

# Interaction effects in bilayer graphene

Oskar Vafek<sup>1,2</sup>

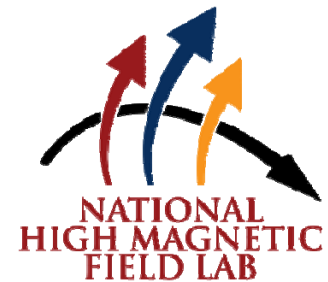
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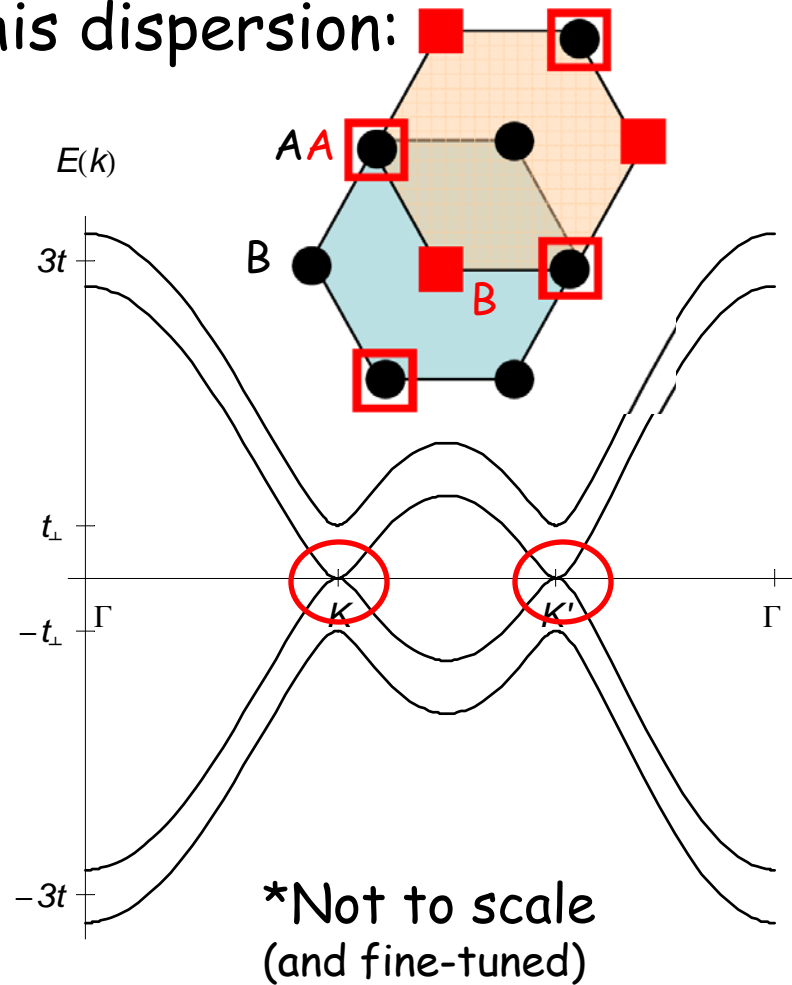
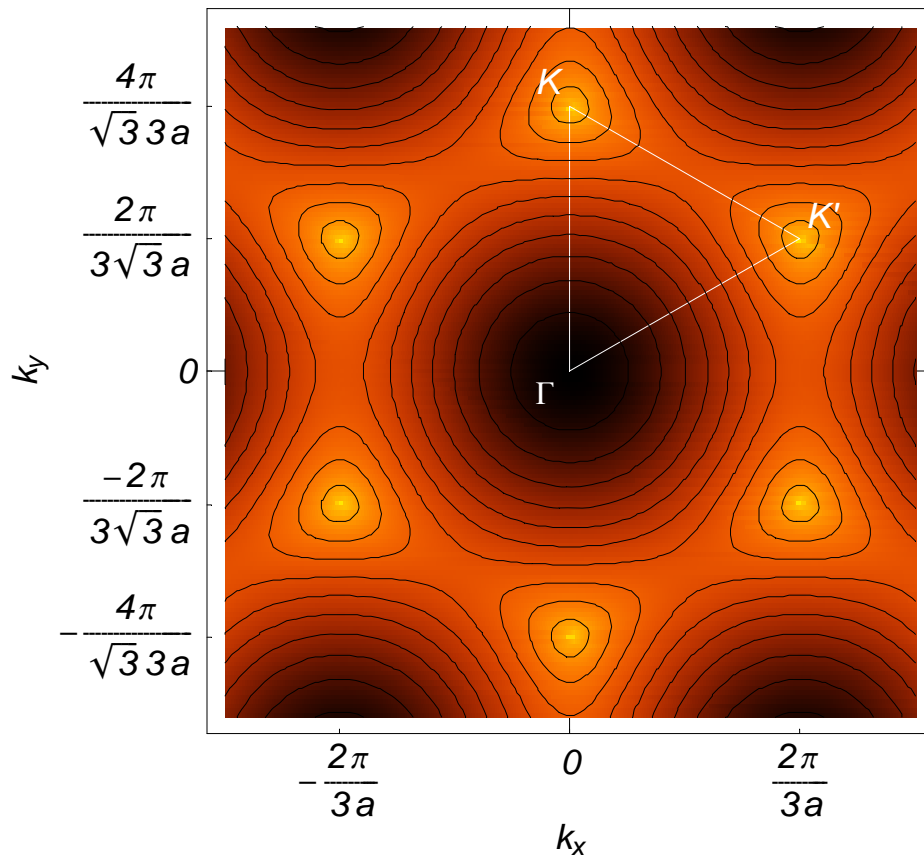


Trento, April 13, 2010



# Motivation

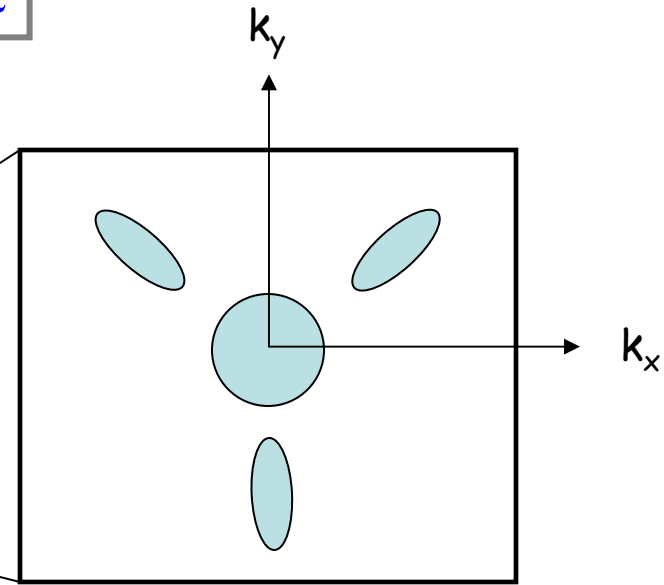
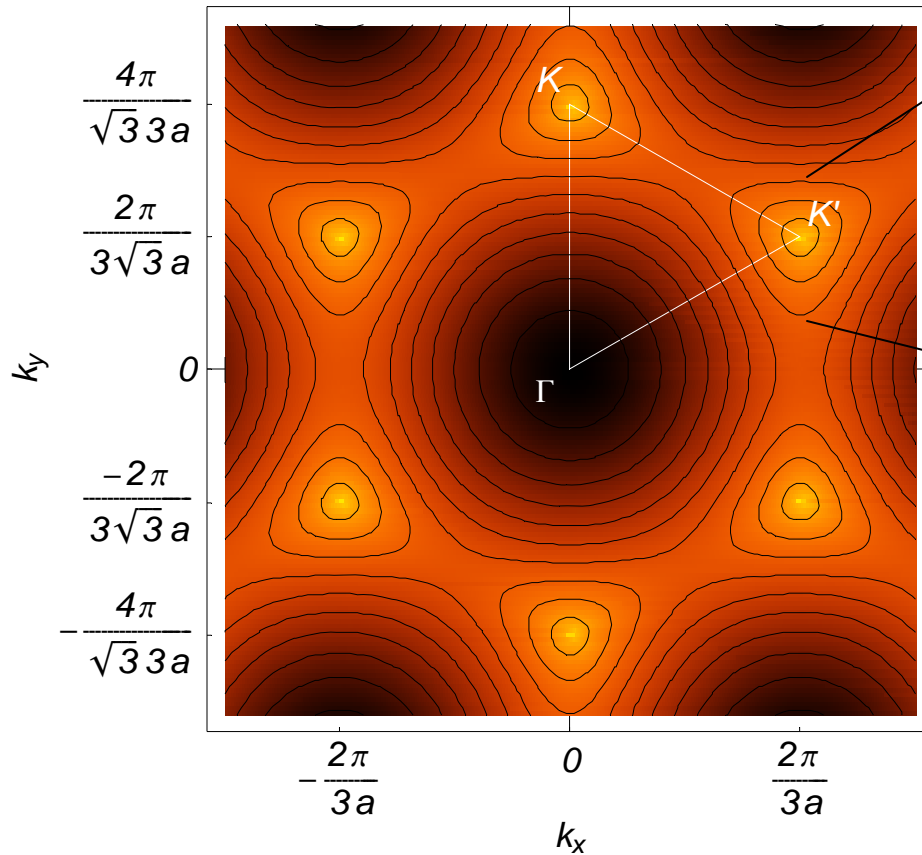
■ Motion of the electrons on the AB stacked honeycomb bilayer leads to this dispersion:



(see e.g. McCann and Falco PRL 2006 Nilsson et.al. 2008, Castro Neto et.al. RMP 2009)

# Trigonal warping effects

$$2\pi = (\pi + \pi + \pi) - \pi$$

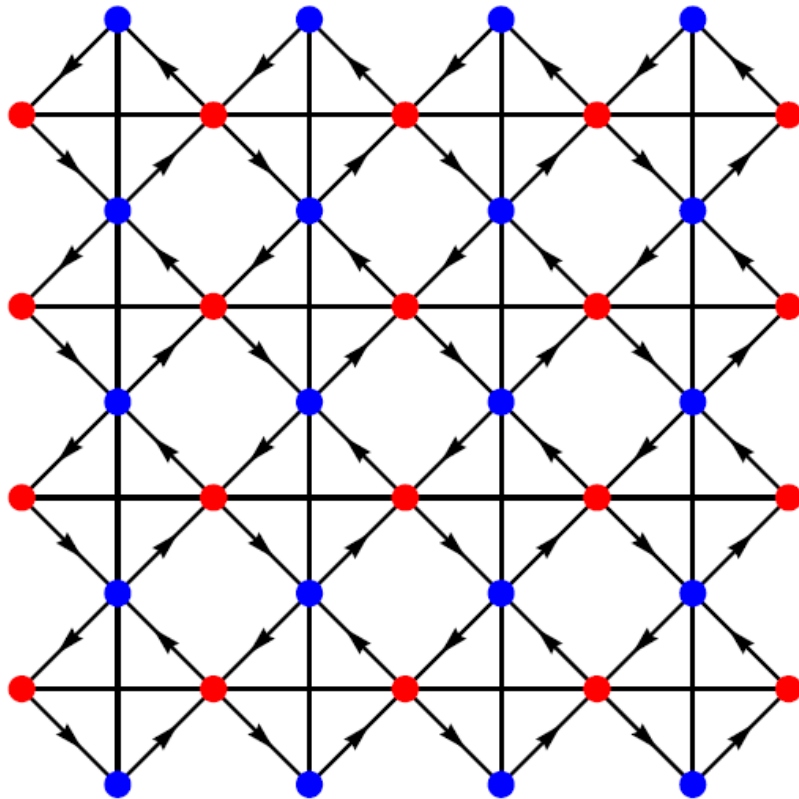


(Anisotropic) massless  
Dirac fermions  
(stable at weak coupling as argued  
by previous speakers)

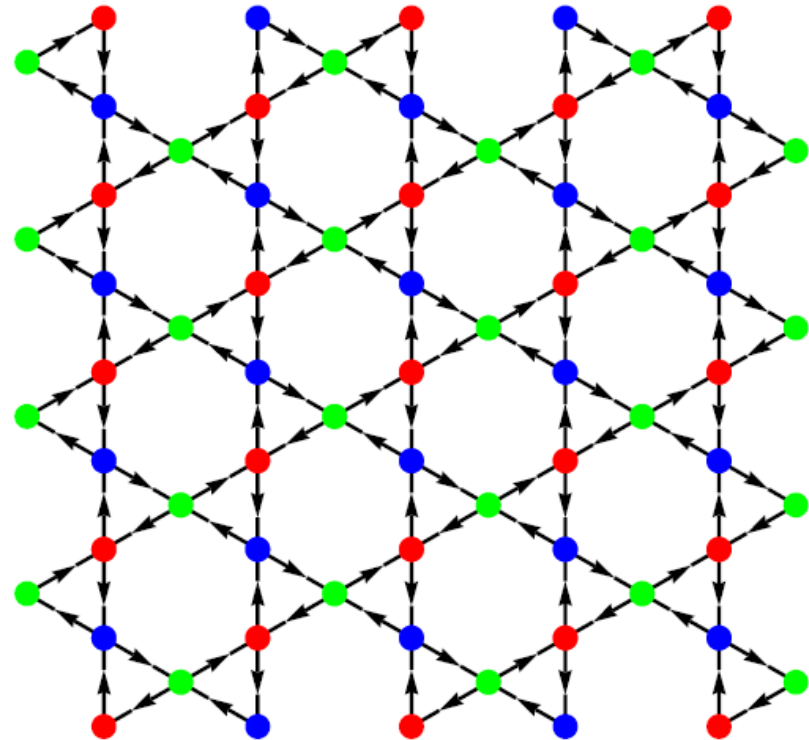
(see e.g. McCann and Fal'ko PRL (2006))

## Other models w/ parabolic touching

- Trigonal warping effects can be defeated for other lattices with 4 and 6 fold rotational symmetries

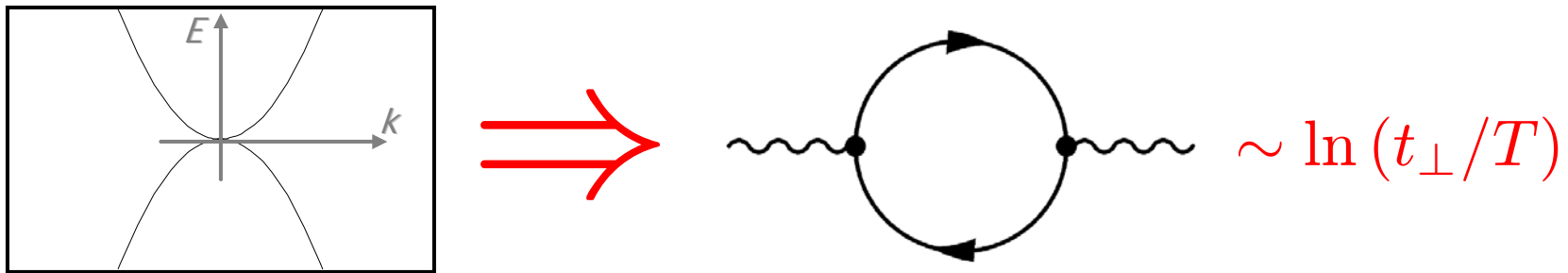


parabolic touching at  $(\pi, \pi)$



parabolic touching at  $(0,0)$

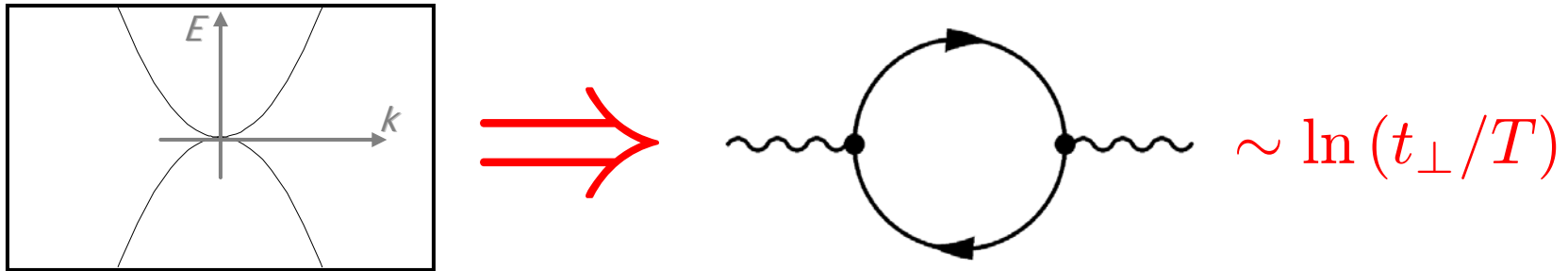
## Motivation



- The ordering susceptibility diverges as  $T \rightarrow 0$  even in the non-interacting limit (argued by others, too (See e.g. H. Min et. al. PRB (2008), Castro Neto et.al. RMP 2009))
- Trigonal warping cuts-off the infra-red singularity so ordering is expected only at finite coupling
- Fine tuning is a small price to pay for controlled access to strong coupling phases from weak coupling

Vafek and Yang, PRB 81, 041401(R) (2010), (featured in Physics 3, 1 (2010) )

## Motivation



- Which ordering tendency dominates can be determined using weak-coupling Renormalization Group.

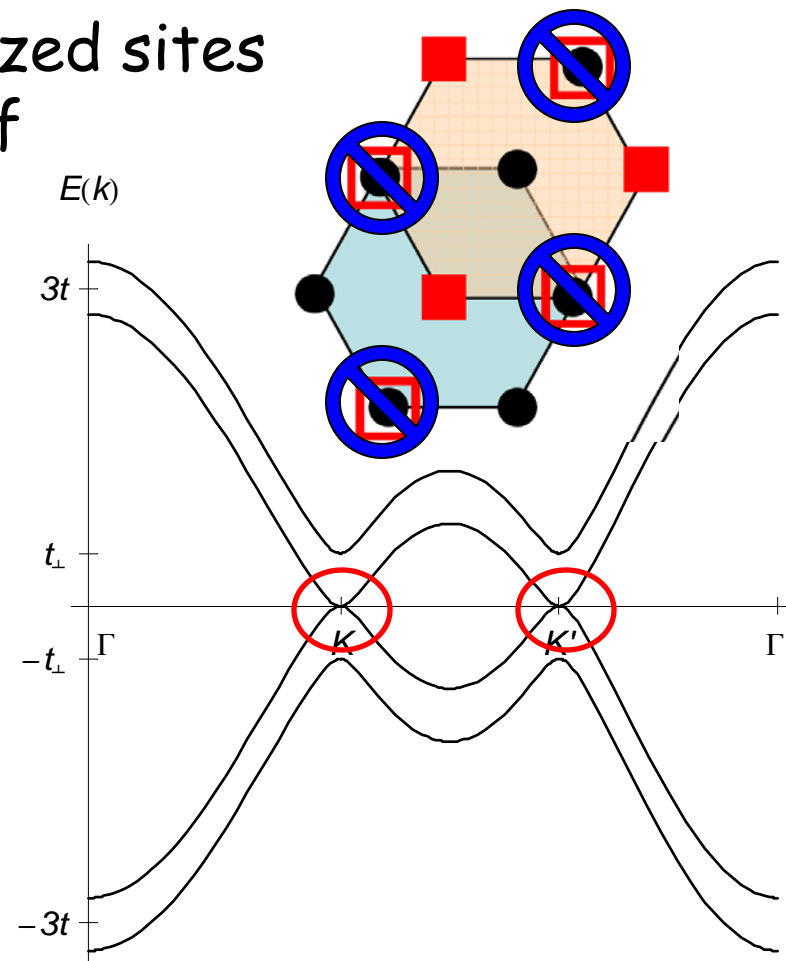
Vafeek and Yang, PRB 81, 041401(R) (2010), (featured in Physics 3, 1 (2010) )  
For spinless case see H. Min et. al. PRB (2008).

# Effective low energy theory

- We develop an effective field theory for the low energy modes

- Project out the dimerized sites using Schrieffer-Wolff transformation

(see e.g.  
McCann and Falco PRL 2006  
Nilsson et.al. 2008,  
Castro Neto et.al. RMP 2009)



# Effective low energy theory

$$\mathcal{S}_0 = \int d\tau d^2\mathbf{r} \left[ \psi^\dagger \left( \frac{\partial}{\partial \tau} + \sum_{a=x,y} \Sigma^a d_{\mathbf{k}}^a \right) \psi \right]$$



$$G_{\mathbf{k}}(i\omega_n) = \frac{i\omega_n + \sum_{a=x,y} \Sigma^a d_{\mathbf{k}}^a}{\omega_n^2 + d_{\mathbf{k}}^2}$$

$$d_{\mathbf{k}}^x = \frac{k_x^2 - k_y^2}{2m^*}$$

$$d_{\mathbf{k}}^y = \frac{2k_x k_y}{2m^*}$$

$$\Sigma^x = 1\sigma^x \otimes 1_N$$

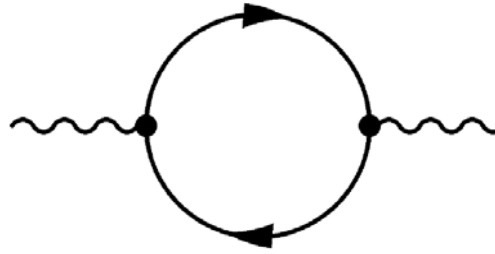
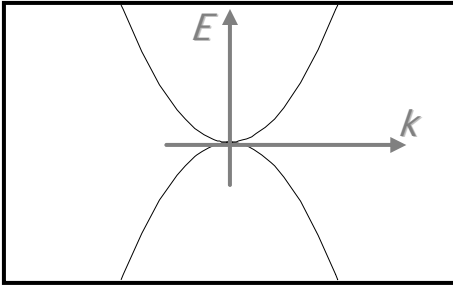
$$\Sigma^y = \tau^z \sigma^y \otimes 1_N$$

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valley

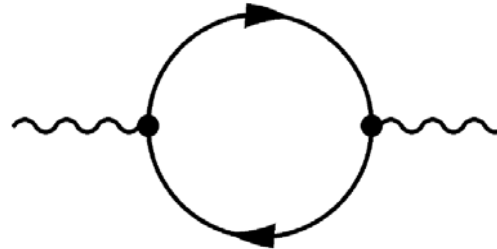
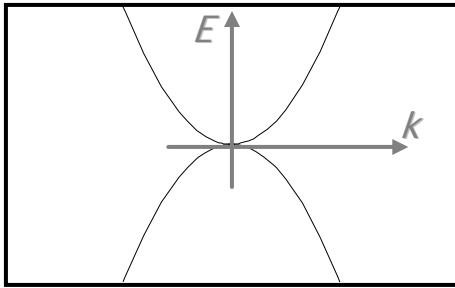
layer

# Motivation



$$\begin{aligned}
 \chi_O &\approx -k_B T \sum_{n=-\infty}^{\infty} \int \frac{d^2 \mathbf{k}}{A_{BZ}} \text{Tr} [O G_{\mathbf{k}}(i\omega_n) O G_{\mathbf{k}}(i\omega_n)] \\
 &\approx - \int \frac{d^2 \mathbf{k}}{(2\pi)^2} k_B T \sum_{n=-\infty}^{\infty} \text{Tr} \left[ O \frac{i\omega_n + \Sigma \cdot d_{\mathbf{k}}}{\omega_n^2 + d_{\mathbf{k}}^2} O \frac{i\omega_n + \Sigma \cdot d_{\mathbf{k}}}{\omega_n^2 + d_{\mathbf{k}}^2} \right] \\
 &\approx c_1 \left( 1 + \frac{1}{2} \sum_{a=x,y} \text{Tr} [O \Sigma_a O \Sigma_a] \right) \\
 &+ c_2 \left( 1 - \frac{1}{2} \sum_{a=x,y} \text{Tr} [O \Sigma_a O \Sigma_a] \right) \int \frac{d^2 \mathbf{k}}{(2\pi)^2} \frac{\tanh \frac{k^2}{T}}{k^2}
 \end{aligned}$$

# Motivation



$$\chi_O \approx c_1 \left( 1 + \frac{1}{2} \sum_{a=x,y} \text{Tr} [O \Sigma_a O \Sigma_a] \right) + c_2 \left( 1 - \frac{1}{2} \sum_{a=x,y} \text{Tr} [O \Sigma_a O \Sigma_a] \right) \ln \frac{t_{\perp}}{T}$$

Vanishes if  $[O, \Sigma_a]$  vanishes  
But finite if  $[O, \Sigma_a]$  is finite

# Effective low energy theory

$$\mathcal{S}_0 = \int d\tau d^2\mathbf{r} \left[ \psi^\dagger \left( \frac{\partial}{\partial \tau} + \sum_{a=x,y} \Sigma^a d_{\mathbf{k}}^a \right) \psi \right]$$

$$d_{\mathbf{k}}^x = \frac{k_x^2 - k_y^2}{2m^*}$$

$$d_{\mathbf{k}}^y = \frac{2k_x k_y}{2m^*}$$

$$\Sigma^x = 1\sigma^x \otimes 1_N$$

$$\Sigma^y = \tau^z \sigma^y \otimes 1_N$$

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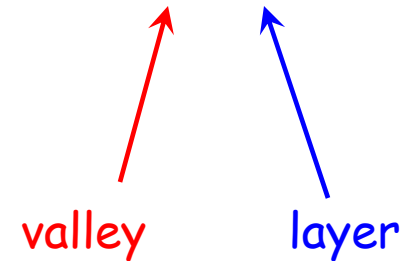
valley layer

- Scaling  $z=2$  ( $\omega \sim k^2$ )
- By power counting, contact interactions are the only marginal coupling

# Effective low energy theory

$$\begin{aligned}
 \mathcal{S} &= \int d\tau d^2\mathbf{r} \left[ \psi^\dagger \left( \frac{\partial}{\partial \tau} + \sum_{a=x,y} \Sigma^a d_{\mathbf{k}}^a \right) \psi \right] \\
 &+ \underbrace{\frac{1}{2} g_1}_{\text{screened Coulomb}} \int d\tau d^2\mathbf{r} \psi^\dagger \psi(\mathbf{r}, \tau) \psi^\dagger \psi(\mathbf{r}, \tau) \\
 &+ \frac{1}{2} g_2 \int d\tau d^2\mathbf{r} \psi^\dagger \Sigma^z \psi(\mathbf{r}, \tau) \psi^\dagger \Sigma^z \psi(\mathbf{r}, \tau) \\
 &+ \frac{1}{2} g_3 \int d\tau d^2\mathbf{r} \sum_{a=x,y} \psi^\dagger \Sigma^a \psi(\mathbf{r}, \tau) \psi^\dagger \Sigma^a \psi(\mathbf{r}, \tau)
 \end{aligned}$$

$$\begin{aligned}
 d_{\mathbf{k}}^x &= \frac{k_x^2 - k_y^2}{2m^*} \\
 d_{\mathbf{k}}^y &= \frac{2k_x k_y}{2m^*} \\
 \Sigma^x &= 1\sigma^x \otimes 1_N \\
 \Sigma^y &= \tau^z \sigma^y \otimes 1_N \\
 \Sigma^z &= \tau^z \sigma^z \otimes 1_N
 \end{aligned}$$


  
 valley                      layer

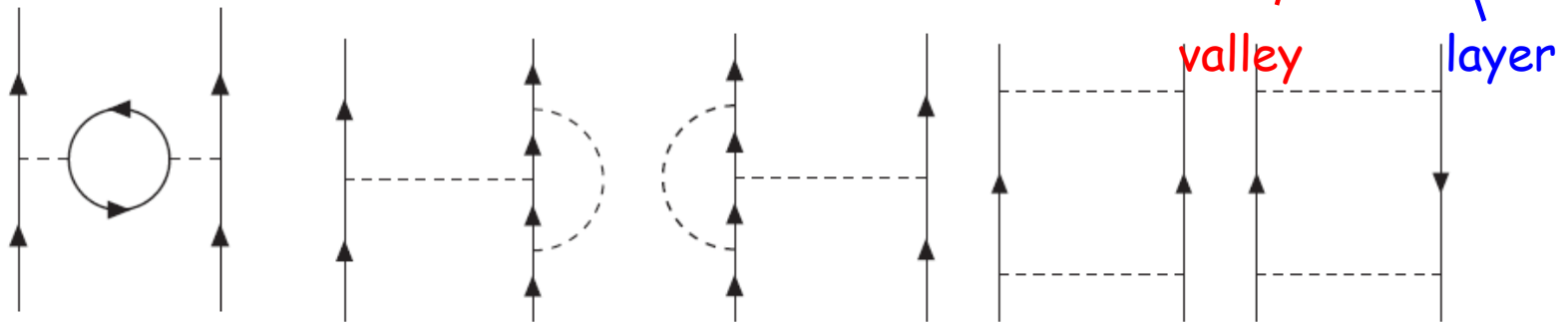
- Scaling  $z=2$  ( $\omega \sim k^2$ )
- Contact interactions are the only marginal couplings
- We assume that screened Coulomb interaction dominates

# Effective low energy theory

$$\begin{aligned}
 \mathcal{S} &= \int d\tau d^2\mathbf{r} \left[ \psi^\dagger \left( \frac{\partial}{\partial \tau} + \sum_{a=x,y} \Sigma^a d_{\mathbf{k}}^a \right) \psi \right] \\
 &+ \frac{1}{2} g_1 \int d\tau d^2\mathbf{r} \psi^\dagger \psi(\mathbf{r}, \tau) \psi^\dagger \psi(\mathbf{r}, \tau) \\
 &+ \frac{1}{2} g_2 \int d\tau d^2\mathbf{r} \psi^\dagger \Sigma^z \psi(\mathbf{r}, \tau) \psi^\dagger \Sigma^z \psi(\mathbf{r}, \tau) \\
 &+ \frac{1}{2} g_3 \int d\tau d^2\mathbf{r} \sum_{a=x,y} \psi^\dagger \Sigma^a \psi(\mathbf{r}, \tau) \psi^\dagger \Sigma^a \psi(\mathbf{r}, \tau)
 \end{aligned}$$

Pseudo-spin Ising

$$\begin{aligned}
 d_{\mathbf{k}}^x &= \frac{k_x^2 - k_y^2}{2m^*} \\
 d_{\mathbf{k}}^y &= \frac{2k_x k_y}{2m^*} \\
 \Sigma^x &= 1\sigma^x \otimes 1_N \\
 \Sigma^y &= \tau^z \sigma^y \otimes 1_N \\
 \Sigma^z &= \tau^z \sigma^z \otimes 1_N
 \end{aligned}$$

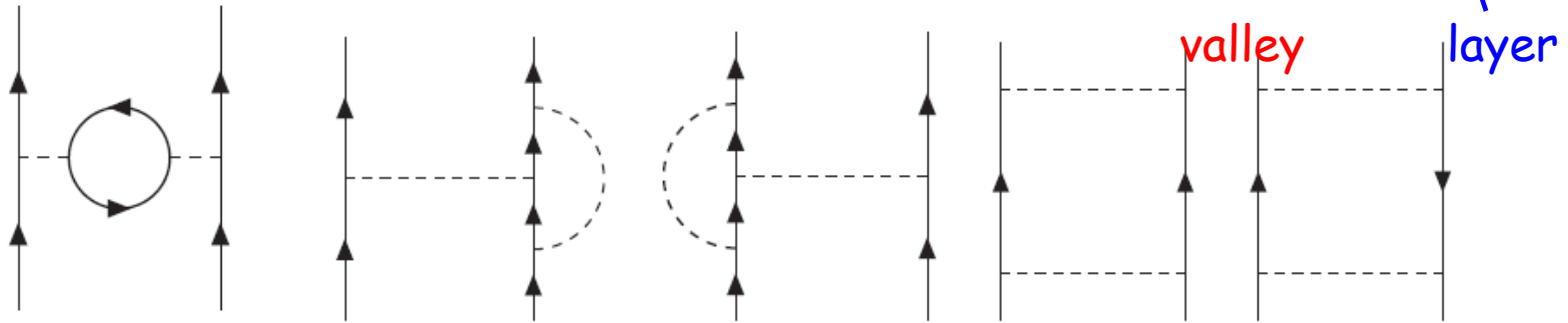


# Effective low energy theory

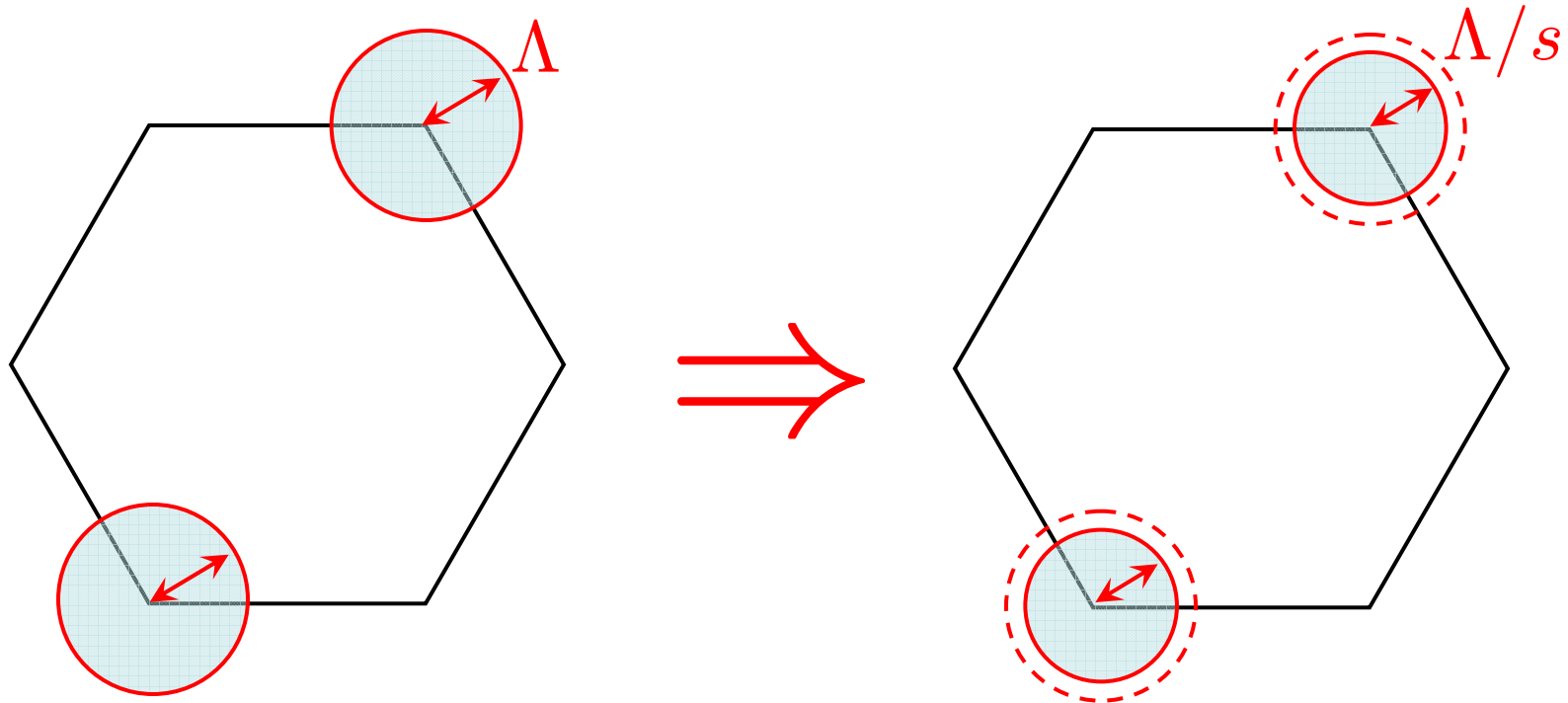
$$\begin{aligned}
 \mathcal{S} &= \int d\tau d^2\mathbf{r} \left[ \psi^\dagger \left( \frac{\partial}{\partial \tau} + \sum_{a=x,y} \Sigma^a d_{\mathbf{k}}^a \right) \psi \right] \\
 &+ \frac{1}{2} g_1 \int d\tau d^2\mathbf{r} \psi^\dagger \psi(\mathbf{r}, \tau) \psi^\dagger \psi(\mathbf{r}, \tau) \\
 &+ \frac{1}{2} g_2 \int d\tau d^2\mathbf{r} \psi^\dagger \Sigma^z \psi(\mathbf{r}, \tau) \psi^\dagger \Sigma^z \psi(\mathbf{r}, \tau) \\
 &+ \frac{1}{2} g_3 \int d\tau d^2\mathbf{r} \sum_{a=x,y} \psi^\dagger \Sigma^a \psi(\mathbf{r}, \tau) \psi^\dagger \Sigma^a \psi(\mathbf{r}, \tau)
 \end{aligned}$$

Pseudo-spin XY

$$\begin{aligned}
 d_{\mathbf{k}}^x &= \frac{k_x^2 - k_y^2}{2m^*} \\
 d_{\mathbf{k}}^y &= \frac{2k_x k_y}{2m^*} \\
 \Sigma^x &= 1\sigma^x \otimes 1_N \\
 \Sigma^y &= \tau^z \sigma^y \otimes 1_N \\
 \Sigma^z &= \tau^z \sigma^z \otimes 1_N
 \end{aligned}$$



# Effective low energy theory: RG procedure



# Effective low energy theory: RG equations

screened Coulomb  $\frac{dg_1}{d \ln s} = [-4g_1g_3] \frac{m}{4\pi}$

Pseudo-spin Ising  $\frac{dg_2}{d \ln s} = [-4(N-1)g_2^2 + 4g_3^2 + 4g_1g_2 - 12g_2g_3] \frac{m}{4\pi}$

Pseudo-spin XY  $\frac{dg_3}{d \ln s} = [-g_1^2 - g_2^2 - 2(N+2)g_3^2 + 2g_1g_3 + 2g_2g_3] \frac{m}{4\pi}$

$$= [-(g_1 - g_3)^2 - (g_2 - g_3)^2 - 2(N+1)g_3^2] \frac{m}{4\pi} < 0$$

# Effective low energy theory: RG equations

Since the system is autonomous, we can eliminate  $\log s$  and arrive at a system

$$\frac{dg_1}{dg_3} = f\left(\frac{g_1}{g_3}, \frac{g_2}{g_3}\right)$$
$$\frac{dg_2}{dg_3} = g\left(\frac{g_1}{g_3}, \frac{g_2}{g_3}\right)$$

$$f(g_1, g_2) = \frac{-4g_1}{-g_1^2 - g_2^2 - 2(N+2) + 2g_1 + 2g_2}$$
$$g(g_1, g_2) = \frac{-4(N-1)g_2^2 + 4 + 4g_1g_2 - 12g_2}{-g_1^2 - g_2^2 - 2(N+2) + 2g_1 + 2g_2}$$

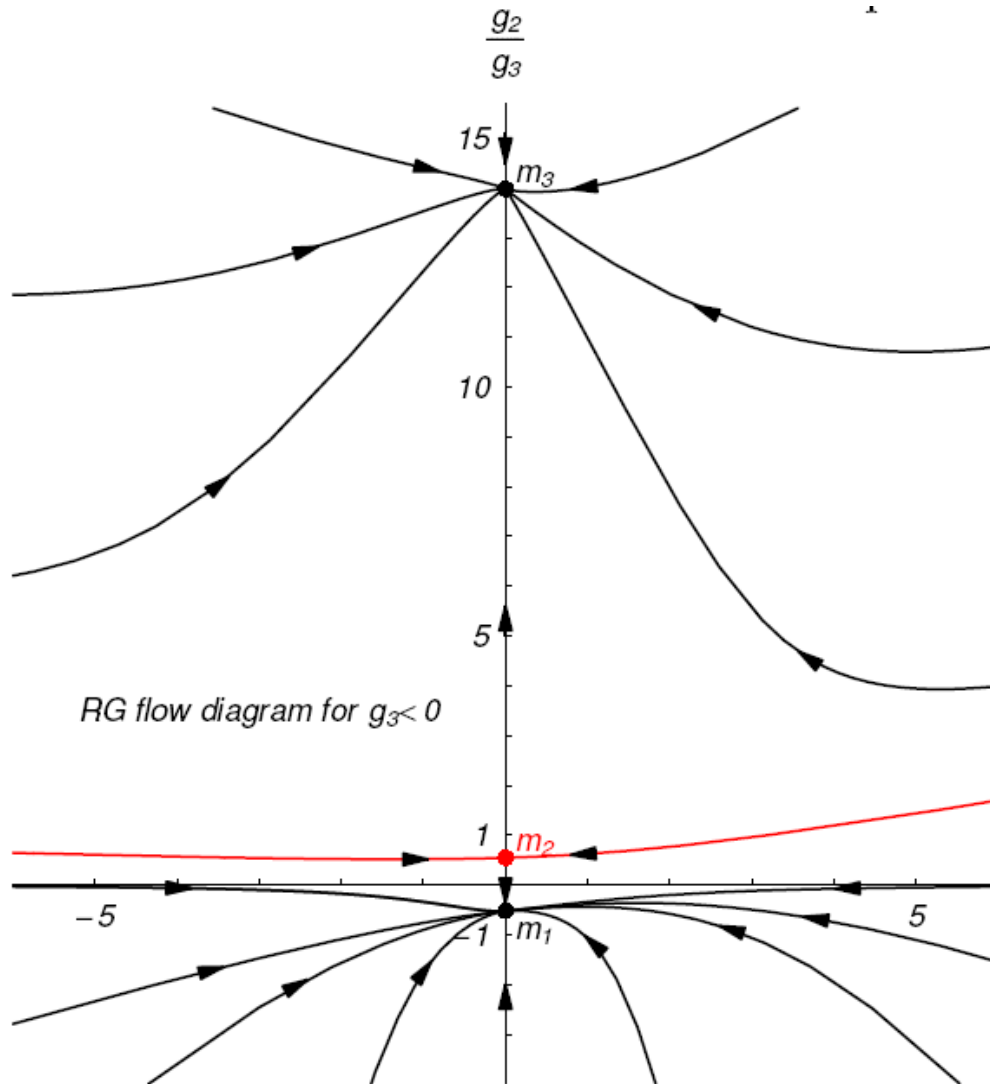
This system is in turn homogeneous, and it can be written as

$$g_3 \frac{d\frac{g_1}{g_3}}{dg_3} = -\frac{g_1}{g_3} + f\left(\frac{g_1}{g_3}, \frac{g_2}{g_3}\right)$$
$$g_3 \frac{d\frac{g_2}{g_3}}{dg_3} = -\frac{g_2}{g_3} + g\left(\frac{g_1}{g_3}, \frac{g_2}{g_3}\right).$$

We can think of these as effective flow equations for the coupling constant ratios!

# RG flow diagram

Pseudo-spin Ising/Pseudo-spin XY



If we start w/Coulomb interaction only (only  $g_1$  is finite), we start below the red separatrix  $\Rightarrow$  flow to  $m_1$

$\frac{g_1}{g_3}$  screened Culomb/Pseudo-spin XY

# Analysis of the susceptibilities

Introduce additional source terms in the action, which correspond to possible broken symmetry states

$$\Delta \mathcal{S} = -\Delta_{ph}^{\mathcal{O}_i} \int d\tau d^2\mathbf{r} [\psi^\dagger \mathcal{O}_i \psi] - \Delta_{pp}^{\mathcal{O}_i} \int d\tau d^2\mathbf{r} [\psi_{\alpha\sigma} \mathcal{O}_{\alpha\beta,\sigma\sigma'}^i \psi_{\beta\sigma'}]$$

The question of instability is answered by finding  $\Delta$  with the strongest RG divergence.

P-H

$\mathcal{O}_i = \tau^\mu \sigma^\nu$  where  $\mu, \nu = 0, 1, 2, 3$  and  $\tau_0 = \sigma_0 = 1$ ,

$$\Delta_{ph,ren}^{\tau^\mu \sigma^\nu} = \Delta_{ph}^{\tau^\mu \sigma^\nu} \left( 1 + [Ag_1 + Bg_2 + Cg_3] \frac{m}{4\pi} \ln s \right)$$

P-P

$\psi_{\alpha\sigma} \mathcal{O}_{\alpha\beta}^{(i)} \psi_{\beta\sigma'}$

$$\Delta_{pp,ren}^{\tau^\mu \sigma^\nu} = \Delta_{pp}^{\tau^\mu \sigma^\nu} \left( 1 + [A'g_1 + B'g_2 + C'g_3] \frac{m}{4\pi} \ln s \right)$$

# Analysis of the susceptibilities

P-H  $\Delta_{ph,ren}^{\tau^\mu \sigma^\nu} = \Delta_{ph}^{\tau^\mu \sigma^\nu} \left( 1 + [Ag_1 + Bg_2 + Cg_3] \frac{m}{4\pi} \ln s \right)$

P-P  $\Delta_{pp,ren}^{\tau^\mu \sigma^\nu} = \Delta_{pp}^{\tau^\mu \sigma^\nu} \left( 1 + [A'g_1 + B'g_2 + C'g_3] \frac{m}{4\pi} \ln s \right)$

$\psi^\dagger \tau^\mu \sigma^\nu \psi$	$\nu = 0$	$\nu = x$	$\nu = y$	$\nu = z$
$\mu = 0$	0, 0, 0	1, -1, -2N	1, -1, 0	2, 2, -4
$\mu = x$	1, -1, 0	0, 0, 0	2, 2, -4	1, -1, 0
$\mu = y$	1, -1, 0	0, 0, 0	2, 2, -4	1, -1, 0
$\mu = z$	0, 0, 0	1, -1, 0	1, -1, -2N	2, 2 - 4N, -4
$\psi_{\alpha s} (\tau^\mu \sigma^\nu)_{\alpha\beta} \psi_{\beta s'}$				
$\mu = 0$	-1, -1, 0	-2, 2, -4	0, 0, 0	-1, -1, 0
$\mu = x$	-2, 2, -4	-1, -1, 0	-1, -1, 0	0, 0, 0
$\mu = y$	-2, 2, -4	-1, -1, 0	-1, -1, 0	0, 0, 0
$\mu = z$	-1, -1, 0	-2, 2, -4	0, 0, 0	-1, -1, 0

Table 1: (Upper half) The susceptibility coefficients  $A, B, C$  for different particle-hole order parameters  $\psi^\dagger \mathcal{O}_i \psi$ . In the physical case  $N = 4$ . (Lower half) The susceptibility coefficients  $A', B', C'$  for different particle-particle order parameters  $\psi_{\alpha\sigma} \mathcal{O}_{\alpha\beta}^{(i)} \psi_{\beta\sigma'}$ .

## Conclusion

Starting with screened Coulomb interaction only, the strongest divergence is found for the operators

$$\psi^\dagger 1 \sigma_x \psi(\mathbf{r}) \text{ and } \psi^\dagger \tau_z \sigma_y \psi(\mathbf{r})$$

This corresponds to an electronic **nematic** state:

- Breaks rotational symmetry
- Even under  $\pi$  rotation
- Does not break translational symmetry (unlike stripes)

# Conclusion

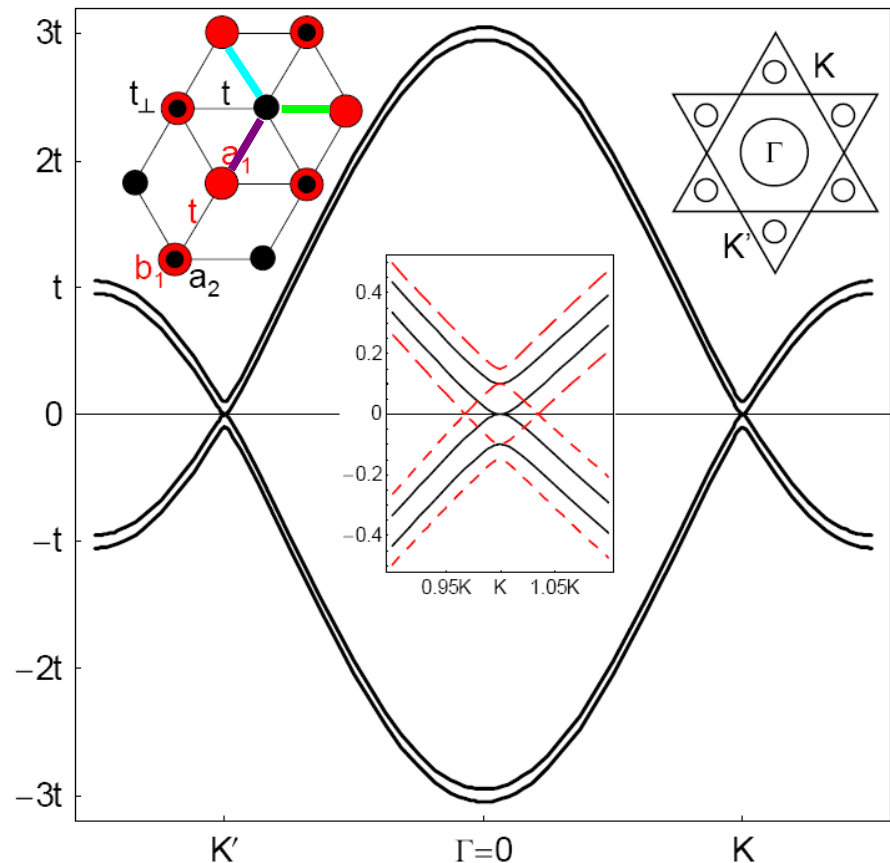
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This corresponds to an electronic **nematic** state

The transition is 2<sup>nd</sup> order (3 state Potts model universality class)

Each parabolic touching is split into two conical (Dirac) touchings.



# Conclusion

