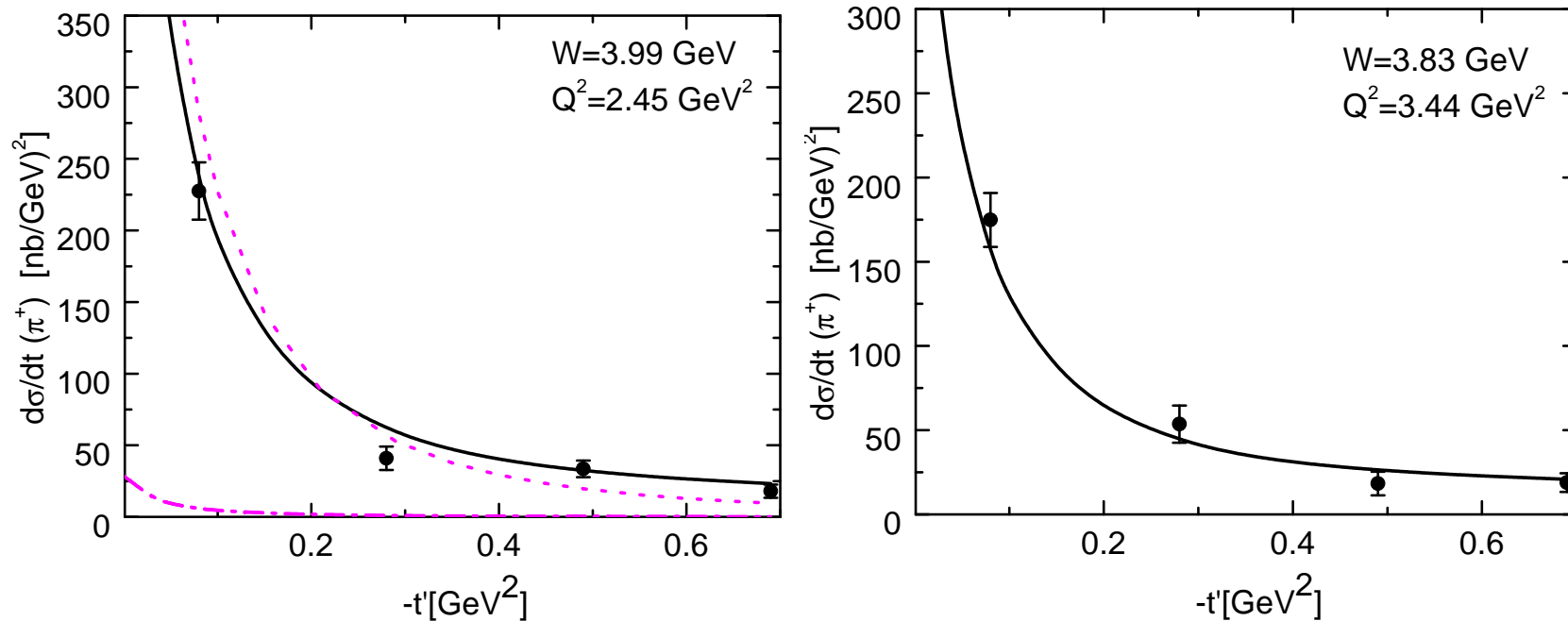
in coll. appr.: $\mathcal{H}_{0-, ++}$ infr. sing. and double pole $1/(x - \xi)^2$ m.p.a. regular

small ξ : H_T should dominate; take transversity PDF from Anselmino et al (07)

$$\delta^a = 7.46 N_T^a (1 - x)^5 [q(x) + \Delta q(x)] \quad N_T^u = 0.5 \quad N_T^d = -0.6$$

input to double distr. ansatz

Results on unseparated π^+ cross section

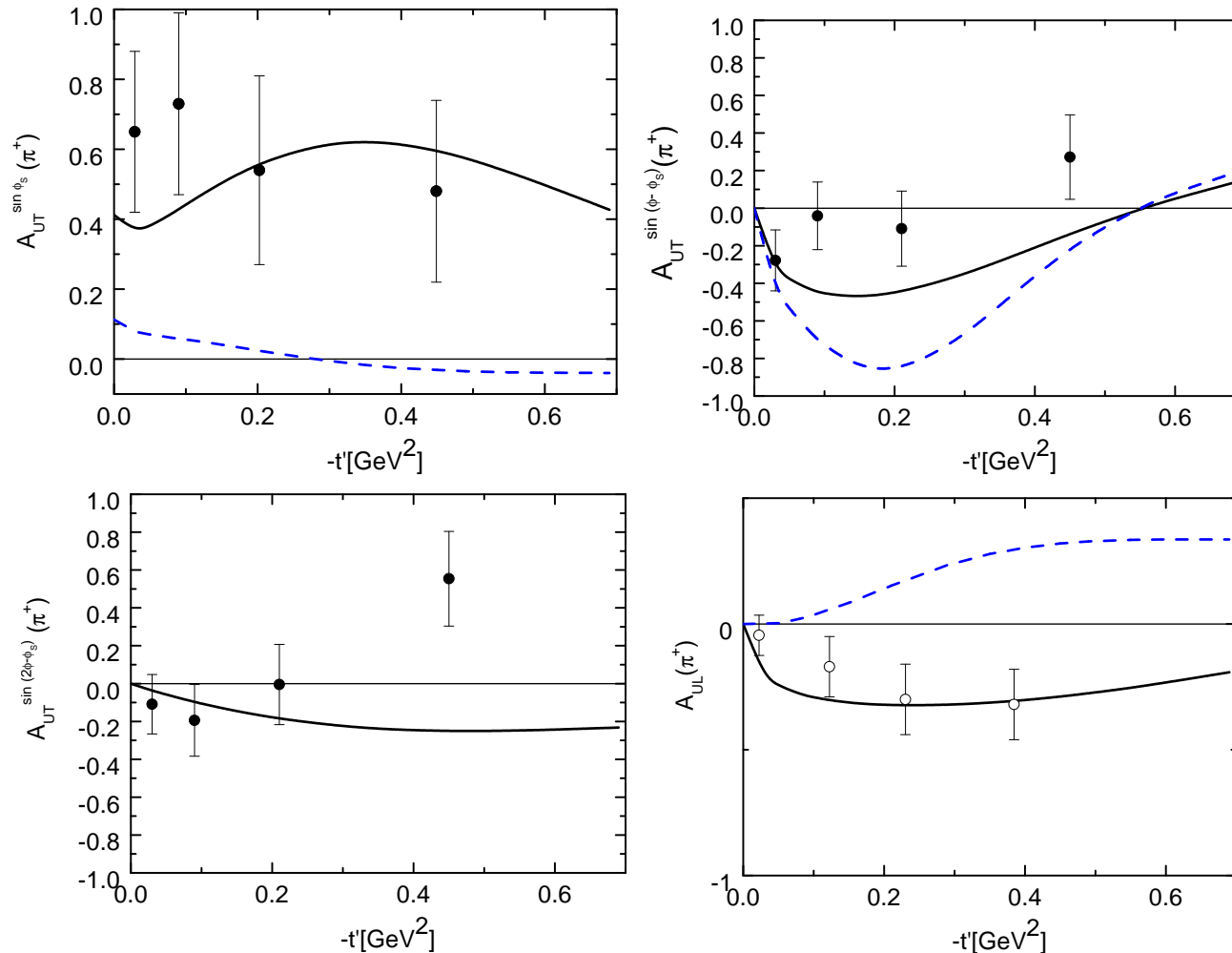


data from [HERMES 07](#)

[Goloskokov-K \(09\)](#)

magenta lines: pion pole contr. (unseparated and transverse cross sections)

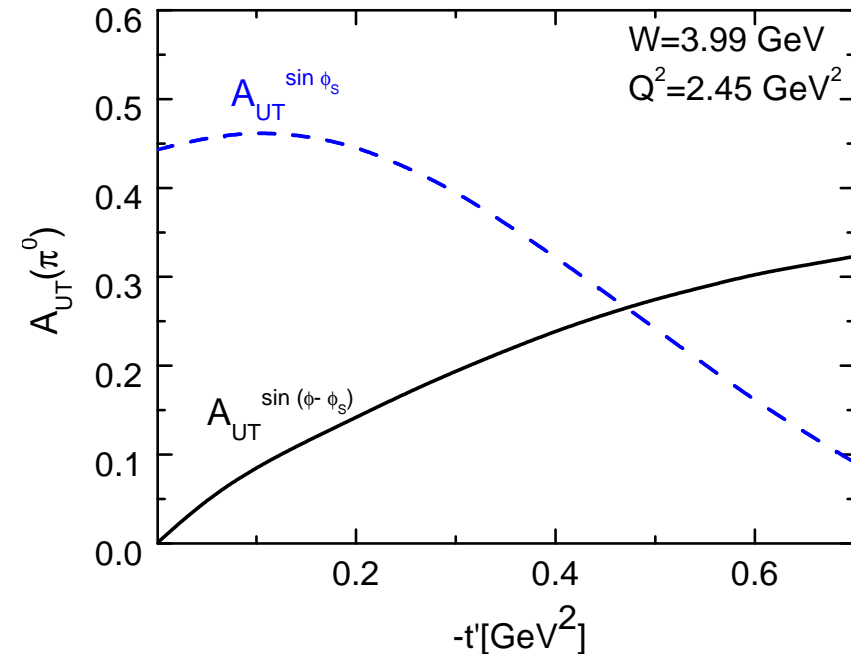
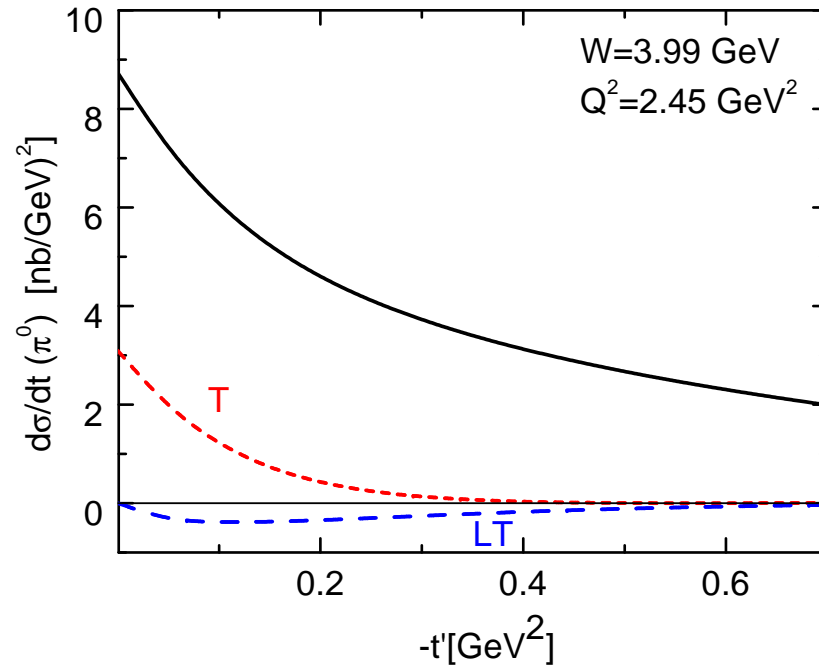
Results on target asymmetries



prel. data on A_{UT} HERMES (08); A_{UL} HERMES(02) Goloskokov-K (09)

blue: without twist-3 contr.; other asym.: $|A_{UT}| < 0.1$ agree with exp.

Results on π^0 electroproduction



pion exchange absent

Goloskokov-K(09)

Other twist-3 estimate: Ahmad et al (08)

(subprocess viewed as form factors for $\gamma - \pi$ transitions under the action of vector and axial-vector currents)

Summary

- phenomenology of DVME within the handbag approach is complicated, many GPDs contribute, still a lot of work to be done
- GPDs modeled through reggeized double distributions and subprocess calculated within mod. pert. approach
few free parameters (a_V)
- fair agreement with cross section data for vector mesons
for $Q^2 \simeq 3 \dots 100 \text{ GeV}^2$, $W \simeq 5 \dots 180 \text{ GeV}$ (only H matters)
- E constructed with the help of Pauli FF, sum rules and positivity bounds
- data on A_{UT} will fix E and hence details on parton angular momenta better
- first attempts to understand π^+ electroproduction, is complicated required are GPDs \tilde{H} and \tilde{E} , pion exchange and a twist-3 effect for transversely pol. photons (helicity-flip GPD H_T and twist-3 pion wave fct.)

Technical advantages of wide-angle scattering

TT amplitude in collinear approximation ($\Phi(\tau) = 6\tau\bar{\tau}[1 + \dots]$)

$$\int_0^1 d\tau \frac{\Phi(\tau)}{\tau} \frac{1}{\bar{\tau}t + \tau Q^2}$$

IR singular for large Q^2
regular for large $-t$

Twist-3 in collinear appr.:

eq. of motion (neglecting 3-particle contr.): $\tau\Phi_P = \Phi_\sigma/N_c - \tau\Phi'_\sigma/(2N_c)$

solution: $\Phi_P = 1$ $\Phi_\sigma = 6\tau\bar{\tau}$

$$\int_0^1 d\tau \Phi_\sigma \frac{t}{\bar{\tau}t + \tau Q^2}$$

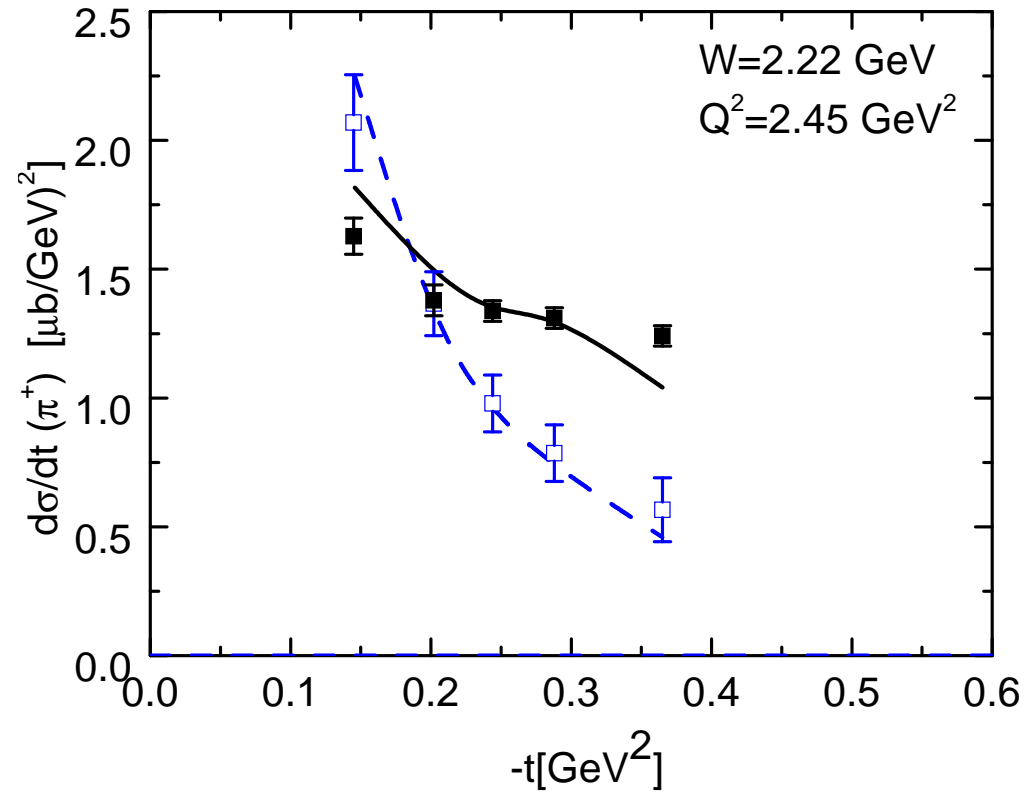
$\Rightarrow \propto t/Q^2 \int d\tau/\tau$ at large Q^2
 $\Rightarrow \propto \int d\tau/\bar{\tau}$ IR sing. at large $-t$

$$\int_0^1 d\tau \Phi_P \frac{1}{\bar{\tau}t + \tau Q^2}$$

$\Rightarrow \propto \int d\tau/\bar{\tau}(\tau)$ IR singular

for wide-angle photoproduction infrared singularities from Φ_P and Φ_σ cancel but not for large Q^2

Comparison with $F_\pi - 2$ data



H_T slightly modified at large x