

Spectrum of (closed) flux tubes in  $SU(N)$  gauge theories  
– results from the lattice

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- closed flux tube spectrum :  $D=2+1$

[hep-th/0611286](#); [arXiv:0709.0693](#); [0802.1490](#); [0812.0334](#)

- closed flux tube spectrum :  $D=3+1$

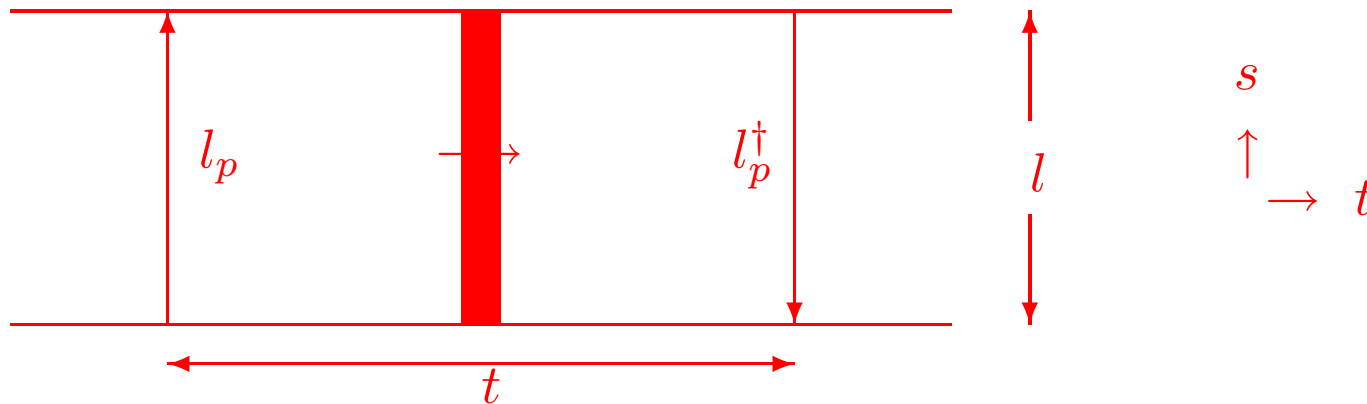
[arXiv:0912.3238](#); draft paper

- What have we learned?

Calculate the mass of a confining flux tube winding around a spatial torus of length  $l$ , using correlators of Polyakov loops:

$$\langle l_p^\dagger(t) l_p(0) \rangle \stackrel{t \rightarrow \infty}{\propto} \exp\{-E_0(l)t\}$$

in pictures



where, for linear confinement,

$$E_0(l) \stackrel{l \rightarrow \infty}{\simeq} \sigma l + O\left(\frac{1}{l}\right)$$

with a ‘deconfining’ phase transition at  $l = l_c = 1/T_c$

where on general grounds:

$$\begin{aligned}
 E_0(l) &\stackrel{l \rightarrow \infty}{=} \sigma l && \text{linear confinement} \\
 &- \frac{\pi(D-2)}{6} \frac{1}{l} && \text{Luscher correction 1980} \\
 &- \frac{1}{2} \left( \frac{\pi(D-2)}{6} \right)^2 \frac{1}{\sigma l^3} && \text{Luscher-Weisz, Drummond 2004} \\
 &- \frac{1}{2} \left( \frac{\pi(D-2)}{6} \right)^3 \frac{1}{\sigma^2 l^5} && \text{Aharony-Karzbrun 2009} \\
 &+ O\left(\frac{1}{l^7}\right) && \text{(1)}
 \end{aligned}$$

and this is ‘universal’ – as long as only massless modes on the flux tube are from the transverse translations

## Nambu-Goto in flat space-time :

- simplest example of a bosonic string theory
- a free string theory and ‘sick’ outside  $D = 26$

but

diseases invisible in sector of states built on a single long string

e.g. P. Olesen, PLB160 (1985) 144; J. Polchinski, A. Strominger, PRL67 (1991) 1681.

$\implies$

the ground state energy: J. Arvis, PLB 127 (1983) 127

$$E_0(l) = \sigma l \left( 1 - \frac{\pi(D-2)}{3\sigma l^2} \right)^{\frac{1}{2}} \stackrel{l \rightarrow \infty}{\simeq} \sigma l - \frac{\pi(D-2)}{6l} + \dots$$

becomes tachyonic for

$$l \leq l_h = \sqrt{\frac{\pi(D-2)}{3\sigma}} \stackrel{!}{\sim} l_c = \frac{1}{T_c}$$

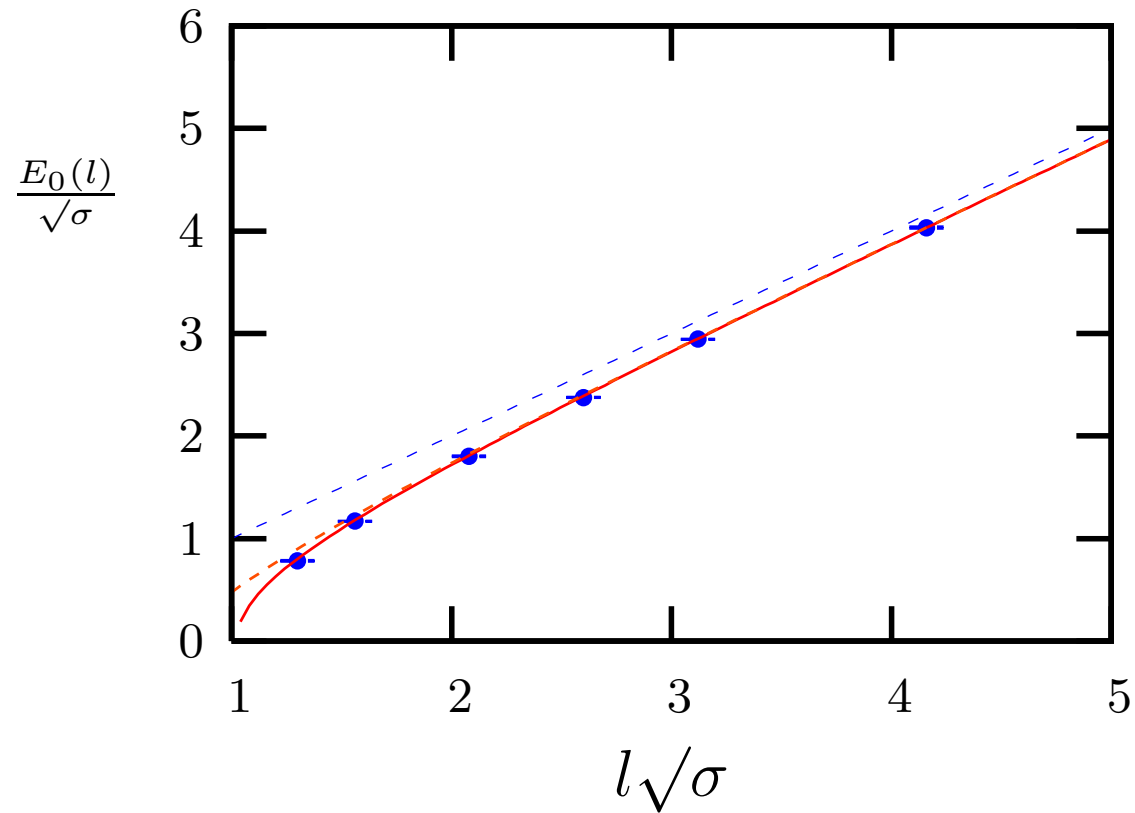
but  $l_c > l_h$  for  $N \rightarrow \infty \Rightarrow$  NG can, at larger  $N$ , be compared to the calculated energy for all  $l \geq l_c$

→ D=2+1

- $g^2 \sim [m]^1$  sets scale ; instead of  $\Lambda_{MS}$  (dim trans)
- flux tubes → flux strips

# SU(5) – ground state

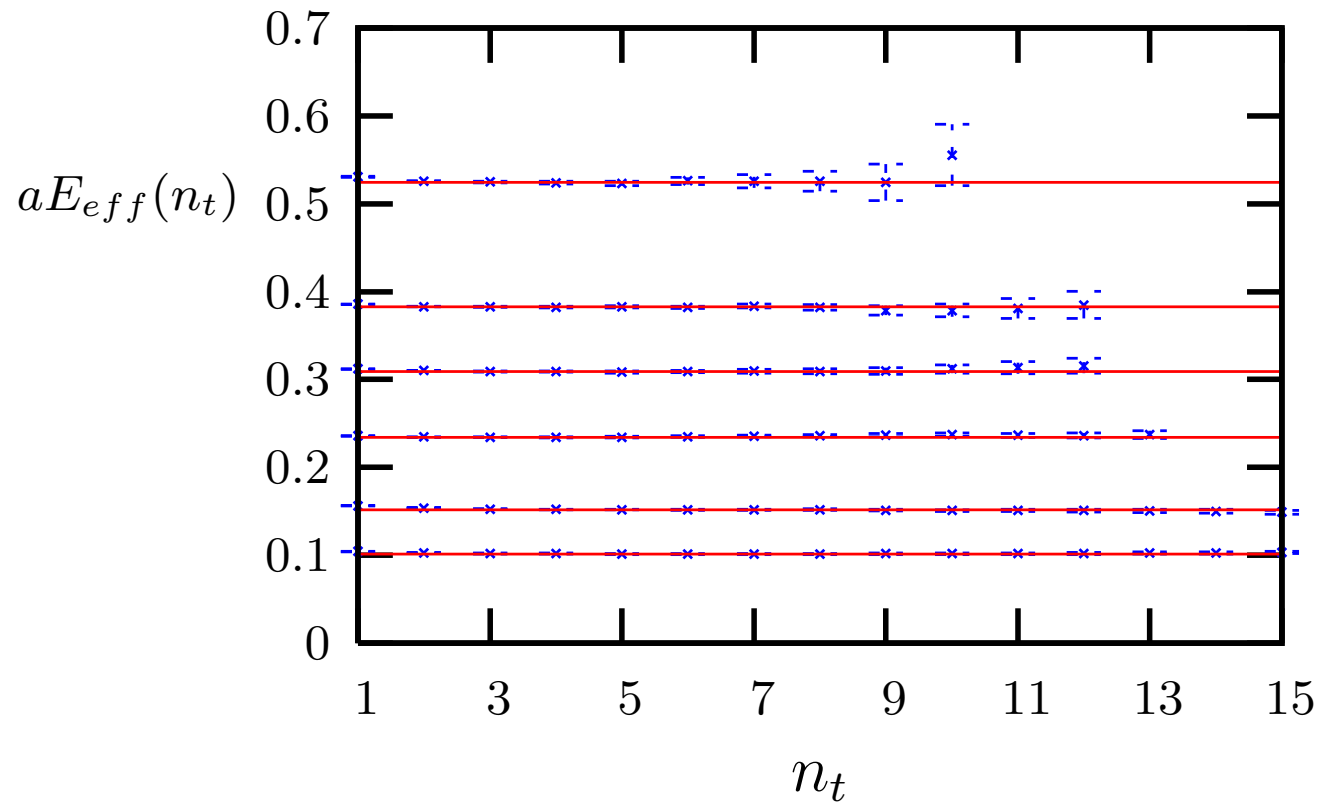
$$a\sqrt{\sigma} \simeq 0.130 \quad ; \quad l_c\sqrt{\sigma} \simeq 1.07$$



...Luscher:  $E_0(l) = \sigma l - \frac{\pi}{6l}$  ; -Nambu-Goto:-  $E_0(l) = \sigma l \left(1 - \frac{\pi}{3\sigma l^2}\right)^{\frac{1}{2}}$

how good are the exponential fits  $C(t = n_t a) \propto e^{-aE_0(l)n_t}$ ?

→ local exponential fits:  $aE_{eff}(t = n_t a) = -\ln C(n_t)/C(n_t - 1)$



→ good – but gets worse as  $l$  and  $E \uparrow \rightarrow$  very large  $l$  is inaccessible to MC

## bosonic universality class?

fit to each pair of neighbouring values of  $l$

- effective Luscher correction

$$E_0(l) = \sigma_{\text{eff}} l - c_{\text{eff}} \frac{\pi(D-2)}{6l}$$

- effective Nambu-Goto

$$E_0(l) = \sigma_{\text{eff}} l \left( 1 - c_{\text{eff}} \frac{\pi}{3\sigma_{\text{eff}} l^2} \right)^{\frac{1}{2}}$$

then if

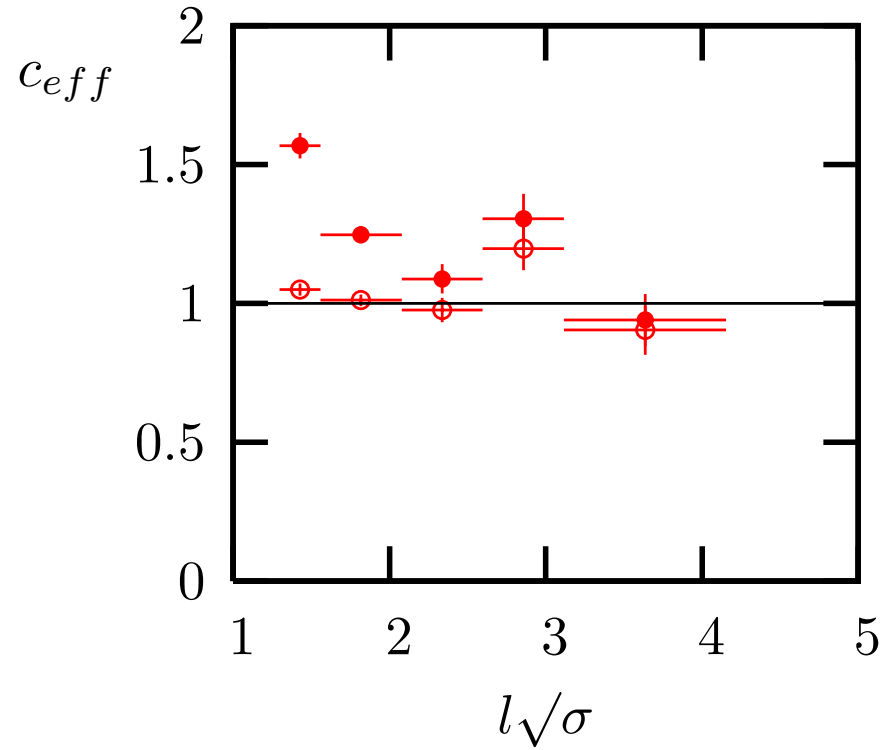
$$c_{\text{eff}}(l) \xrightarrow{l \rightarrow \infty} c = 1$$

the effective string action belongs to the universality class of a simple bosonic where the only massless modes of the string are the transverse translations

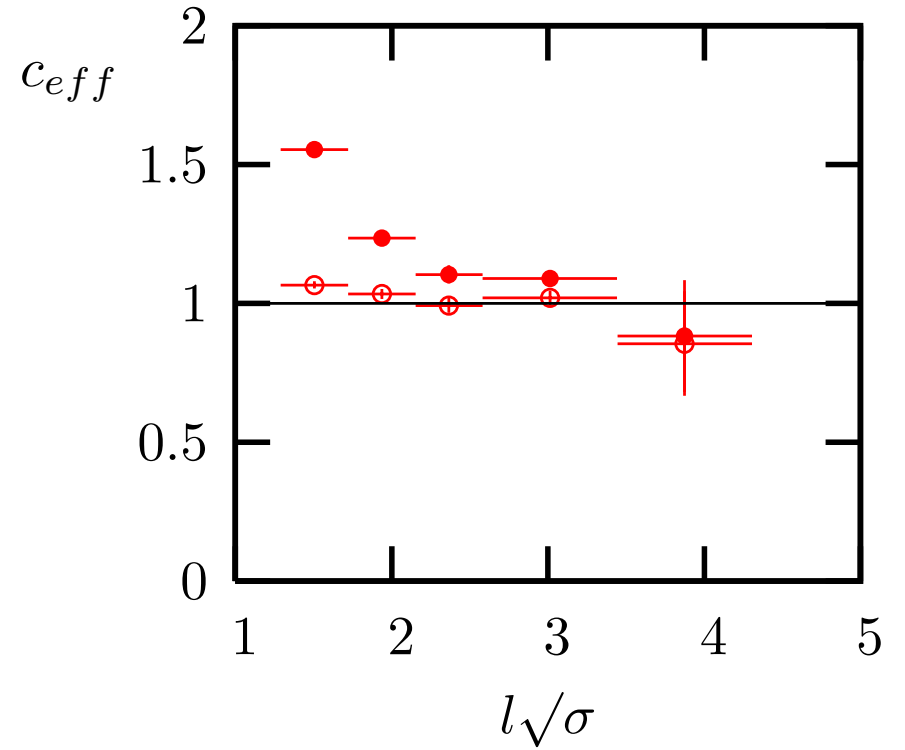
if  $c \neq 1$  then there must be other massless modes on the string, e.g.

$c = 1, 1.5, 0$  for bosonic, Neveu-Schwartz, Ramond strings respectively

SU(5) :  $l_c\sqrt{\sigma} \simeq 1.07$



SU(4) :  $l_c\sqrt{\sigma} \simeq 1.08$



$c_{eff}$  : from Luscher ● , and from Nambu-Goto ○

⇒

- Luscher correction, good evidence that

$$c_{eff}(l \rightarrow \infty) \rightarrow 1$$

i.e. the only massless mode of confining flux tube is that associated with the spontaneous breaking of translations transverse to the string

but

significant deviation of  $c_{eff}(l)$  from unity at smaller  $l \rightarrow$  large higher order corrections to the Luscher term

- by contrast: Nambu-Goto fits almost exactly all the way down to

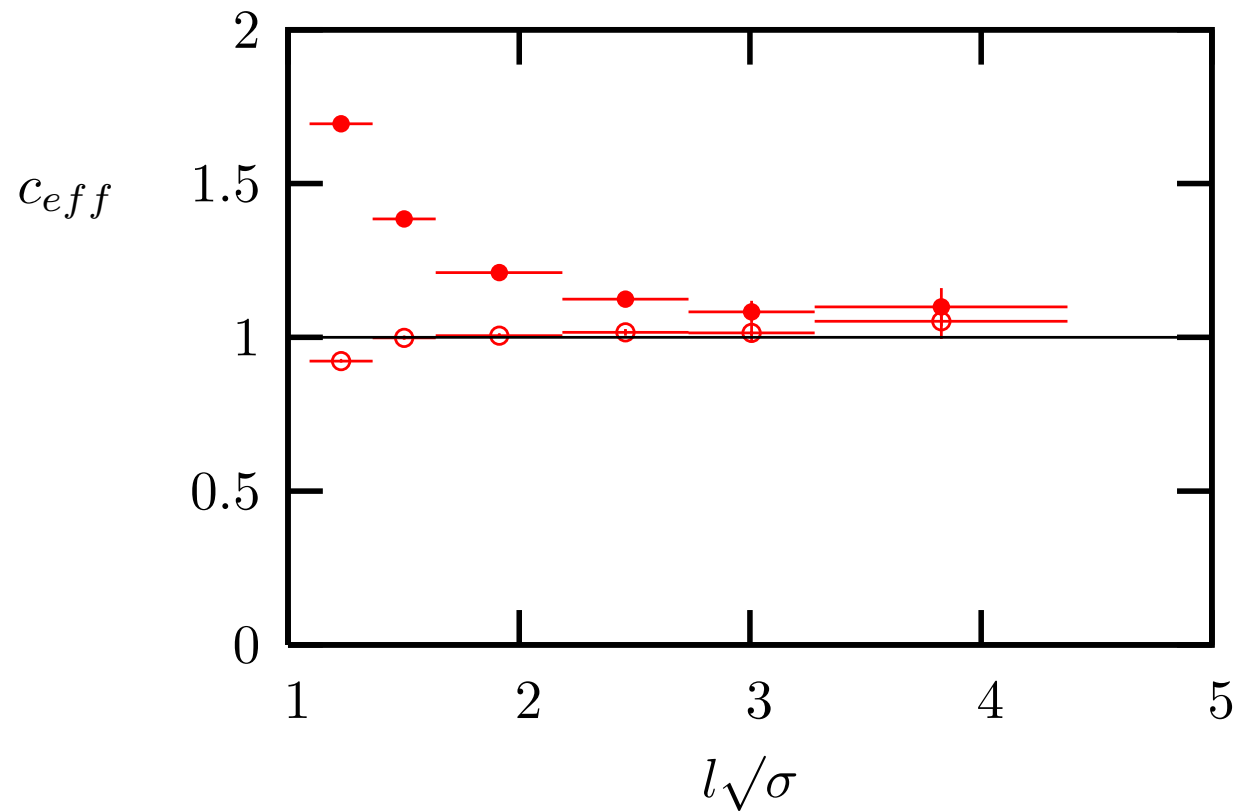
$$l \sim l_c \sim 1/\sqrt{\sigma}$$

$$c_{eff}^{NG}(l) \simeq 1 \quad \forall l$$

⇒ the confining flux tube behaves almost exactly like an ideal free bosonic string, even when it is hardly longer than it is wide

Is this a large  $N$  effect?

small  $N$ ?  $\longrightarrow$  SU(2) :  $l_c\sqrt{\sigma} \simeq 0.95$



$c_{eff}$  : from Luscher  $\bullet$  , and from Nambu-Goto  $\circ$

$\implies$  the ground state flux tube energy,  $E_0(l)$ , is very close to that of a free bosonic string theory  $\forall N$ .

- maybe not surprising since the next two corrections beyond the Luscher term are now known to be exactly what you get expanding Nambu-Goto in  $1/\sigma l^2$  ?
- these corrections are very small and hard to see as they come from the zero-point energy contributions of the oscillators: so they will be much larger in the excited states
- so what do the excited states look like?

## Strings in D=2+1 : quantum numbers

- length of string,  $l$
- non-zero momentum  $p = 2\pi q/l$  along string  
→ requires a deformation along the string  
→ so need non-trivial phonon excitation:  $q = N_L - N_R$
- parity:  $h(x) \rightarrow -h(x) \quad \leftrightarrow \quad a_k \rightarrow -a_k, \tilde{a}_k \rightarrow -\tilde{a}_k$   
→  $P = (-1)^{\text{number of phonons}}$
- no rotations (2 space dimensions); transverse momentum uninteresting;  
 $C = \pm$  sectors degenerate, so charge conjugation uninteresting.

## Nambu-Goto free string theory

$$\int \mathcal{D}X e^{-\frac{i}{\sigma} \times \text{Area}}$$

spectrum:

$$E_n^2(l; q) = (\sigma l)^2 + 8\pi\sigma \left( \frac{N_L + N_R}{2} - \frac{D-2}{24} \right) + \left( \frac{2\pi q}{l} \right)^2.$$

$2\pi q/l =$  total momentum along string;

$N_L, N_R =$  sum left and right oscillators ('phonons');

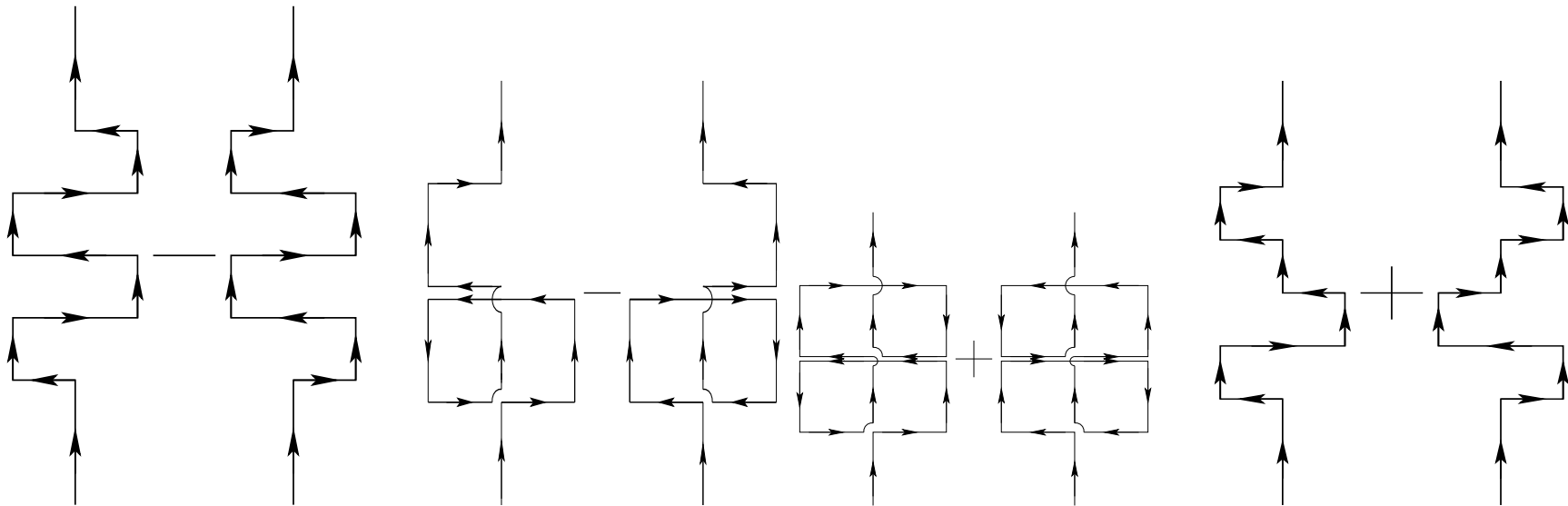
state =  $\prod_k a_k^{n_k} \prod_{k'} \tilde{a}_{k'}^{n_{k'}} |0\rangle$

$$N_L = \sum_{k>0} n_L(k) k, \quad N_R = \sum_{k'>0} n_R(k') k', \quad N_L - N_R = q$$

J. Arvis, Phys. Lett. 127B(1983)106

## Excited States

to have good overlaps onto excited string states, we need to include many more operators in our variational basis – in particular operators that ‘look’ excited and ones that have an intrinsic handedness so that we can construct  $P = -$  as well as  $P = +$ , e.g.



typically we have 100-200 operators in our basis ...

- here we have in addition generalised to a variational calculation over a vector space  $V_\phi$  spanned by some convenient blocked/smeared operators  $\{\phi_i; i = 1, \dots, n\}$  of the desired quantum numbers:

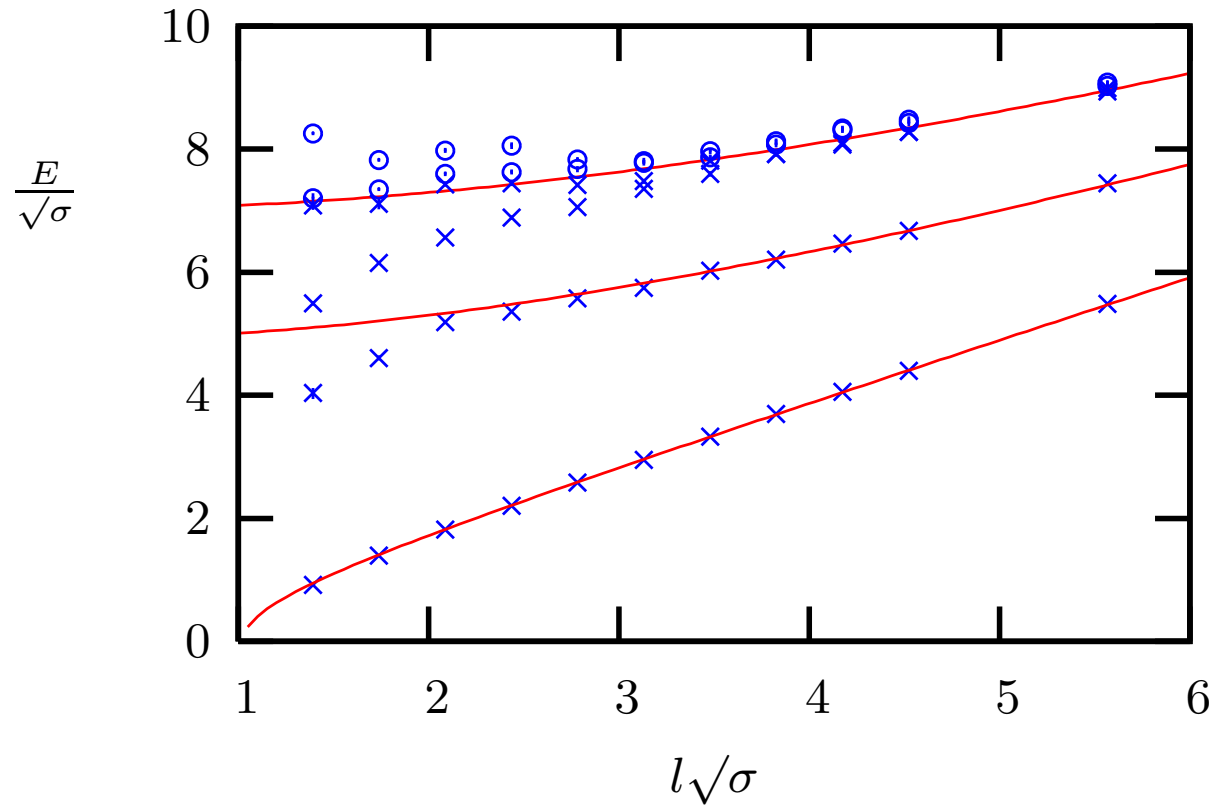
$$\langle \psi_0^\dagger(t_0)\psi_0(0) \rangle = \max_{\phi \in V_\phi} \langle \phi^\dagger(t_0)\phi(0) \rangle = \max_{\phi \in V_\phi} \langle \phi^\dagger e^{-Ht_0} \phi \rangle$$

where  $t_0$  is some convenient value of  $t$ . Then  $\psi_0$  is our best variational estimate for the true eigenfunctional of the ground state (with these quantum numbers). We can now use  $\langle \psi_0^\dagger(t)\psi_0(0) \rangle$  to obtain our best estimate of the ground state mass.

- generalise this in an obvious way to calculating excited state energies

SU(3) :  $q = 0$  closed string spectrum

$$a\sqrt{\sigma} \simeq 0.174 \quad ; \quad l_c\sqrt{\sigma} \simeq 1.0$$



— : Nambu-Goto :  $\sigma$  from ground state

× : +ve parity    ○ : -ve parity

content of lightest  $q = 0$  NG states:

$$|0\rangle \quad P=+, q=0$$

$$a^R(k=1)a^L(k=1)|0\rangle \quad P=+, q=0$$

$$a^R(k=2)a^L(k=2)|0\rangle \quad P=+, q=0$$

$$a^R(k=1)a^R(k=1)a^L(k=1)a^L(k=1)|0\rangle \quad P=+, q=0$$

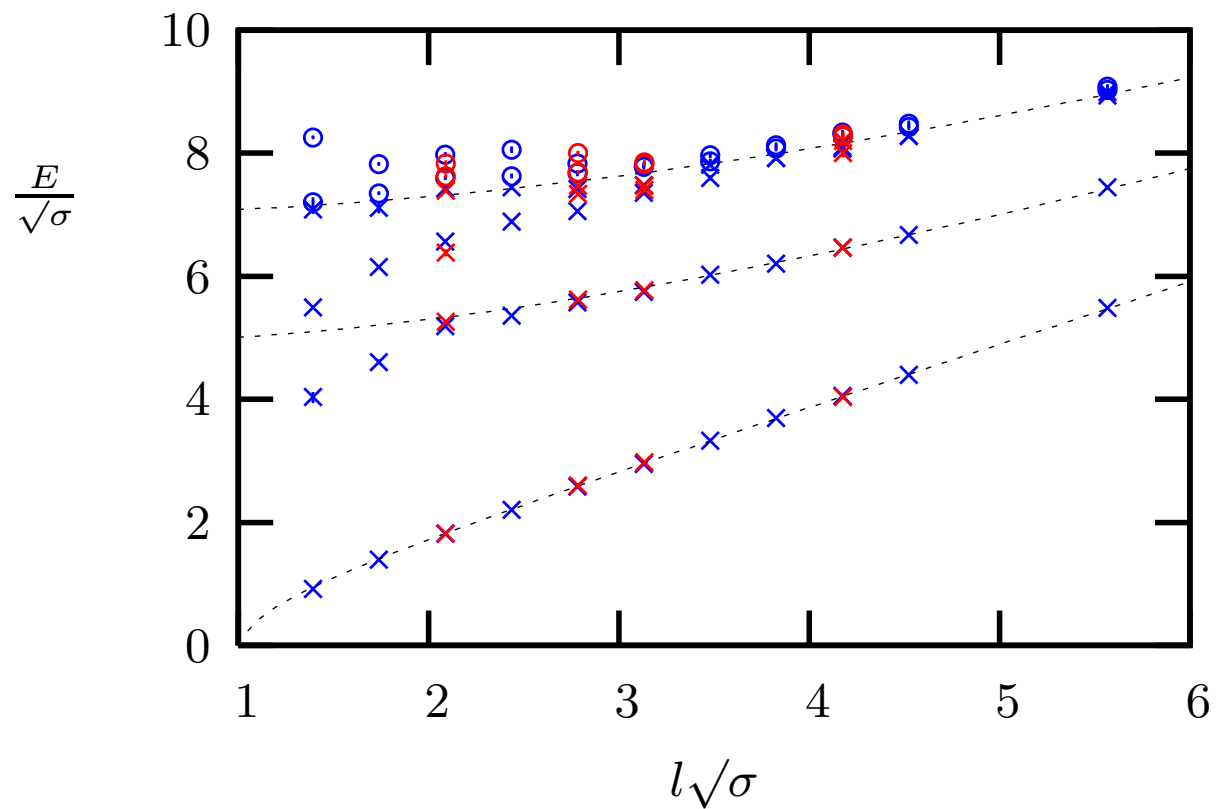
$$a^R(k=2)a^L(k=1)a^L(k=1)|0\rangle \quad P=-, q=0$$

$$a^R(k=1)a^R(k=1)a^L(k=2)|0\rangle \quad P=-, q=0$$

Since our lightest states have energies and degeneracies as in Nambu-Goto down to  $l\sqrt{\sigma} \sim 2$ , they are well-described by the above states.

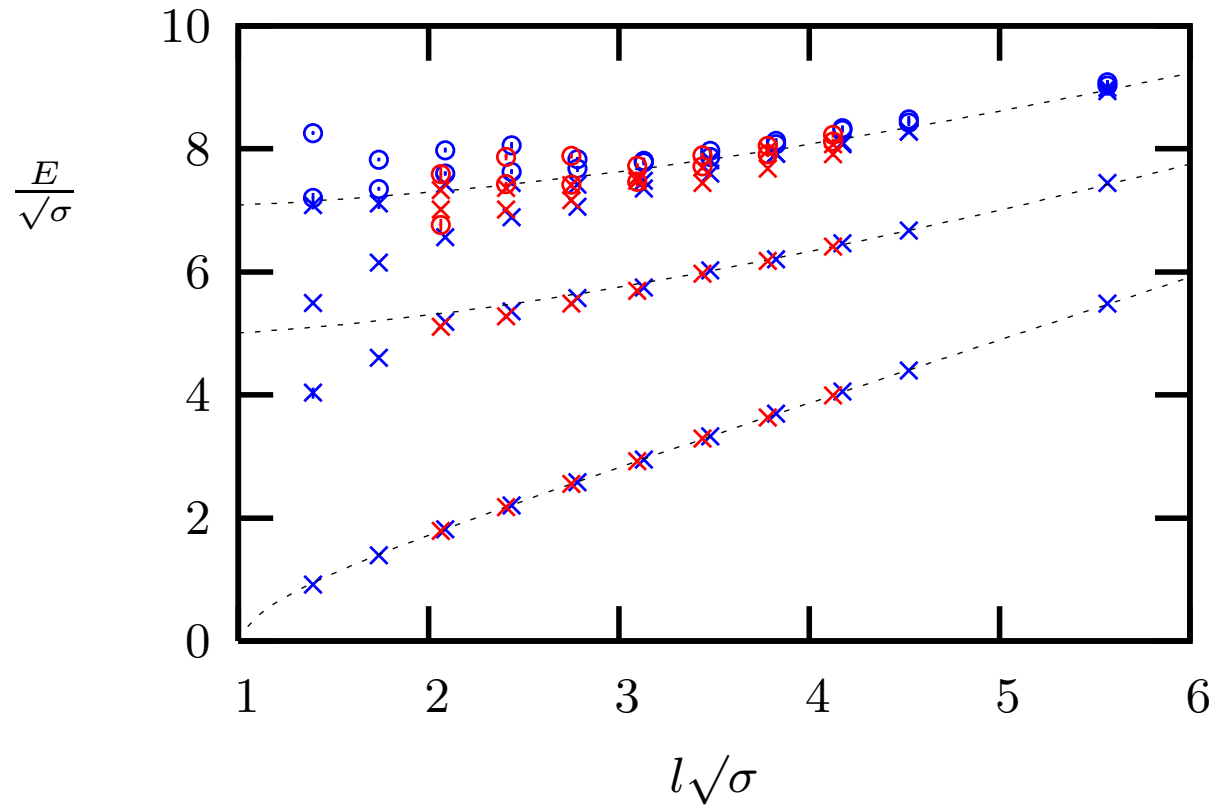
SU(3) : how close to continuum limit?

compare  $a\sqrt{\sigma} \simeq 0.174$  vs  $a\sqrt{\sigma} \simeq 0.087$



no significant difference as  $a \rightarrow a/2 \Rightarrow$  we have 'continuum' physics

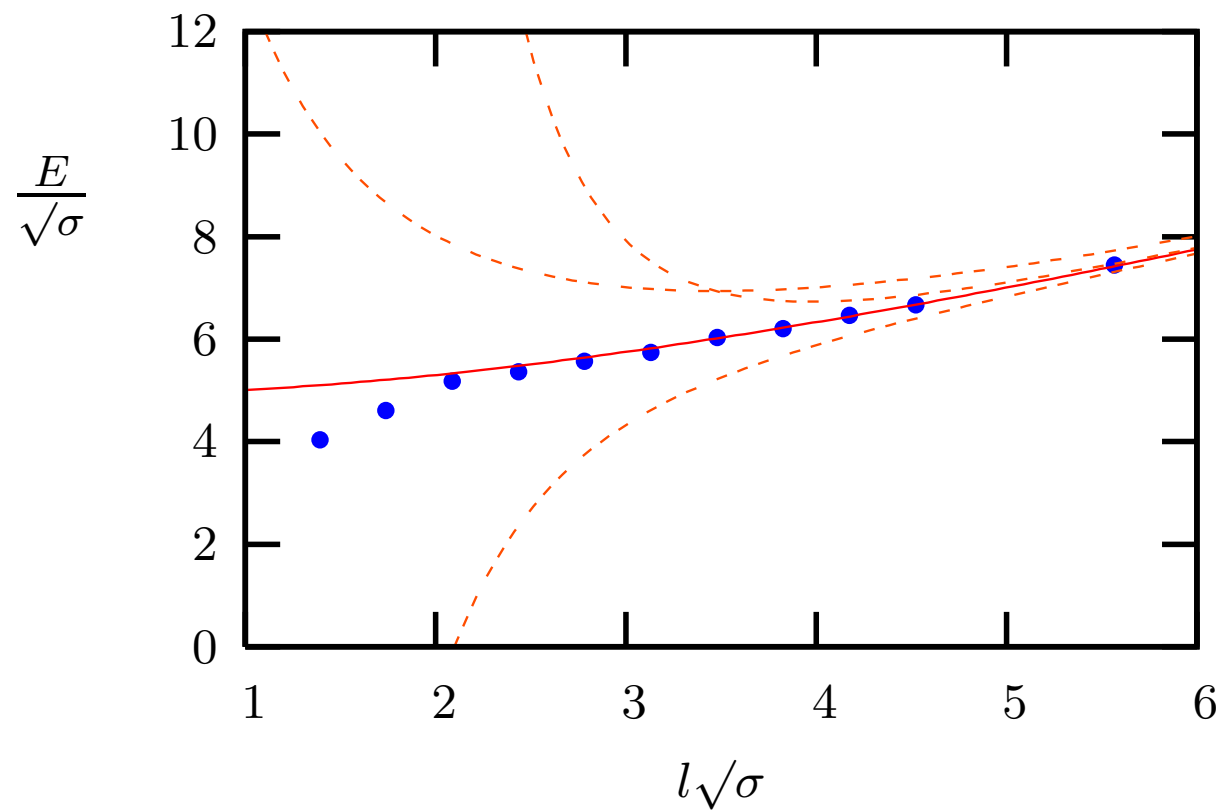
SU(3) vs SU(6) : same  $a$



$\Rightarrow SU(3) \simeq SU(\infty)$

- ◇ Striking agreement with free string model, down to  $l\sqrt{\sigma} \simeq 2$ .
- ◇ Remarkable since  $l\sqrt{\sigma} \simeq 2 \Rightarrow$  the flux tube is maybe only twice as long as it is wide – hardly an ideal ‘string’.
- ◇ Is this just a manifestation of the fact that we know (Luscher, Weisz, Drummond, Aharony, ... ) that the first 3 or 4 terms in an expansion of  $E_n(l)$  in powers of  $1/\sigma l^2$  must be the same as Nambu-Goto?

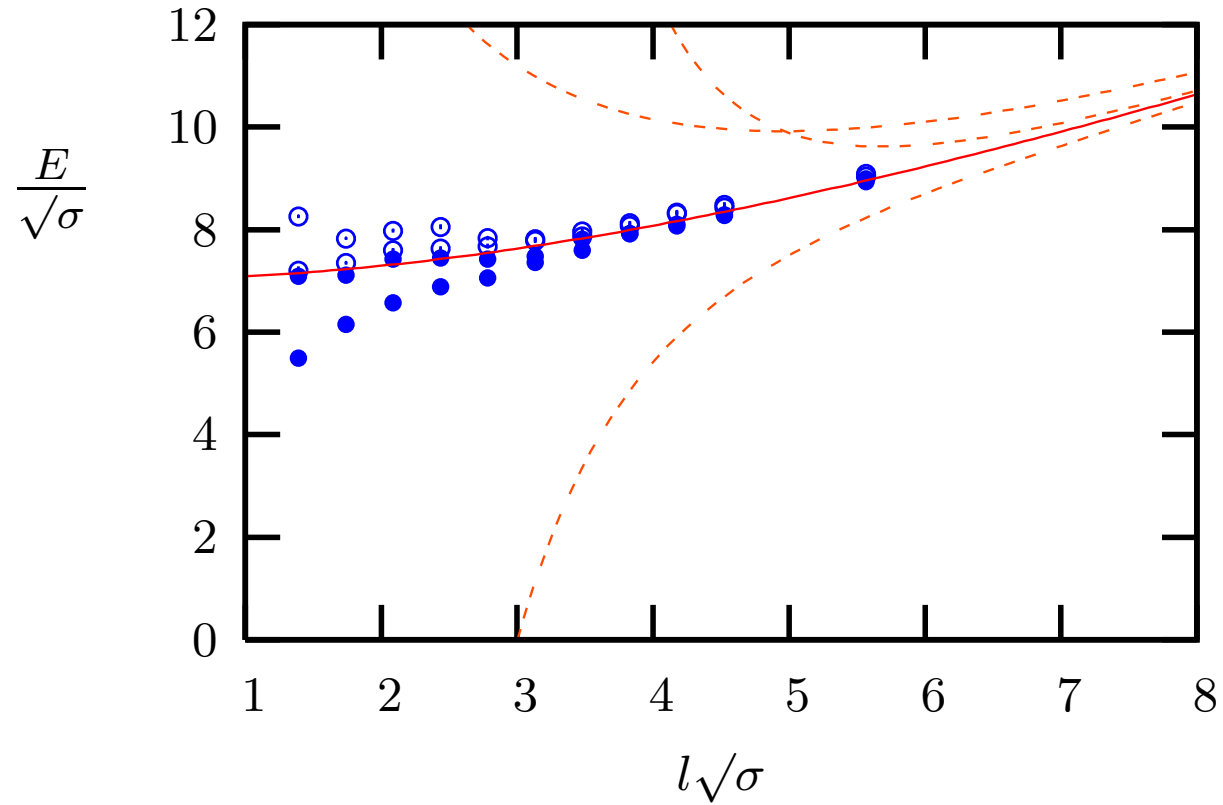
1st excited level



— Nambu-Goto :  $E_n = \sigma l \sqrt{1 + \frac{8\pi}{\sigma l^2} \left(n - \frac{1}{24}\right)}$

⋯ Luscher 1980; Luscher-Drummond 2004; Aharony 2009

## 2nd excited level



— Nambu-Goto :  $E_n = \sigma l \sqrt{1 + \frac{8\pi}{\sigma l^2} \left(n - \frac{1}{24}\right)}$

⋯ Luscher 1980; Luscher-Drummond 2004; Aharony 2009

Why ?

the covariant Nambu-Goto expression e.g. for  $q = 0$ ,

$$E(l) = \sigma l \left( 1 + \frac{8\pi}{\sigma l^2} \left( n - \frac{D-2}{24} \right) \right)^{\frac{1}{2}}$$

can only be expanded as a power series in  $1/l\sqrt{\sigma}$  when

$$\frac{8\pi}{\sigma l^2} \left( n - \frac{1}{24} \right) \leq 1 \quad \leftrightarrow \quad l\sqrt{\sigma} \geq \sqrt{8\pi n} \sim 5\sqrt{n}$$

whereas in practice we have a very good fit by Nambu-Goto even down to

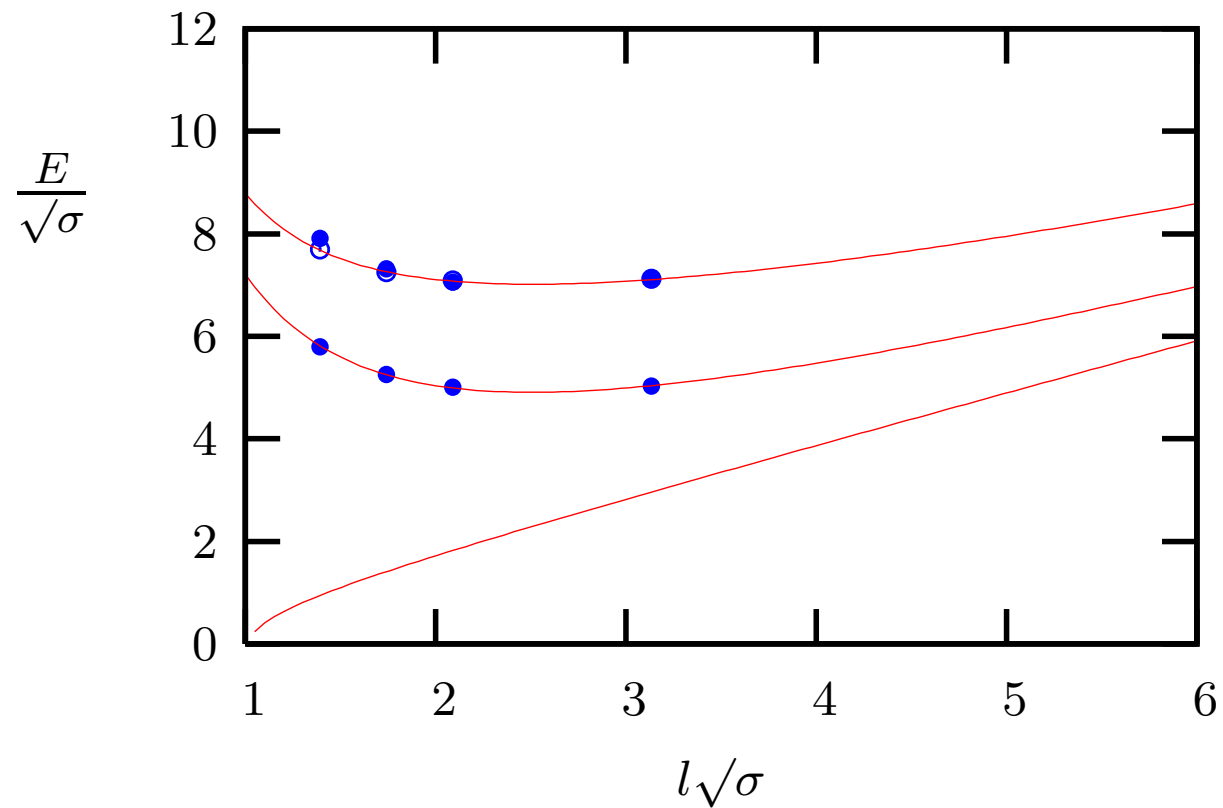
$$l\sqrt{\sigma} \sim 2, \quad n = 1, 2$$

which is well outside its radius of convergence

$\Rightarrow$

the agreement with NG that we see goes well beyond the range of validity of an expansion of  $\mathcal{L}_{eff}$  in powers of derivatives: it makes a statement about  $\mathcal{L}_{eff}$  to *all* orders in  $1/\sigma l^2$  – and its resummation

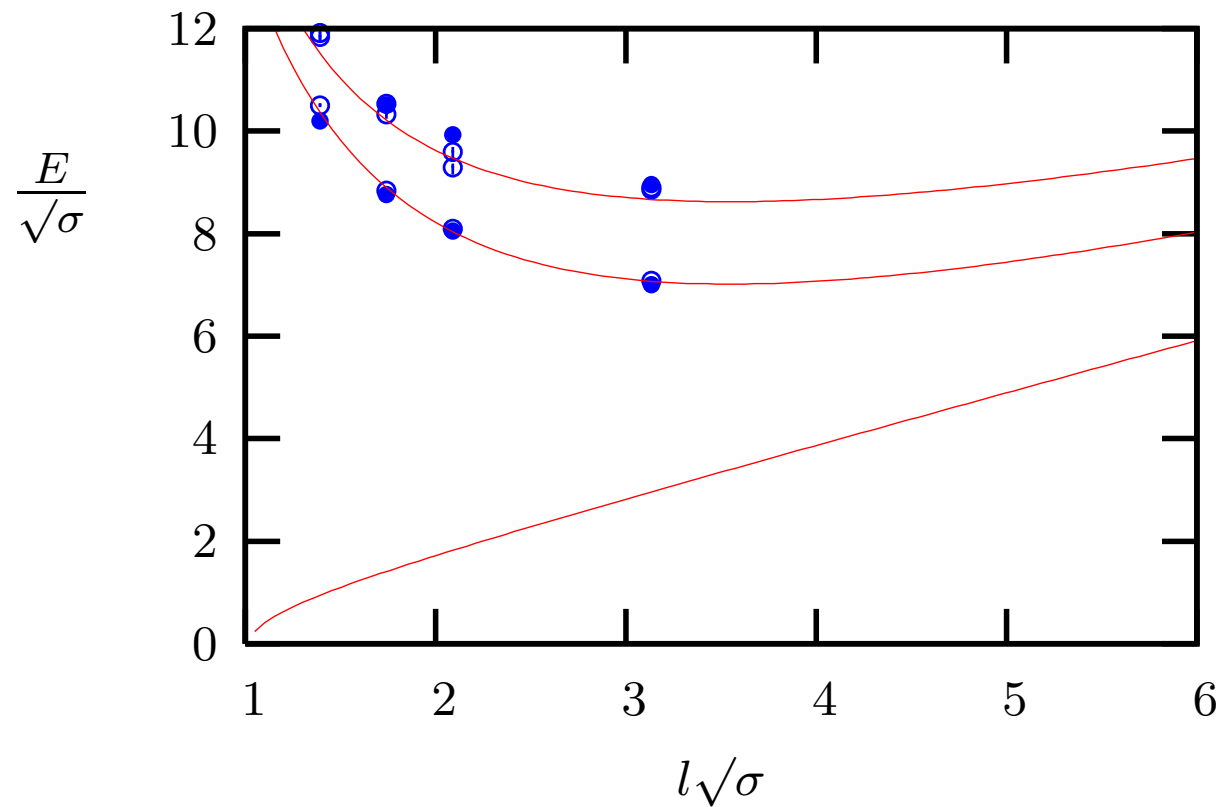
$$p_{11} = \frac{2\pi q}{l} = \frac{2\pi}{l} \text{ spectrum : } a_1|0\rangle; a_1 a_1 a_{-1}|0\rangle; a_2 a_{-1}|0\rangle$$



•  $P = -$  ; ○  $P = +$

curves: NG predictions for  $q = 1$

$$p_{11} = \frac{2\pi q}{l} = \frac{4\pi}{l} \text{ spectrum : } a_1 a_1 |0 \rangle; a_2 |0 \rangle; \dots$$



- $P = -$  ;  $\circ P = +$
- curves: NG predictions for  $q = 2$

## D=2+1 SU(N) gauge theories

- flux tubes are in the universality class of a simple bosonic string theory

- the Nambu-Goto free string spectrum

$$E^2(l) = (\sigma l)^2 + 8\pi\sigma \left( \frac{N_L + N_R}{2} - \frac{D-2}{24} \right) + \left( \frac{2\pi q}{l} \right)^2.$$

very accurately describes the observed spectrum down to values of  $l\sqrt{\sigma}$  where an effective string theory expansion in  $x = l\sqrt{\sigma}$ ,

$$\frac{E_n}{\sqrt{\sigma}} = x \left( 1 + \frac{c}{x^2} \right)^{\frac{1}{2}} = x + \frac{c}{2x} - \frac{c}{8x^3} + \dots$$

no longer makes sense (i.e. is far past its range of convergence)

- $\implies$  if we expand the effective string action around the Nambu-Goto action, then the correction terms should be small for almost all possible values of  $l\sqrt{\sigma}$

SO :The lattice calculations overlap with but are also complementary to the  $l \rightarrow \infty$  theoretical results.

BUT

- usually we think of the flux tube as

*either*

some non-Abelian dual Nielsen-Olesen vortex, with a finite intrinsic width

$\sim 1/\sqrt{\sigma}$ ;

*and/or*

a string in some ‘5D’ gravity dual, dangling near some ‘horizon’ where the metric will have a highly non-trivial curvature, so that it projects to a flux tube of non-zero width on our ‘4D’ boundary

- in either scenario:

– where are the excited states due to excitations of the massive degrees of freedom generating the finite width?

– and the corrections to the stringy states from these massive degrees of freedom.

- very naively we might expect the mass scale of the lightest such extra states to be

$$E(l) \sim E_0(l) + m_G \sim E_0(l) + 4\sqrt{\sigma}$$

or maybe

$$E(l) \sim E_0(l) + \Delta m_G \sim E_0(l) + 2\sqrt{\sigma}$$

at low  $l\sqrt{\sigma}$  this should be one of the lightest excitations – but we do not see it in our spectra ...

$\Rightarrow$  look at  $k$ -strings = bound states of  $k$  fundamental strings ....

$$\longrightarrow D=3+1$$

ground state – universality class?

fit to each pair of neighbouring values of  $l$

- effective Luscher correction

$$E_0(l) = \sigma l - c_{\text{eff}} \frac{\pi(D-2)}{3l}$$

- effective Nambu-Goto

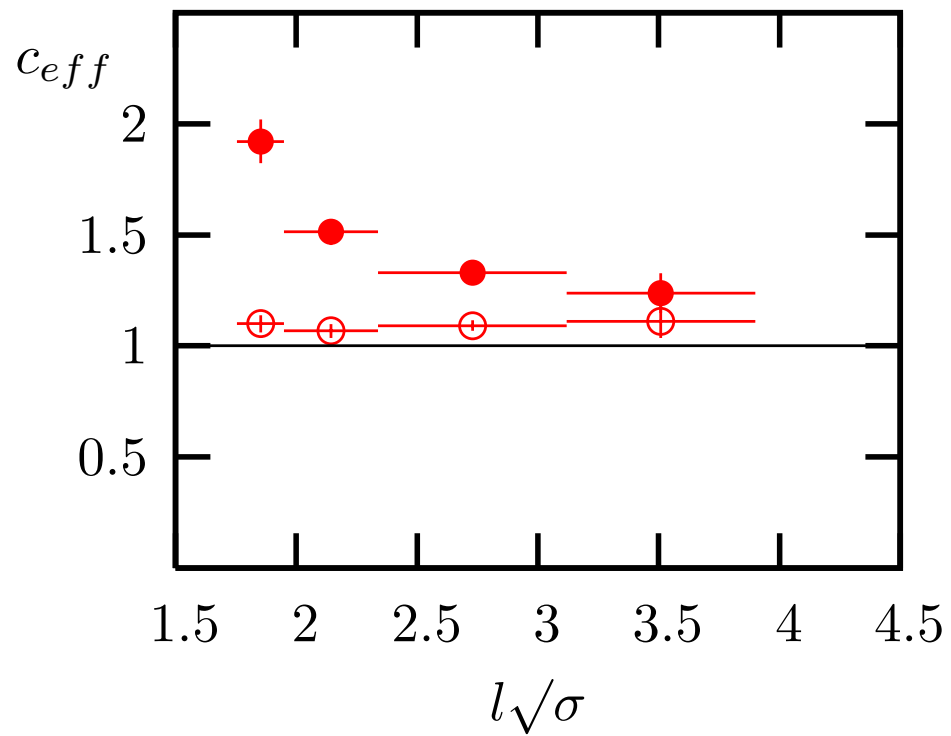
$$E_0(l) = \sigma l \left(1 - c_{\text{eff}} \frac{2\pi}{3\sigma l^2}\right)^{\frac{1}{2}}$$

if

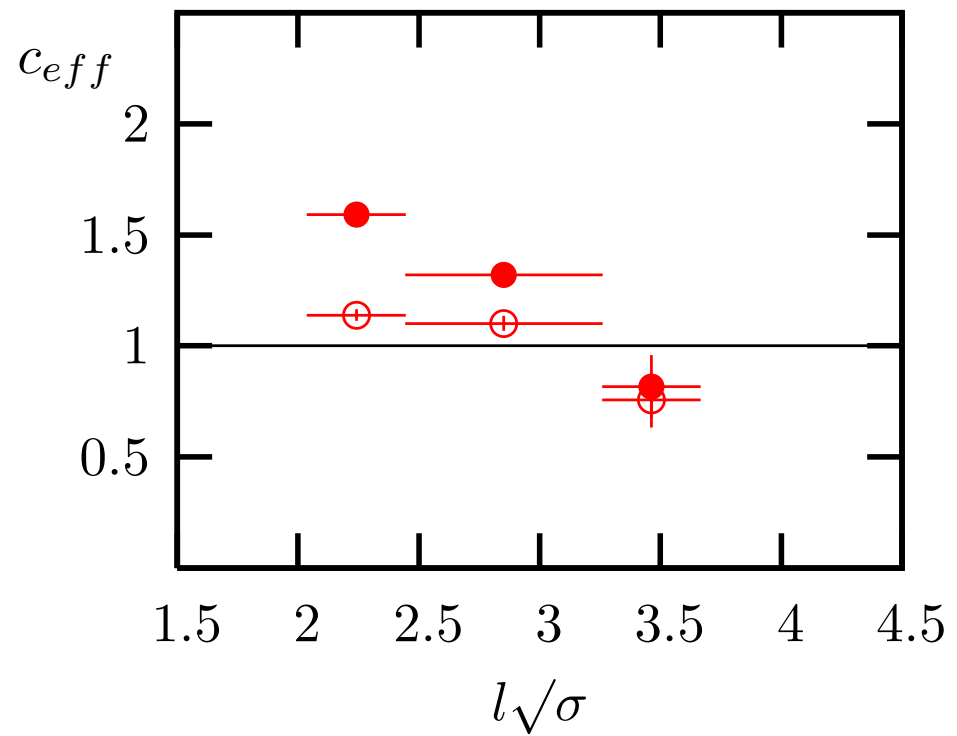
$$c_{\text{eff}}(l) \xrightarrow{l \rightarrow \infty} c = 1$$

the effective string action belongs to the universality class of a simple bosonic where the only massless modes of the string are the transverse translations

SU(3) :  $l_c\sqrt{\sigma} \simeq 1.56$



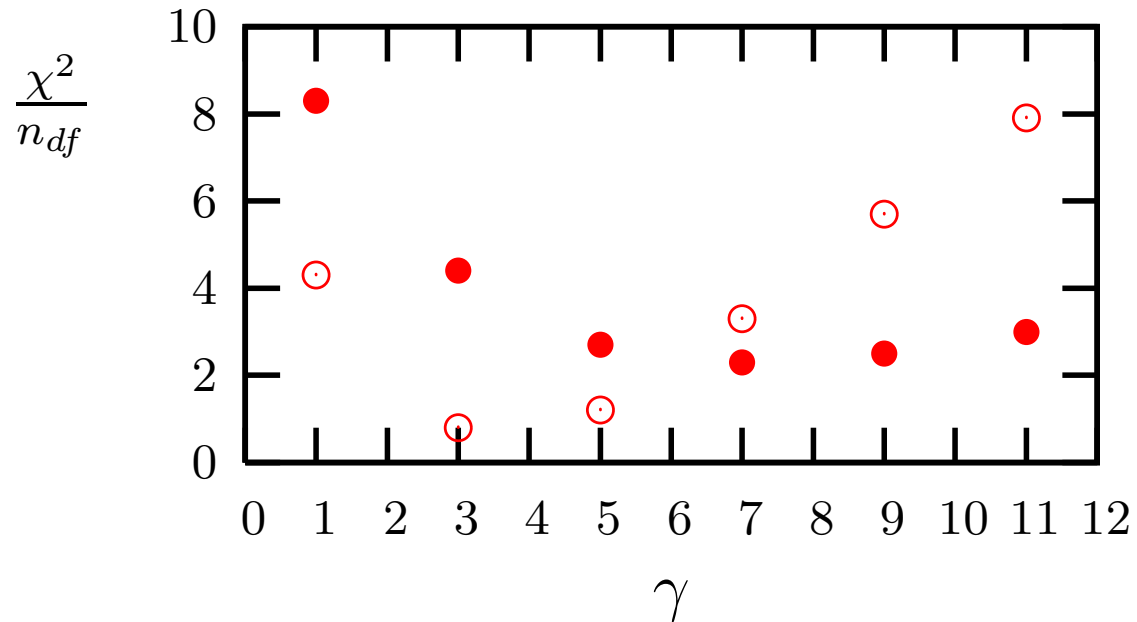
SU(6) :  $l_c\sqrt{\sigma} \simeq 1.68$



$c_{eff}$  : from Luscher ● , and from Nambu-Goto ○

aharony fit:

$$E_0(l) = \sigma l - \sum_{n=0}^2 \frac{c_n^{NG}}{\sigma^n l^{2n+1}} + \frac{\tilde{c}}{l^\gamma}$$



- SU(3) not great fit ; ○ SU(6) consistent
- *but long way from any firm conclusion!*

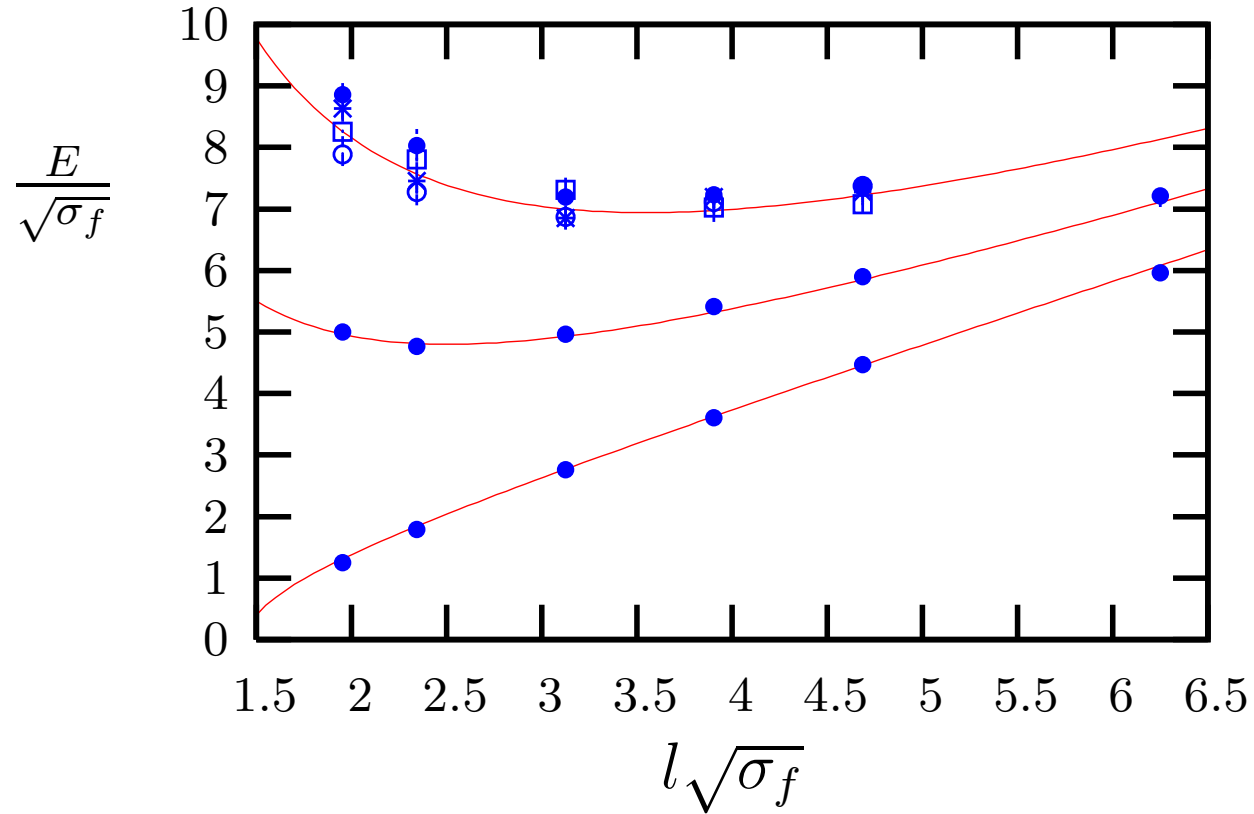
relevant string quantum numbers in 3+1 dimensions:

- length,  $l$ .
- momentum along string,  $p = 2\pi q/l$ .
- angular momentum around string axis,  $J = 0, 1, 2\dots$
- $D = 2 + 1$  parity in plane orthogonal to string axis,  $P_\rho$
- reflection ‘parity’ across this same plane,  $P_r$

⇒

- phonons have momentum  $\pm 2\pi k/l$  and helicity  $\pm 1$
- two transverse directions → need many more operators ...

SU(3) : lightest states with  $p_{||} = 0, 2\pi/l, 4\pi/l$  vs NG (—)



$p = 0 : J^P = 0^+ , \quad p = \frac{2\pi}{l} : |J|^P = 1^\pm , \quad p = \frac{4\pi}{l} : |J| = 0^+, 1^\pm, 2^+, 2^-$

- States have the expected NG quantum numbers:

$$|0\rangle \sim J = 0 \quad \text{for } p_{11} = 0$$

$$a_1^\pm |0\rangle \sim J = 1^\pm \quad \text{for } p_{11} = 2\pi/l$$

$$a_1^\pm a_1^\pm |0\rangle, a_2^\pm |0\rangle \sim J = 0^+, 2^\pm \quad \text{for } p_{11} = 4\pi/l$$

- $\sigma$  obtained from NG fit to  $E_0(p_{11} = 0, l)$

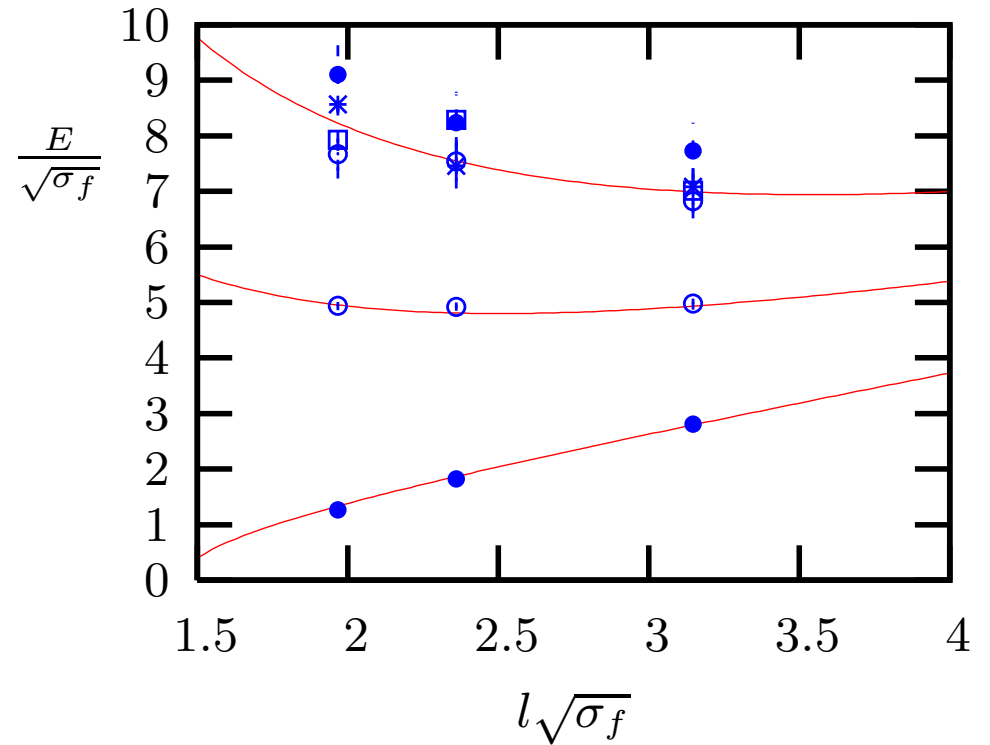
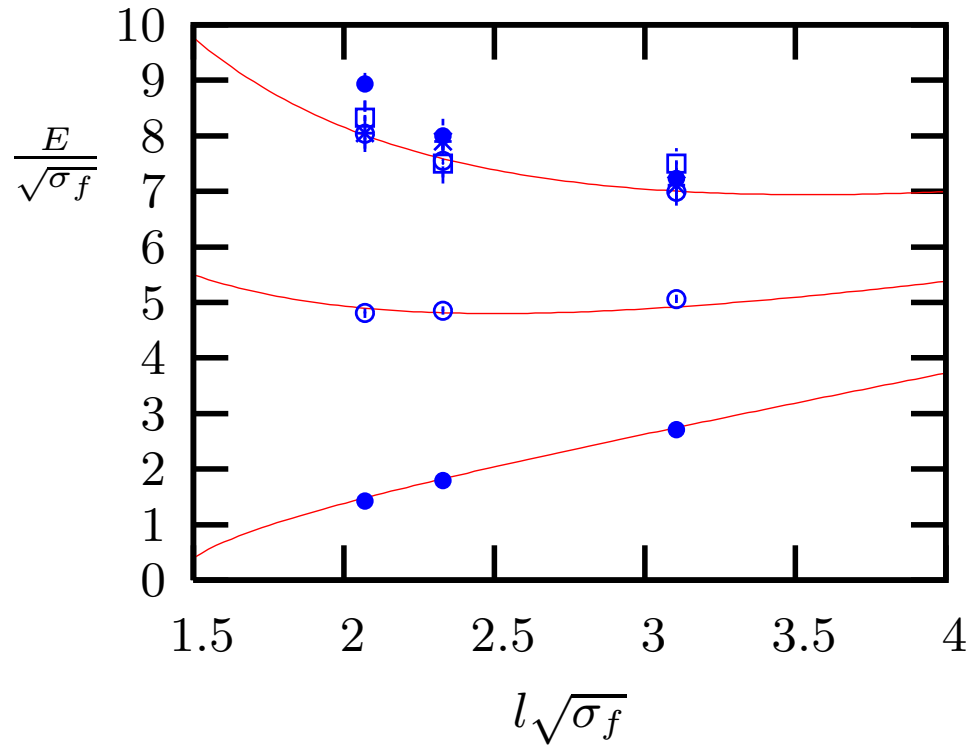
$\Rightarrow$

the NG curves for  $p_{11} = 2\pi/l, 4\pi/l$  are parameter-free predictions

- agreement with free string prediction is excellent even for strings that are almost as short as they are wide,  $l\sqrt{\sigma} \sim 2$

SU(3) : small  $a$

SU(5)



- negligible  $O(a^2)$  corrections
- negligible  $O(1/N^2)$  corrections

how well is Nambu-Goto working ?

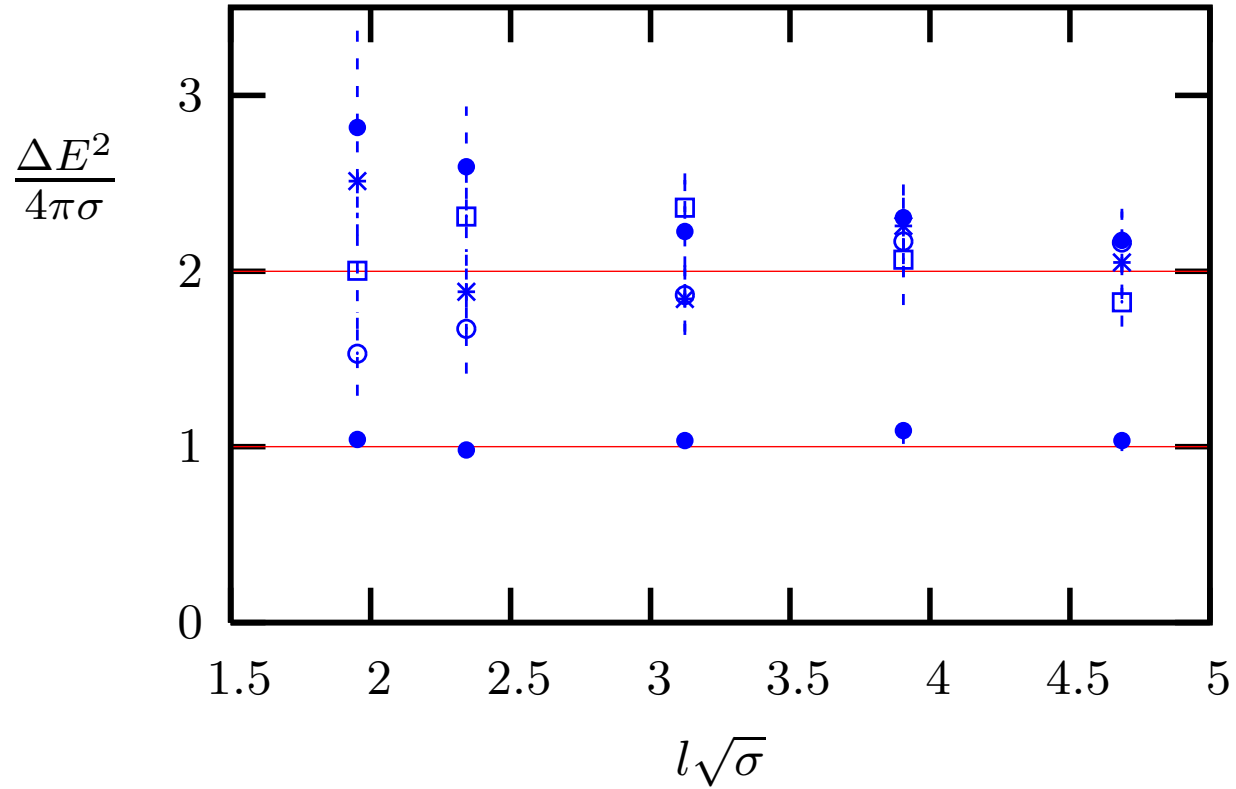
... since much of the extra energy may come just from the non-zero momentum

Recall

$$\Delta E^2(q, l) = E^2(q; l) - E_0^2(l) - \left( \frac{2\pi q}{l} \right)^2 \stackrel{NG}{=} 4\pi\sigma(N_L + N_R)$$

where  $N_L - N_R = q$

SU(3) : excitation energies for  $p_{11} = 0, 2\pi/l, 4\pi/l$



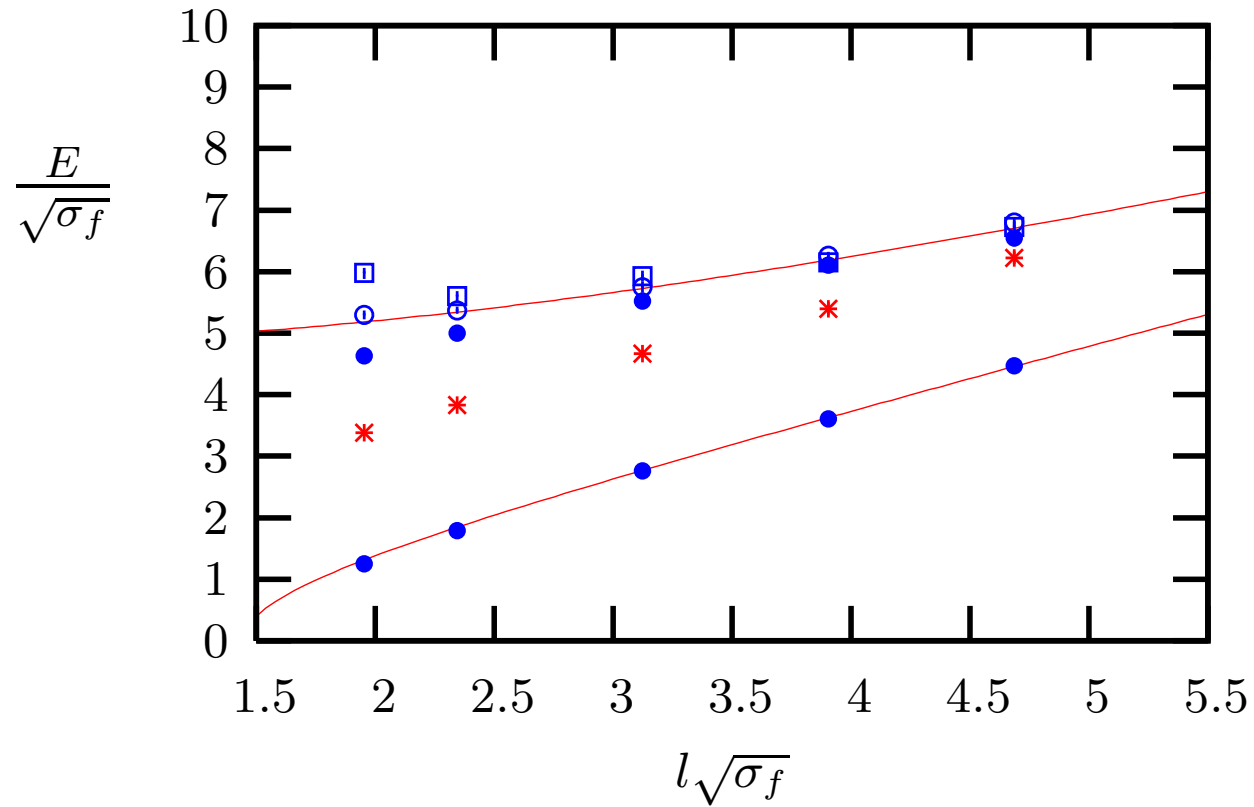
$p = 0 : J^P = 0^+ , \quad p = \frac{2\pi}{l} : |J|^P = 1^\pm , \quad p = \frac{4\pi}{l} : |J| = 0^+, 1^\pm, 2^+, 2^-$

So far just like  $D = 2 + 1$  : free string behaviour down to small  $l$

BUT

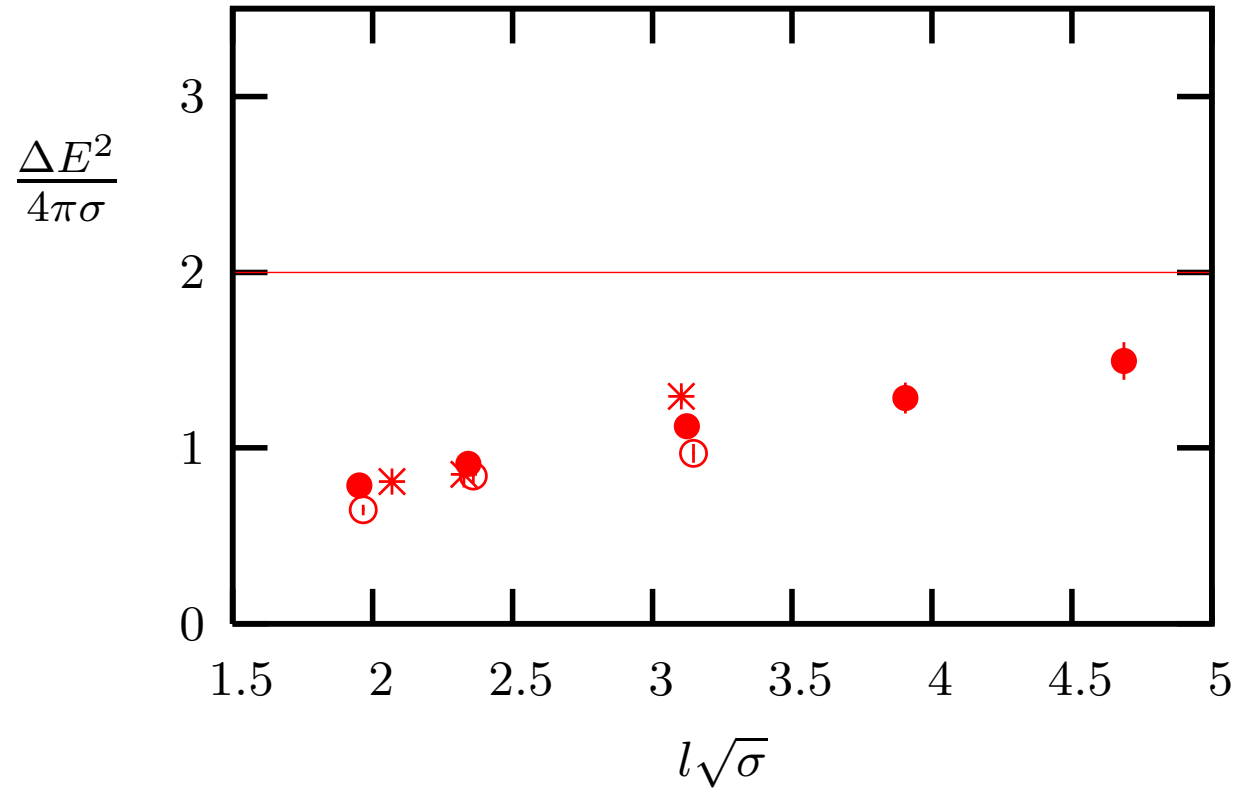
when we look at higher excited states, we find something new  $\dots$

lightest excited states with  $p_{11} = 0$  : SU(3)



•,  $J^P = 0^{++}$  ; \*,  $J^P = 0^{--}$  ; o,  $J^P = 2^{++}$  ; □,  $J^P = 2^{-+}$ .

excitation energy of this anomalous  $0^-$  state



●, SU(3) coarse  $a$  ; ○, SU(5) coarse  $a$  ; \*, SU(3) fine  $a$ .

So, for the first  $p = 0$  excited energy level:

$$a_1^\pm a_{-1}^\pm |0\rangle \sim 0^\pm, 2^\pm$$

$0^+, 2^\pm$  close to NG

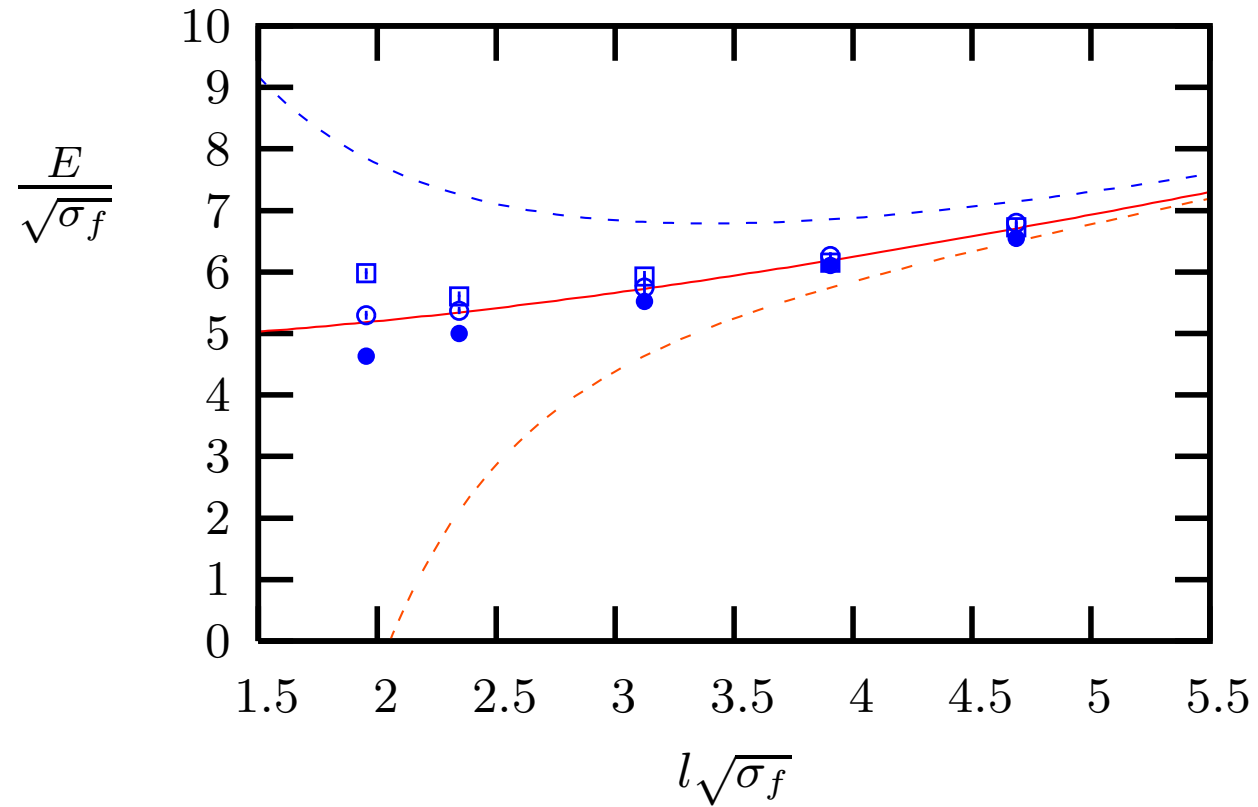
BUT

$0^-$  very far from NG, with a very slow approach (?) to NG :  $\propto \frac{1}{l^2}$  in E (?)

Is it a ‘stringy’ or ‘massive’ state – so that it crosses NG at larger  $l$ ?

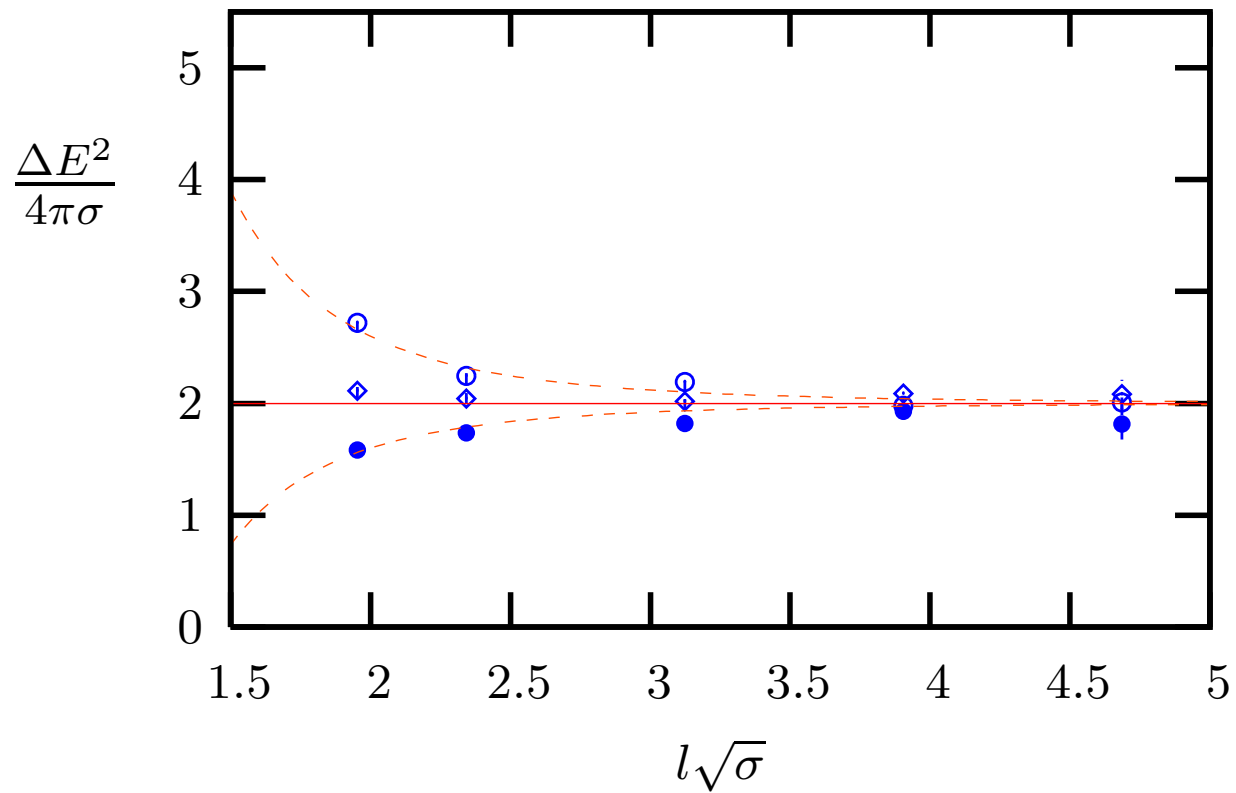
The ‘good’ states are much closer to NG than one would expect from the current universality results – just as for  $D=2+1$ :

$0^+, 2^\pm$  excited states with  $p_{||} = 0$  – effective string fits



--- Aharony  $O(1/l^3)$ ;      --- Luscher  $O(1/l)$ ;      — Nambu-Goto.

$p_{||} = 0$   $0^+, 2^\pm$  excitation energies : Nambu-Goto fits



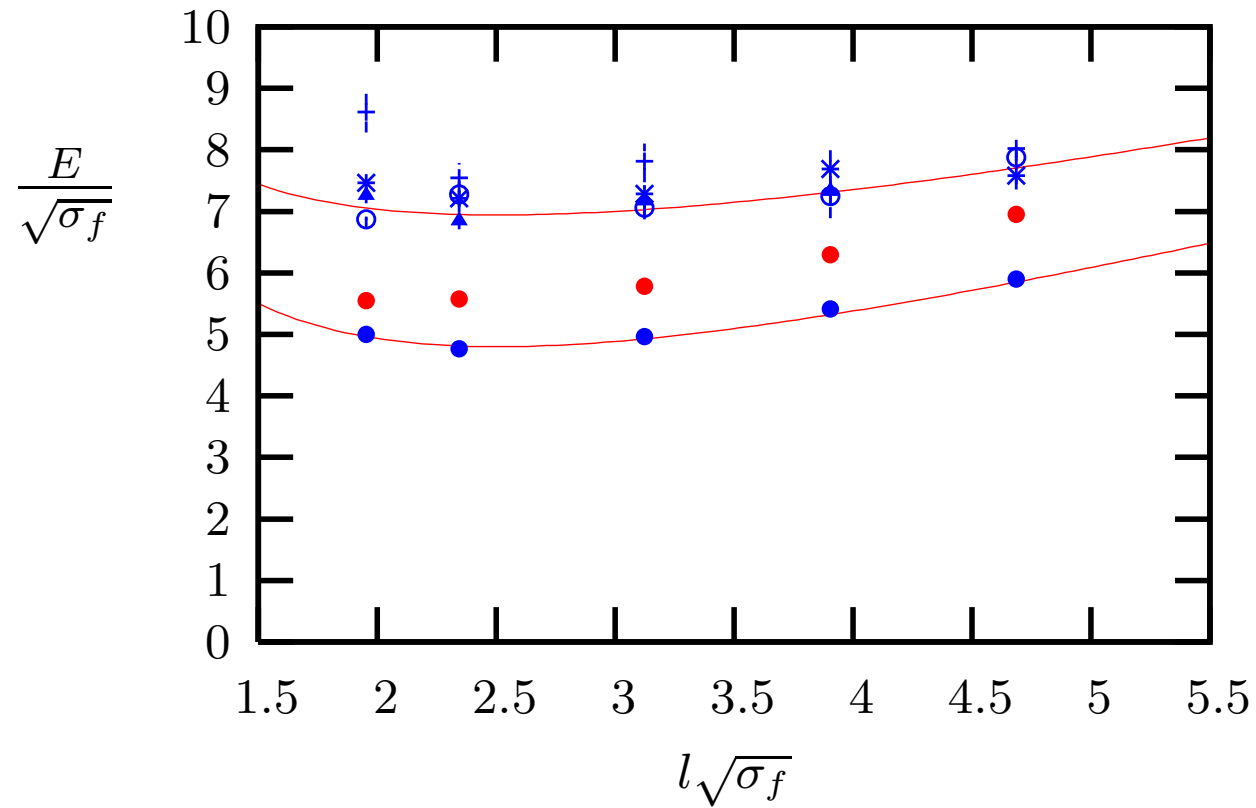
— Nambu-Goto ;      ··· Nambu-Goto +  $O(1/l^5)$  corrections

... return to the anomalous  $0^-$  state:

are there other anomalous states?

- look to  $p_{11} \neq 0$
- look to higher excitations

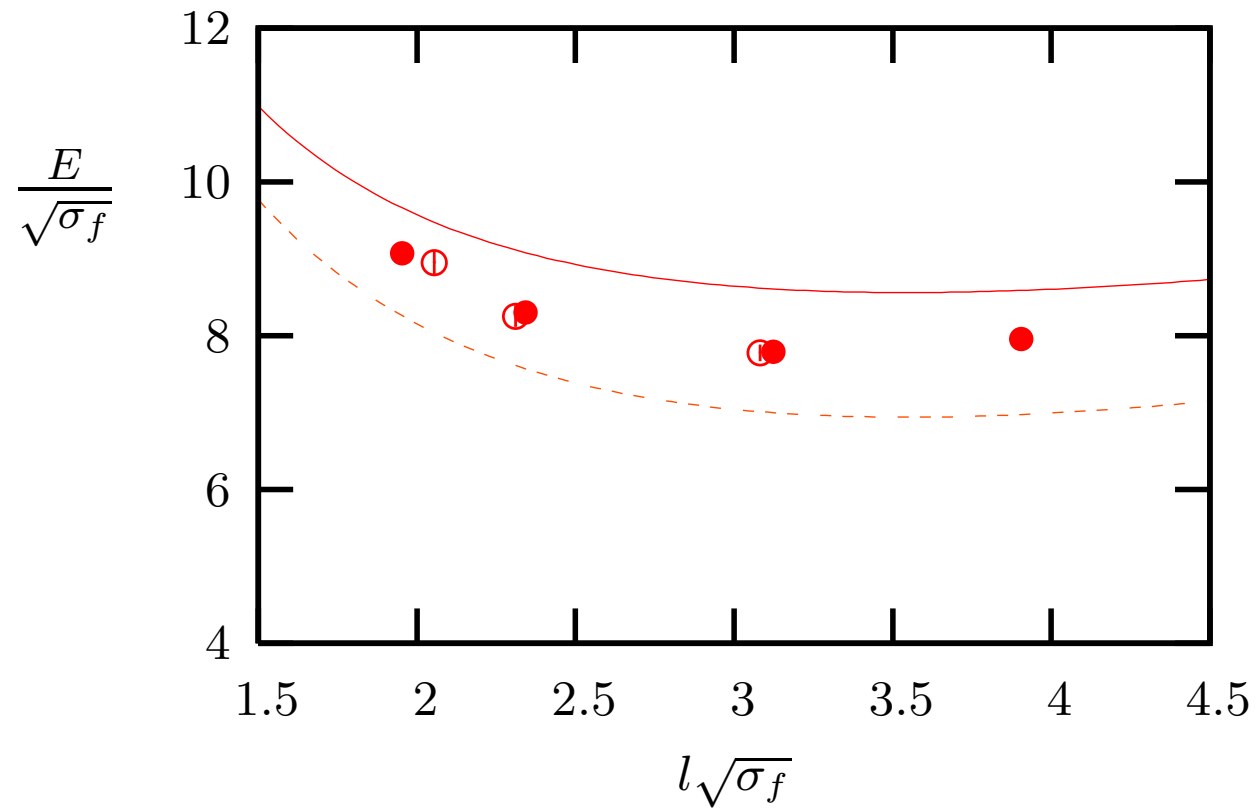
lightest excited states with  $p_{||} = \frac{2\pi}{l}$  : SU(3)



$\bullet J^P = 0^-$  ;  $\bullet J^P = 1^\pm_{gs}$  ;  
 $\circ J^P = 0^+$  ;  $\star J^P = 2^+$  ;  $+ J^P = 2^-$

NG — .

lightest excited  $0^-$  state with  $p_{11} = \frac{4\pi}{l}$  : SU(3)



● coarse  $a$ ; ○ fine  $a$

solid line: NG prediction; dashed line: ground state

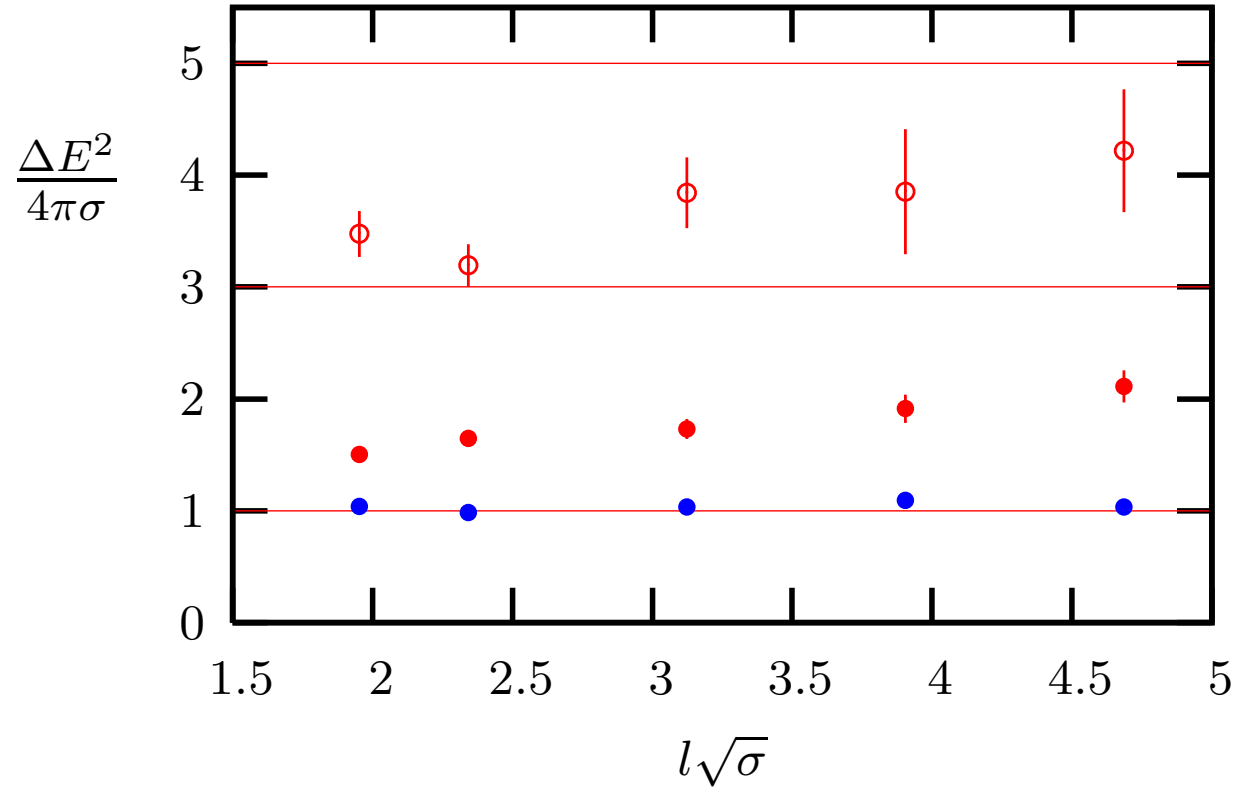
So we have a whole set of anomalous  $0^-$  states

in terms of NG states these would be:

- $(a_1^+ a_{-1}^- - a_1^- a_{-1}^+) |0\rangle$  for  $p_{11} = 0$
- $(a_2^+ a_{-1}^- - a_2^- a_{-1}^+) |0\rangle$  for  $p_{11} \neq 2\pi/l$
- $(a_3^+ a_{-1}^- - a_3^- a_{-1}^+) |0\rangle$  for  $p_{11} \neq 4\pi/l$

but if these are massive mode states, then there may also be  $0^-$  stringy states that are simply more massive than these  $\dots$

excitation energies of  $0^-$  states with  $p_{||} = \frac{2\pi}{l}$  : SU(3)



$\bullet J^P = 0^- 1st$  ;  $\circ J^P = 0^- 2nd$  ;  $\bullet J^P = 1^\pm gs$  ;

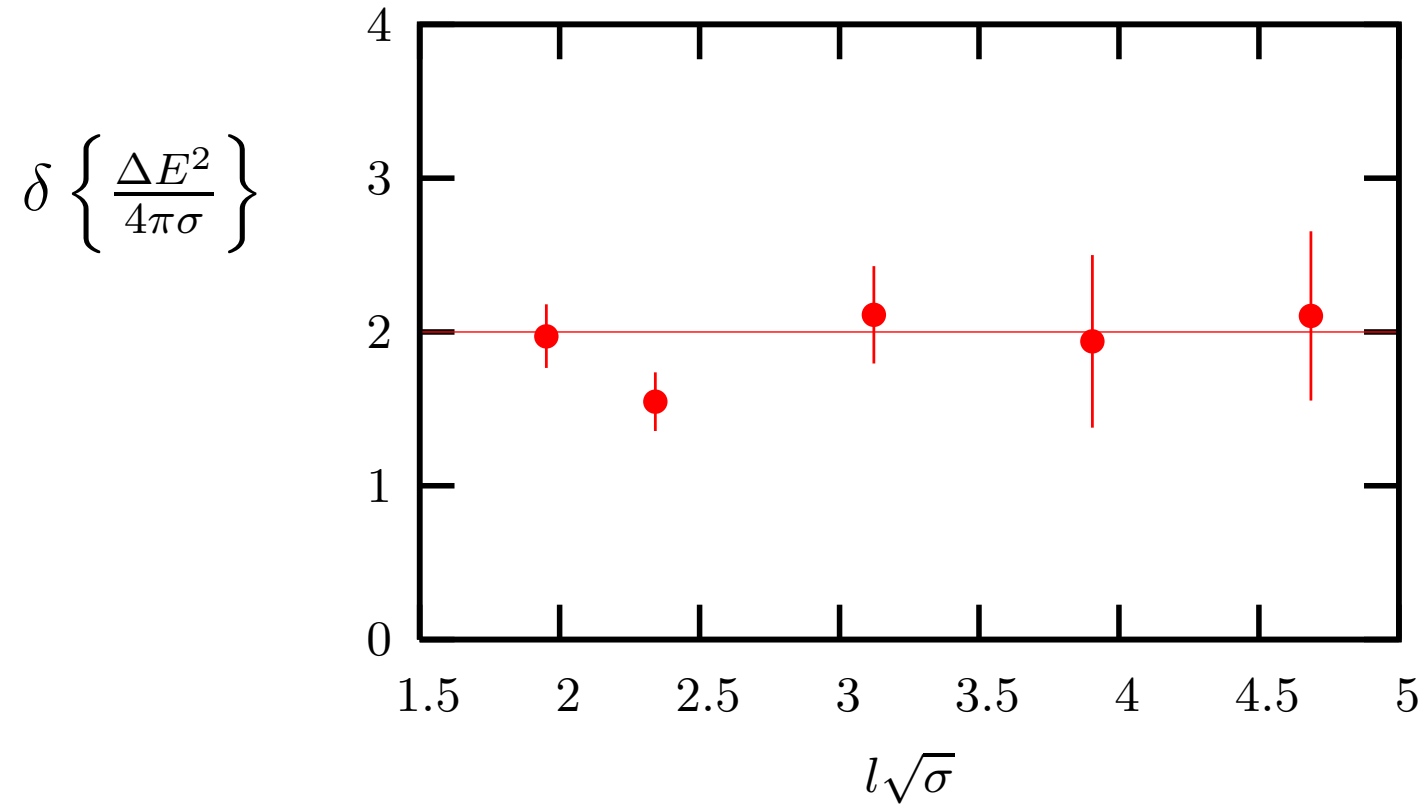
*naively* the next excited  $0^-$  does not appear to approach the lowest NG state – but rather the next one up

*caution* : the errors are becoming very large

Nonetheless the difference in excitation energies is just  $\sim \text{NG}$  : the anomaly is a common additive piece to all the  $\Delta E^2$  excitation energies

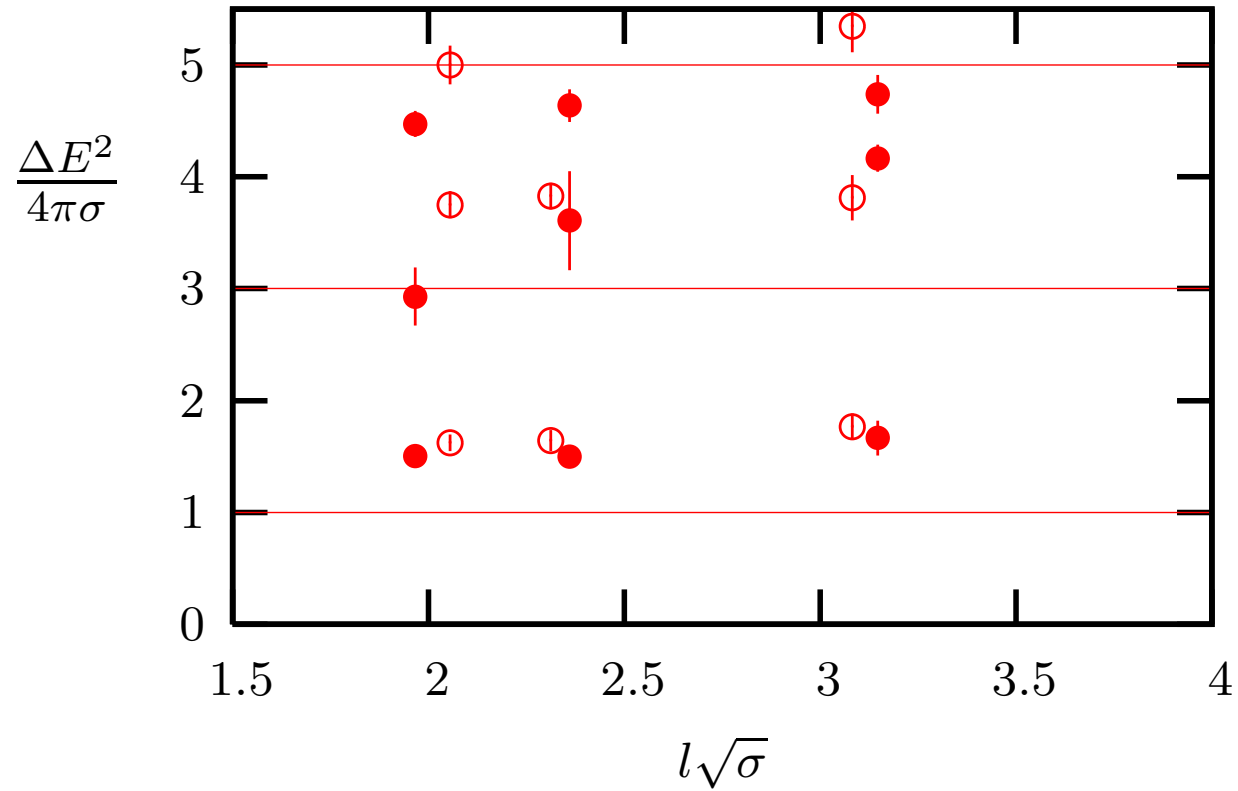
but some higher excited states of the  $0^-$  – those with a more complex phonon description? – seem to be in agreement with free string theory

excitation energy gap of these two lightest  $0^-$  states with  $p_{||} = \frac{2\pi}{l}$



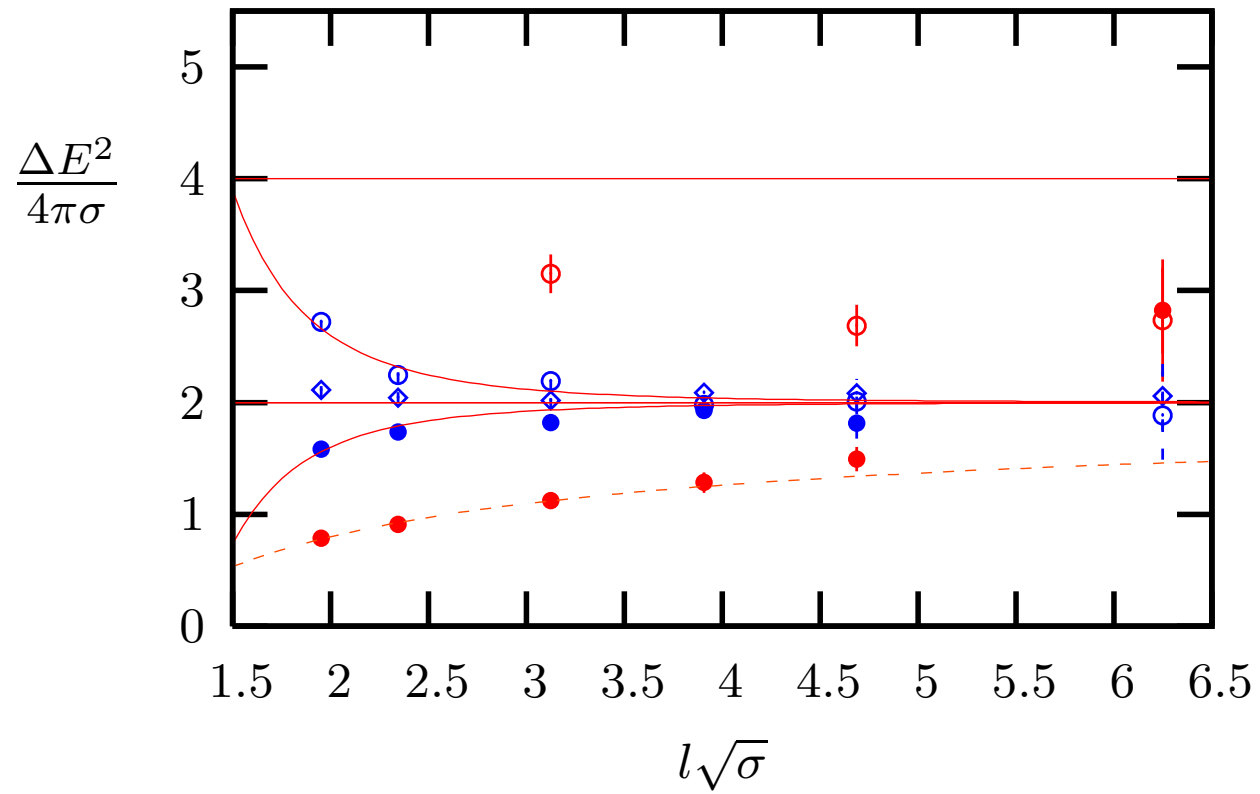
line : NG prediction

excitation energies of  $0^-$  states with  $p_{||} = \frac{2\pi}{l}$  : SU(3) and SU(5)



○, SU(3) fine  $a$  ; ●, SU(5) coarse  $a$  ;

... and now back to  $p = 0$  and some larger  $l$  ...



0<sup>-</sup>;    0<sup>+</sup>, 2<sup>±</sup>

## Some Conclusions

- The flux tube spectrum in  $D = 2 + 1$  is very close to the free string spectrum  $\forall N$ 
  - down to such small string lengths,  $l\sqrt{\sigma} \sim 2$ , that an expansion in  $1/\sigma l^2$  no longer converges
  - $\Rightarrow$  we should perform our expansion in powers of  $1/\sigma l^2$  around  $E_{NG}$  rather than around  $\sigma l$ , when constructing our effective action
  - i.e. but where are the massive modes?
- The  $D = 3 + 1$  flux tube spectrum in  $D = 2 + 1$  is also very close to the free string spectrum for most states
  - again down to such small string lengths that a  $1/\sigma l^2$  expansion no longer converges
  - $\Rightarrow$  again we should perform our expansion in powers of  $1/\sigma l^2$  around  $E_{NG}$ , with the theoretically expected  $\propto 1/l^{\gamma \geq 5}$  corrections

BUT

- Now there are a few states that are very far from Nambu-Goto ; so far all these *anomalous* states are  $J^P = 0^-$ .

⇒ are we seeing here the effects of massive modes?

- The lattice calculations have some overlap with the effective action analyses – but they are also nicely complementary in that their natural range is moderate to small  $l$ , rather than very large  $l$ : this complementarity has been and should continue to be very useful for the physics of common interest.